

DescriptionCOMPOSITIONS AND METHODS FOR TREATING INTRACELLULAR  
DISEASES

5

Cross-Reference to Related Application

This application claims the benefit of U.S. Provisional Application No.  
60/025,267, filed September 17, 1996.

INS AI  
INS C'  
10 Technical Field

The present invention relates generally to compositions and methods for treating a wide variety of intracellular diseases, including for example, viral, parasitic and certain bacterial diseases.

15 Background of the Invention

Through the advent of modern medicine, numerous diseases may now be treated with a wide variety of pharmaceuticals. Nevertheless, infectious diseases are a serious concern in developing countries, in immunocompromised individuals, and for certain diseases where no adequate treatment exists.

20 In developing countries, poor hygiene and a lack of adequate sanitation provide an environment which promotes infectious diseases. Even in countries with adequate sanitation, a constant onslaught of infectious agents may stress the immune system defenses to subnormal levels, thus permitting the development of disease.

Although vaccines are available to protect against some of these  
25 diseases, vaccinations are not always feasible due to factors such as delivery too late in the infection or inability of the patient to mount an immune response to the vaccine. One such disease is hepatitis, which is a systemic disease that predominantly affects the liver. Briefly, this disease is typified by the initial onset of symptoms such as anorexia, nausea, vomiting, fatigue, malaise, arthralgias, myalgias, and headaches, followed by  
30 the onset of jaundice. The disease may also be characterized by increased serum levels of the aminotransferases AST and ALT. Quantification of these enzymes in serum indicates the extent of liver damage.

There are five general categories of viral agents which have been associated with hepatitis: the hepatitis A virus (HAV); the hepatitis B virus (HBV);  
35 two types of non-A, non-B (NANB) agents, one blood-borne (hepatitis C) and the other enterically transmitted (hepatitis E); and the HBV-associated delta agent (hepatitis D).

There are two general clinical categories of hepatitis, acute hepatitis and chronic hepatitis. Symptoms for acute hepatitis range from asymptomatic and non-apparent to fatal infections. The disease may be subclinical and persistent, or rapidly progress to chronic liver disease with cirrhosis, and in some cases, to hepatocellular carcinoma. Acute hepatitis B infection in adult Caucasians in the United States progresses to chronic hepatitis B in about 5% to 10% of the cases. In the remainder of the cases, approximately 65% are asymptomatic. In the Far East, infection is usually perinatal, and 50% to 90% progress to the chronic state. It is likely that the different rates of progression are linked to the age at infection rather than genetic differences in the hosts. In the United States, about 0.2% of the population is chronically infected, with higher percentages in high-risk groups such as physicians, drug addicts and renal dialysis patients. In countries such as Taiwan, Hong Kong and Singapore, the level in the population with hepatitis infection may be as high as 10%.

In the United States, about 20% of patients with chronic hepatitis die of liver failure, and a further 5% develop hepatitis B-associated carcinoma. In the Far East, a large percentage of the population is infected with HBV, and after a long chronic infection (20 to 40 years), approximately 25% of these will develop hepatocellular carcinoma.

After the development of serologic tests for both hepatitis A and B, investigators identified other patients with hepatitis-like symptoms, and with incubation periods and modes of transmission consistent with an infectious disease, but without serologic evidence of hepatitis A or B infection. After almost 15 years, the causative agent was identified as an RNA virus. This virus (designated "hepatitis C") has no homology with HBV, retroviruses, or other hepatitis viruses.

Hepatitis C (HCV) appears to be the major cause of post-transfusion and sporadic non-A, non-B (NANB) hepatitis worldwide, and plays a major role in the development of chronic liver disease, including hepatocellular carcinoma (Kuo et al., *Science* 244:362-364, 1989; Choo et al., *British Medical Bulletin* 46(2):423-441, 1990). Of the approximately 3 million persons who receive transfusions each year, approximately 150,000 will develop acute hepatitis C (Davis et al., *New Eng. J. Med.* 321(22):1501-1506, 1989). In addition, of those that develop acute hepatitis C, at least one-half will develop chronic hepatitis C.

Until recently, no therapy has proven effective for treatment of acute or chronic hepatitis B or C infections, and patients infected with hepatitis must generally allow the disease to run its course. Most anti-viral drugs, such as acyclovir, as well as attempts to bolster the immune system through the use of corticosteroids have proven ineffective (Alter, "Viral hepatitis and liver disease," Zuckerman (ed.), New York: Alan

R. Liss, pp. 537-42, 1988). Some anti-viral activity has been observed with adenosine arabinoside (Jacyna et al., *British Med. Bull.* 46:368-382, 1990), although toxic side effects which are associated with this drug render such treatment unacceptable.

One treatment that has provided some benefit for chronic hepatitis B and C infections is the use of recombinant alpha interferon (Davis et al., *New Eng. J. Med.* 321(22):1501-1506, 1989; Perrillo et al., *New Eng. J. Med.* 323:295-301, 1990). However, for patients with hepatitis B infections only about 35% of infectees responded to such treatment, and in perinatal infectees only about 10% responded to treatment. For hepatitis C infections, despite apparent short-term success utilizing such therapy, six months after termination of treatment half of the patients who responded to therapy had relapsed. In addition, a further difficulty with alpha interferon therapy is that the composition frequently has toxic side effects such as nausea, and flu-like symptoms, which require reduced dosages for sensitive patients.

Therefore, there exists a need in the art for therapeutics for treating or preventing disease due to infectious agents such as intracellular bacterial or parasitic infections, or viruses such as hepatitis. The present invention provides such therapeutic agents, and further provides other related advantages.

#### Summary of the Invention

Briefly stated, the present invention is directed toward methods of treating intracellular bacterial, parasitic and viral infections. Representative examples of such intracellular infections include bacteria infections such as legionella, tuberculosis and chlamydia, parasitic infections such as rickettsia, leishmaniasis or malaria, and viral infections like HBV, HCV, HSV HIV and FIV.

Within one aspect of the present invention, methods are provided for treating intracellular infections within warm-blooded animals, comprising the step of administering to a warm-blooded animal a vector construct which directs the expression of at least one immunogenic portion of an antigen derived from an intracellular pathogen, and also administering to the warm-blooded animal a protein which comprises the afore-mentioned immunogenic portion of the antigen, such that an immune response is generated. Within certain embodiments, an immunomodulatory cofactor may also be administered. In addition, as discussed in more detail below, the protein may be administered either prior to, at the same time as, or subsequent to administration of the vector construct.

Within certain embodiments of the invention, the intracellular pathogen is a virus, and the antigen a viral antigen. Representative examples of viral antigens

include those obtained from a virus selected from the group consisting of hepatitis, feline immunodeficiency virus, and HIV. Within certain embodiments, the antigen is a hepatitis B antigen such as HBeAg, HBcAg and HBsAg (*e.g.*, S, pre-S1, or pre-S2), ORF 5, ORF 6, the HBV pol antigen, or a hepatitis C antigen such as the core antigen C, E1, E2/NS1, NS2, NS3, NS4 and NS5. Within related embodiments, several antigens may be combined (*e.g.*, HBeAg and HBcAg). Within other embodiments, the intracellular pathogen is a parasite.

Within a related aspect of the invention, vector constructs are provided which direct the expression of an immunogenic portion of the polyprotein antigen, or co-expresses this antigen with an immunomodulatory cofactor. Also provided are pharmaceutical compositions comprising these recombinant viruses in combination with a pharmaceutically acceptable carrier or diluent.

Within further embodiments, the vector construct is carried by a recombinant retrovirus, an alphavirus, adeno-associated virus or parvovirus. Alternatively, the vector construct may be a nucleic acid expression vector (*e.g.*, a DNA vector or a eukaryotic layered vector initiation system). The vector construct, or nucleic acids which encode the relevant immunogenic portion, may be administered to a patient directly, for example by transfection methods such as lipofection, direct DNA injection, microprojectile bombardment, liposomes,  $\text{CaPO}_4$ , or DNA ligand, or indirectly (*e.g.*, *ex vivo* to a selected population of cells).

The present invention also provides compositions (including, for example, various adjuvants). Within one aspect, compositions are provided comprising a vector construct which directs the expression of at least one immunogenic portion of an antigen derived from an intracellular pathogen, a protein which comprises an immunogenic portion of said antigen, and optionally, a pharmaceutically acceptable carrier or diluent. Within certain embodiments, such compositions may further comprise an immunomodulatory cofactor. As noted above, the intracellular pathogen may be, for example, a viral, parasitic, or bacterial. Representative examples of viral antigens include those obtained from a virus selected from the group consisting of hepatitis, feline immunodeficiency virus, and HIV. Within certain embodiments, the antigen is a hepatitis B antigen such as HBeAg, HBcAg and HBsAg, or a hepatitis C antigen such as the core antigen C, E1, E2/NS1, NS2, NS3, NS4 and NS5.

These and other aspects of the present invention will become evident upon reference to the following detailed description and attached drawings.



### Brief Description of the Drawings

Figure 1 is a schematic illustration which outlines the recovery of Hepatitis B e sequence from ATCC 45020.

Figure 2 is a diagrammatic representation of the nucleotide sequence of HBV (*adw*) precore/core (SEQ ID. NO. 56) and the region of the incorrect sequence from pAM6 (ATCC 95020) clone (SEQ ID. NO. 57).

Figure 3 is a schematic representation of the protocol utilized to correct the mutation in HB precore/core sequence from pAM6 (ATCC 45020).

Figure 4 is a DNA sequencing gel showing the corrected nucleotide sequences from SK<sup>+</sup>HBe-c.

Figure 5 is a table showing the level of expression of HBVe protein and HBV core protein from the following retrovirally transduced murine cell lines BC10ME, Bl/6, L-M(TK<sup>-</sup>), EA2K<sup>b</sup>, and retrovirally transduced human T-cell line JA2/K<sup>b</sup> as determined by ELISA.

Figure 6 is a Western blot showing immunoprecipitation/expression of secreted p17 kD HBV e protein and p23 kD pre-core intermediate protein by retrovirally transduced BC10ME and Bl/6 cells. This blot also shows expression of p21 HBV core protein in cell lysates from retrovirally transduced BC10ME cells.

Figure 7 is a table which shows induction of antibody responses against HBV core antigen in C3H He CR mice injected with formulated HB Fcore/neo<sup>R</sup> vector.

Figure 8 is a diagrammatic representation of vector construct KT-HBV core/B7 which expresses both HBV core and B7 proteins.

Figure 9 is a graph showing induction of CTL responses against HBV core antigen and HBV e antigen in the C3H/He mice after i.m. administration of HBV core formulated HB Fcore/neo<sup>R</sup> vector.

Figure 10 is a graph showing that CTL response against HBV core antigen in the C3H/He CR mice are MHC class I restricted.

Figure 11 (panels A & B) is a pair of graphs showing that CTL response against HBV core antigen in the C3H/He CR mice are CD4<sup>-</sup> CD8<sup>+</sup> cells.

Figure 12 is a table showing the isotypes of the antibody responses against HBV core antigen and HBV e antigen in C3H/He CR mice injected with formulated HB Fcore/neo<sup>R</sup> vector.

Figure 13 is a graph showing induction of CTL responses against HBV core antigen and HBV e antigen in rhesus macaques after intramuscular injection of formulated HB Fcore/neo<sup>R</sup> vector.

Figure 14 (panels A & B) is a pair of graphs showing that CTL responses against HBV core antigen in the rhesus macaques are CD4<sup>-</sup>CD8<sup>+</sup> cells.

Figure 15 (panels A, B & C) show a comparison of HBc/eAg T-cell priming efficiency by s.c. injections in the hind foot pads of 10  $\mu$ g rHBcAg in CFA (a), 2 x 100  $\mu$ l (HBc[3A4] (b), or 2 x 100  $\mu$ l HBc/neo[6A3]. Groups of three mice were primed (retroviral vector immunized mice were boosted five days later) and sacrificed  
 5 nine to 11 days later. Draining LNs were harvested and single suspensions were cultured for 96 hours in the absence or presence of the indicated antigens. Values are given as the counts per minute (CPM) with antigen with subtraction of the mean CPM of wells without antigen ( $\Delta$ CPM).

Figure 16 depicts proliferative (panels a & b) and cytokine (panel c) responses to rHBcAg of B10 and B10.S LN and splenic T-cells following immunization with the HBe[5A2] retroviral vector. Mice were primed and boosted in the hind foot pads as given in the legend of Figure 15. Proliferation was determined at 96 hours and cytokine mRNA was extracted from 48 hour cultures. Values are given as  
 10  $\Delta$ CPM.

Figure 17 shows that antibody responses in B10 mice following immunization with the HBc [3A4] (panel a), HBe [5A2] (panel b), and HBc/neo [6A3] (panel c) retrovectors can be enhanced by prior priming with a synthetic Th-cell site corresponding to residues 129-140 of HBc/eAg. Groups of two to three mice were primed with 100  $\mu$ g of peptide in incomplete Freund's adjuvants nine to eleven days  
 15 prior to retrovector immunization and each week for six weeks thereafter. As controls served an equal number of mice only receiving the retrovector immunization. Each value represents a mean of the endpoint titres of a group of two to three mice.

#### Detailed Description of the Invention

25 Prior to setting forth the invention, it may be helpful to an understanding thereof to first define certain terms that will be used hereinafter. All references which have been cited below are hereby incorporated by reference in their entirety.

"Immunogenic portion" as utilized within the present invention, refers to a portion of the respective antigen which is capable, under the appropriate conditions, of causing an immune response (*i.e.*, cell-mediated or humoral). "Portions" may be of  
 30 variable size, but are preferably at least 9 amino acids long, and may include the entire antigen. Representative assays which may be utilized to determine immunogenicity (*e.g.*, cell-mediated immune response), are described in more detail below, as well as in Example 15Ai. Cell mediated immune responses may be mediated through Major  
 35 Histocompatibility Complex ("MHC") class I presentation, MHC Class II presentation, or both.

"Immunomodulatory cofactor" refers to factors which, when manufactured by one or more of the cells involved in an immune response, or, which when added exogenously to the cells, causes the immune response to be different in quality or potency from that which would have occurred in the absence of the cofactor.

- 5 The quality or potency of a response may be measured by a variety of assays known to one of skill in the art including, for example, *in vitro* assays which measure cellular proliferation (e.g.,  $^3\text{H}$  thymidine uptake), and *in vitro* cytotoxic assays (e.g., which measure  $^{51}\text{Cr}$  release) (see, Warner et al., *AIDS Res. and Human Retroviruses* 7:645-655, 1991). Immunomodulatory cofactors may be active both *in vivo* and *ex vivo*.
- 10 Representative examples of such cofactors include cytokines, such as interleukins 2, 4, 6, and 12 (among others), alpha interferons, beta interferons, gamma interferons, GM-CSF, G-CSF, and tumor necrosis factors (TNFs). Other immunomodulatory cofactors include, for example, CD3, ICAM-1, ICAM-2, LFA-1, LFA-3, MHC class I molecules, MHC class II molecules, B7,  $\beta_2$ -microglobulin, chaperones, or analogs thereof.

- 15 "Vector construct" refers to an assembly which is capable of directing the expression of the sequence(s) or gene(s) of interest. The vector construct must include promoter elements and preferably includes a signal that directs polyadenylation. In addition, the vector construct must include a sequence which, when transcribed, is operably linked to the sequence(s) or gene(s) of interest and acts as a
- 20 translation initiation sequence. Preferably, the vector construct also includes a selectable marker such as Neo, SV<sub>2</sub> Neo, TK, hygromycin, phleomycin, histidinol, puromycin N-acetyl transferase, or DHFR, as well as one or more restriction sites and a translation termination sequence. In addition, if the vector construct is placed into a retrovirus, the vector construct must include a packaging signal and long terminal
- 25 repeats (LTRs) appropriate to the retrovirus used (if these are not already present).

- "Retroviral vector construct" refers to an assembly which is, within preferred embodiments of the invention, capable of directing the expression of a sequence(s) or gene(s) of interest. Preferably, the retrovector construct should include a 5' LTR, a tRNA binding site, a packaging signal, one or more heterologous sequences,
- 30 an origin of second strand DNA synthesis and a 3' LTR. A wide variety of heterologous sequences may be included within the vector construct, including for example, sequences which encode a protein (e.g., cytotoxic protein, disease-associated antigen, immune accessory molecule, or replacement protein), or which are useful as a molecule itself (e.g., as a ribozyme or antisense sequence). Alternatively, the
- 35 heterologous sequence may merely be a "stuffer" or "filler" sequence, which is of a size sufficient to allow production of viral particles containing the RNA genome. Preferably, the heterologous sequence is at least 1, 2, 3, 4, 5, 6, 7 or 8 kB in length.

The retrovector construct may also include transcriptional promoter/enhancer or locus defining element(s), or other elements which control gene expression by means such as alternate splicing, nuclear RNA export, post-translational modification of messenger, or post-transcriptional modification of protein. Optionally, the retrovector construct may also include selectable markers such as Neo, TK, hygromycin, phleomycin, histidinol, or DHFR, as well as one or more specific restriction sites and a translation termination sequence.

"Nucleic Acid Expression Vector" refers to an assembly which is capable of directing the expression of a sequence or gene of interest. The nucleic acid expression vector must include a promoter which, when transcribed, is operably linked to the sequence(s) or gene(s) of interest, as well as a polyadenylation sequence.

Within certain embodiments of the invention, the nucleic acid expression vectors described herein may be contained within a plasmid construct. In addition to the components of the nucleic acid expression vector, the plasmid construct may also include a bacterial origin of replication, one or more selectable markers, a signal which allows the plasmid construct to exist as single-stranded DNA (*e.g.*, a M13 origin of replication), a multiple cloning site, and a "mammalian" origin of replication (*e.g.*, a SV40 or adenovirus origin of replication).

As noted above, the present invention is directed towards methods and compositions for treating intracellular infections within warm-blooded animals, comprising the step of administering to a warm-blooded animal a vector construct which directs the expression of at least one immunogenic portion of an antigen derived from an intracellular pathogen, and also administering to the warm-blooded animal a protein which comprises the afore-mentioned immunogenic portion of the antigen, such that an immune response is generated. Briefly, the ability to recognize and defend against foreign pathogens is central to the function of the immune system. This system, through immune recognition, is capable of distinguishing "self" from "nonself" (foreign), which is essential to ensure that defensive mechanisms are directed towards invading entities rather than against host tissues. The methods which are described in greater detail below provide an effective means of inducing potent class I-restricted protective and therapeutic CTL responses, as well as humoral responses.

### INTRACELLULAR DISEASES

As noted above, within one aspect of the present invention, methods are provided for treating intracellular infections within warm-blooded animals, comprising the step of administering to a warm-blooded animal a vector construct which directs the expression of at least one immunogenic portion of an antigen derived from an intracellular pathogen, and also administering to the warm-blooded animal a protein which comprises the afore-mentioned immunogenic portion of the antigen, such that an immune response is generated. Representative examples of such intracellular diseases include intracellular bacterial, parasitic and viral infections.

Within one aspect of the invention, the aforementioned methods may be utilized for treating or preventing bacterial diseases, including for example, mycobacterial diseases such as tuberculosis, and chlamydia. Representative examples of suitable mycobacterial antigens include *Mycobacteria tuberculosis* antigens from the fibronectin-binding antigen complex (Ag 85) (see e.g., Launois et al., *Infection and Immunity* 62(9):3679-3687, 1994). Particularly preferred immunogenic portions of the fibronectin-binding complex include amino acids 41-80 and 241-295, which have powerful and promiscuous T-cell stimulatory properties. As should be understood by one of skill in the art, such antigens may be utilized for treatment of diseases within the *M. tuberculosis* complex (e.g., *M. bovis*, and *M. bovis* BCG), but other related mycobacteria as well (e.g., *M. leprae*).

Within another aspect of the invention, the aforementioned methods may be utilized for treating or preventing bacterial diseases such as chlamydia. Briefly, *Chlamydia trachomatis* servars A, B, and C are the causative agents of trachoma, the world's leading cause of preventable blindness. Examples of suitable antigens include the chlamydial major outer membrane protein ("MOMP"; Westbay et al., *Infect. Immun.* 63:1391-1393, 1995; Su and Caldwell, *Vaccine* 11:1159-1166, 1993; Allen and Stephens, *Eur. J. Immunol.* 23:1169-1172, 1993; Su and Caldwell, *J. Exp. Med.* 175:227035, 1992; Su et al., *J. Exp. Med.* 172:203-212, 1990; and Guagliardi et al., *Infect. Immun* 57:1561-1567, 1989).

Within another aspect of the invention, the aforementioned methods may be utilized for treating or preventing parasitic infections such as, for example, malaria. Examples of suitable antigens include the circumsporozoite protein of *Plasmodium falciparum*.

Within other aspects of the invention, methods are provided for treating viral infections within warm-blooded animals, comprising the step of administering to a

warm-blooded animal a vector construct which directs the expression of at least one immunogenic portion of an antigen derived from a virus, and also administering to the warm-blooded animal a protein which comprises the afore-mentioned immunogenic portion of the antigen, such that an immune response is generated. Representative examples of such viruses include HIV, and hepatitis.

For HIV, particularly preferred antigens include the HIV gag and env genes. Suitable immunogenic portions may be readily identified by synthesis of relevant epitopes, and analysis utilizing a wide variety of techniques (Manca et al. *Eur. J. Immunol.* 25:1217-1223, 1995; Sarobe et al., *J. Acquir. Immune Defic. Syndr.* 7: 635-40, 1994; Shirai et al., *J. Immunol.* 152:549-56, 1994; Manca et al., *Int. Immunol.* 5:1109-1117, 1993; Ahlers et al., *J. Immunol.* 150:5647-65, 1993; Kundu and Merigan, *AIDS* 6:643-9, 1992; Lasarte et al., *Cell Immunol.* 141:211-8, 1992; and Hosmalin et al., *J. Immunol.* 146:1667-73, 1991).

Within other aspects of the invention, methods are provided for treating and/or preventing hepatitis infections within warm-blooded animals, comprising the step of administering to a warm-blooded animal a vector construct which directs the expression of at least one immunogenic portion of an antigen derived from hepatitis, and also administering to the warm-blooded animal a protein which comprises the afore-mentioned immunogenic portion of the antigen, such that an immune response is generated. Within preferred embodiments of the invention, the hepatitis virus is a hepatitis B or hepatitis C virus.

Briefly, the hepatitis B genome is comprised of circular DNA of about 3.2 kilobases in length, and has been well characterized (Tiollais et al., *Science* 213:406-411, 1981; Tiollais et al., *Nature* 317:489-495, 1985; and Ganem and Varmus, *Ann. Rev. Biochem.* 56:651-693, 1987; see also EP 0 278,940, EP 0 241,021, WO 88/10301, and U.S. Patent Nos. 4,696,898 and 5,024,938, which are hereby incorporated by reference). The hepatitis B virus presents several different antigens, including among others, three HB "S" antigens (HBsAgs), an HBc antigen (HBcAg), an HBe antigen (HBeAg), and an HBx antigen (HBxAg) (see Blum et al., "The Molecular Biology of Hepatitis B Virus." *TIG* 5(5):154-158, 1989). Briefly, the HBeAg results from proteolytic cleavage of P22 precore intermediate and is secreted from the cell. HBeAg is found in serum as a 17 kD protein. The HBcAg is a protein of 183 amino acids, and the HBxAg is a protein of 145 to 154 amino acids, depending on subtype.

HBsAg synthesized in animal cells is glycosylated, assembled and secreted into the cell supernatant (Tiollais et al., *Nature* 317:489-495, 1985). Three different env proteins are encoded by the S region of the HBV genome, which contains three translation start codons (Heerman et al., *J. Virol* 52:396-402, 1984; Tiollais et al.,

*Nature* 317:489-495, 1985). The large, middle, and major env proteins initiate translation at the first, second and third ATG and the synthesis proceeds to the end of the ORF. The preS<sub>1</sub>, preS<sub>2</sub> and the S gene segments of this ORF are located between the first and second ATG, the second and third ATG, and the third ATG and the end of the ORF, respectively. The three segments encode 119, 55 or 226 amino acids, respectively. The preS<sub>2</sub> product binds pHSA (Machida et al., *Gastroenterology* 86:910-918, 1984; Michel et al., *Proc. Natl. Acad. Sci. USA* 81:7708-7712, 1985; Persing et al., *Proc. Natl. Acad. Sci. USA* 82:3440-3444, 1985). Since hepatocytes express a receptor for HSA it has been suggested that pHSA may act as an intermediate receptor, binding to middle S protein and to hepatocyte, resulting virus attachment (Michel, et al., *Proc. Natl. Acad. Sci. USA* 81:7708-7712, 1985). The major and large env proteins are either non-glycosylated (p24, p39) or are glycosylated at a site within the S region (gp27, gp42). The middle env protein is glycosylated at a site within the pre-S<sub>2</sub> region (gp33) and may also be glycosylated in the S region (gp36).

As will be evident to one of ordinary skill in the art, various immunogenic portions of the above described antigens may be combined in order to present an immune response when administered by one of the vector constructs described herein. In addition, due to the large immunological variability that is found in different geographic regions for the S open reading frame of HBV, particular combinations of antigens may be preferred for administration in particular geographic regions. Briefly, epitopes that are found in all human hepatitis B virus S samples are defined as determinant "a". Mutually exclusive subtype determinants however have also been identified by two-dimensional double immunodiffusion (Ouchterlony, *Progr. Allergy* 5:1, 1958). These determinants have been designated "d" or "y" and "w" or "r" (LeBouvier, *J. Infect.* 123:671, 1971; Bancroft et al., *J. Immunol.* 109:842, 1972; Courouce et al., *Bibl. Haematol.* 42:1-158, 1976). The immunological variability is due to single nucleotide substitutions in two areas of the hepatitis B virus S open reading frame resulting in the following amino acid changes: (1) exchange of lysine-122 to arginine in the hepatitis B virus S open reading frame causes a subtype shift from *d* to *y*, and (2) exchange of arginine-160 to lysine causes the shift from subtype *r* to *w*. In black Africa, subtype *ayw* is predominant, whereas in the U.S. and northern Europe the subtype *adw*<sub>2</sub> is more abundant (*Molecular Biology of the Hepatitis B Virus*, McLachlan (ed.), CRC Press, 1991). As will be evident to one of ordinary skill in the art, it is generally preferred to construct a vector for administration which is appropriate to the particular hepatitis B virus subtype which is prevalent in the geographical region of administration. Subtypes of a particular region may be determined by two-

dimensional double immunodiffusion or, preferably, by sequencing the S open reading frame of HBV virus isolated from individuals within that region.

Also presented by HBV are pol ("HBV pol"), ORF 5, and ORF 6 antigens. Briefly, the polymerase open reading frame of HBV encodes reverse transcriptase activity found in virions and core-like particles in infected liver. The polymerase protein consists of at least two domains: the amino terminal domain encodes the protein that primes reverse transcription, and the carboxyl terminal domain which encodes reverse transcriptase and RNase H activity. Immunogenic portions of HBV pol may be determined utilizing methods described herein (*e.g.*, below and in Examples 15Ai and 16), utilizing vector constructs described below, and administered in order to generate an immune response within a warm-blooded animal. Similarly, other HBV antigens such as ORF 5 and ORF 6, (Miller et al., *Hepatology* 9:322-327, 1989), may be expressed utilizing vector constructs as described herein. Representative examples of vector constructs utilizing ORF 5 and ORF 6 are set forth below in Examples 5I and 5J.

Molecularly cloned genomes which encode the hepatitis B virus may be obtained from a variety of sources including, for example, the American Type Culture Collection (ATCC, Rockville, Maryland). For example, ATCC No. 45020 contains the total genomic DNA of hepatitis B (extracted from purified Dane particles) (*see* Figure 3 of Blum et al., *TIG* 5(5):154-158, 1989) in the Bam HI site of pBR322 (Moriarty et al., *Proc. Natl. Acad. Sci. USA* 78:2606-2610, 1981). (Note that, as described in Example 2A and as shown in Figure 2, correctable errors occur in the sequence of ATCC No. 45020.)

As noted above, at least one immunogenic portion of a hepatitis B antigen is incorporated into a vector construct. The immunogenic portion(s) which are incorporated into the vector construct may be of varying length, although it is generally preferred that the portions be at least 9 amino acids long, and may include the entire antigen. Immunogenicity of a particular sequence is often difficult to predict, although T cell epitopes may be predicted utilizing the HLA A2.1 motif described by Falk et al. (*Nature* 351:290, 1991). From this analysis, peptides may be synthesized and used as targets in an *in vitro* cytotoxic assay, such as that described in Example 15Ai. Other assays, however, may also be utilized, including, for example, ELISA which detects the presence of antibodies against the newly introduced vector, as well as assays which test for T helper cells, such as gamma-interferon assays, IL-2 production assays, and proliferation assays (Examples 15B and 15C).

Immunogenic portions may also be selected by other methods. For example, the HLA A2.1/K<sup>b</sup> transgenic mouse has been shown to be useful as a model



for human T-cell recognition of viral antigens. Briefly, in the influenza and hepatitis B viral systems, the murine T-cell receptor repertoire recognizes the same antigenic determinants recognized by human T-cells. In both systems, the CTL response generated in the HLA A2.1/K<sup>b</sup> transgenic mouse is directed toward virtually the same epitope as those recognized by human CTLs of the HLA A2.1 haplotype (Vitiello et al., *J. Exp. Med.* 173:1007-1015, 1991; Vitiello et al., *Abstract of Molecular Biology of Hepatitis B Virus Symposia*, 1992).

Particularly preferred immunogenic portions for incorporation into vector constructs include HBeAg, HBcAg, and HBsAg as described in greater detail below in the Examples 5A, 5B and 5G, respectively. Additional immunogenic portions of the hepatitis B virus may be obtained by truncating the coding sequence at various locations including, for example, the following sites: Bst UI, Ssp I, Ppu M1, and Msp I (Valenzuela et al., *Nature* 280:815-19, 1979; Valenzuela et al., *Animal Virus Genetics: ICN/UCLA Symp. Mol. Cell Biol.*, 1980, B. N. Fields and R. Jaenisch (eds.), pp. 57-70, New York: Academic).

Yet other preferred immunodominant T cell epitopes include aa 50-69 (PHHTALRQAILCWGELMTLA; SEQUENCE ID NO. 84) within the core molecule is recognized by 95% of patients with acute HBV infection and different HLA haplotypes, and peptides 1-20 (MDIDPYKEFGATVELLSFLP; SEQUENCE ID NO. 85) and 117-131 (EYLVSFQGVWIRTPPA; SEQUENCE ID NO. 86) of the core antigen can also induce T cell proliferation.

Further methods for determining suitable immunogenic portions as well as methods are also described in more detail below. (*see also*, Ferrari et al., *J. Clin. Invest.* 88: 214-222, 1991)

Within another aspect of the present invention, methods are provided for treating hepatitis C infections, comprising the step of administering to a warm-blooded animal a vector construct which directs the expression of at least one immunogenic portion of a hepatitis C antigen, and also administering to the warm-blooded animal a protein which comprises the afore-mentioned immunogenic portion of the antigen, such that an immune response is generated. Briefly, as noted above, hepatitis C (non-A, non-B (NANB) hepatitis) is a common disease that accounts for more than 90% of the cases of hepatitis that develop after transfusion (Choo et al., *Science* 244:359-362, 1989). Most information on NANB hepatitis was derived from chimpanzee transmission studies which showed that NANB hepatitis was present in most human infections at titers of only  $10^2$  -  $10^3$  CID/ml (chimp infectious doses per ml). In addition, the disease was found to cause the appearance of distinctive, membranous

tubules within the hepatocytes of experimentally infected chimpanzees. This "tubule-forming" agent was subsequently termed hepatitis C virus (HCV).

The genomic RNA of HCV has recently been determined to have a sequence of 9379 nucleotides (Choo et al., *Proc. Natl. Acad. Sci. USA* 88:2451-2455, 1991; Choo et al., *Brit. Med. Bull.* 46(2):423-441, 1990; Okamoto et al., *J. Gen. Vir.* 72:2697-2704, 1991; see also Genbank Accession No. M67463, Intelligenetics (Mountain View, California). This sequence expresses a polyprotein precursor of 3011 amino acids, which has significant homology to proteins of the flavivirus family. The polyprotein precursor is cleaved to yield several different viral proteins, including C (nucleocapsid protein) E1, E2/NS1, and non-structural proteins NS2, NS3, NS4, and NS5 (Houghton et al., *Hepatology* 14:381-388, 1991).

As noted above, within one embodiment of the present invention, at least one immunogenic portion of a hepatitis C antigen is incorporated into a vector construct. Preferred immunogenic portion(s) of hepatitis C may be found in the C and NS3-NS4 regions since these regions are the most conserved among various types of hepatitis C virus (Houghton et al., *Hepatology* 14:381-388, 1991). Particularly preferred immunogenic portions may be determined by a variety of methods. For example, as noted above for the hepatitis B virus, identification of immunogenic portions of the polyprotein may be predicted based upon amino acid sequence. Briefly, various computer programs which are known to those of ordinary skill in the art may be utilized to predict CTL epitopes. For example, CTL epitopes for the HLA A2.1 haplotype may be predicted utilizing the HLA A2.1 motif described by Falk et al. (*Nature* 351:290, 1991). From this analysis, peptides are synthesized and used as targets in an *in vitro* cytotoxic assay, such as that described in Example 15A.

Preferred immunogenic portions may also be selected in the following manner. Briefly, blood samples from a patient with HCV are analyzed with antibodies to individual HCV polyprotein regions (e.g., HCV core, E1, E2/NS1 and NS2-NS5 regions), in order to determine which antigenic fragments are present in the patient's serum. In patients treated with alpha interferon to give temporary remission, some antigenic determinants will disappear and be supplanted by endogenous antibodies to the antigen. Such antigens are useful as immunogenic portions within the context of the present invention (Hayata et al., *Hepatology* 13:1022-1028, 1991; Davis et al., *N. Eng. J. Med.* 321:1501-1506, 1989; see also Choo et al., *Proc. Natl. Acad. Sci. USA* 88:2451-2455, 1991).

It should be noted that although numerous specific immunogenic portions of antigens have been provided herein for the treatment and/or prevention of a wide variety of intracellular diseases, that the invention should not be so limited. In

particular, further additional immunogenic portions may be determined by a variety of methods. For example, as noted above preferred immunogenic portions may be predicted based upon amino acid sequence. Briefly, various computer programs which are known to those of ordinary skill in the art may be utilized to predict CTL epitopes.

- 5 For example, CTL epitopes for the HLA A2.1 haplotype may be predicted utilizing the HLA A2.1 motif described by Falk et al. (*Nature* 351:290, 1991).

From this analysis, peptides are synthesized and used to identify CTL epitopes. Next, these peptides are tested on individuals with acute hepatitis B infection or on HLA A2.1 or HLA A2.1/K<sup>b</sup> transgenic mice. Effector cells from individuals with acute hepatitis B infection are stimulated *in vitro* with transduced autologous (Example 11Aiii) LCL and tested on autologous LCLs coated with the peptide. The chromium release assay is performed as described in Example 15Aiv, except that peptide is added at a final concentration of 1-100 µg/ml to non-transduced Na<sub>2</sub><sup>51</sup>CrO<sub>4</sub>-labeled LCL along with effector cells. The reaction is incubated 4-6 hours and a standard chromium release assay performed as described in Example 12A i.

Effector cells from HLA A2.1 or HLA A2.1/K<sup>b</sup> transgenic mice are harvested and CTL assays performed as described in Example 15Aii. The peptide is added at a final concentration of 1-10 µg/ml to non-transduced Na<sub>2</sub><sup>51</sup>CrO<sub>4</sub>-labeled ELA A2/K<sup>b</sup> cells. These peptide coated cells are utilized as targets in a CTL assay.

Another method that may also be utilized to predict immunogenic portions is to determine which portion has the property of CTL induction in mice utilizing retroviral vectors (*see*, Warner et al., *AIDS Res. and Human Retroviruses* 7:645-655, 1991). As noted within Warner et al., CTL induction in mice may be utilized to predict cellular immunogenicity in humans. Preferred immunogenic portions may also be deduced by determining which fragments of the polyprotein antigen or peptides are capable of inducing lysis by autologous patient lymphocytes of target cells (*e.g.*, autologous EBV-transformed lymphocytes) expressing the fragments after vector transduction of the corresponding genes (Example 16).

As utilized within the present invention, it should be understood that immunogenic portions also includes antigens which have been modified in order to render them more immunogenic. Representative examples of suitable methods for modifying an immunogen include: adding amino acid sequences that correspond to T helper epitopes; promoting cellular uptake by adding hydrophobic residues; by forming particulate structures; or any combination of these (*see generally*, Hart, *op. cit.*, Milich et al., *Proc. Natl. Acad. Sci. USA* 85:1610-1614, 1988; Willis, *Nature* 340:323-324, 1989; Griffiths et al., *J. Virol.* 65:450-456, 1991). In addition, a monomeric non-

particulate form of Hepatitis B virus core protein can be utilized to prime T-help for CTL prior to administration of the vector construct. This is shown in Example 14Ai.

Once an immunogenic portion has been selected, it is also generally preferable to ensure that it is non-tumorigenic. This may be accomplished by a variety of methods, including for example by truncation, point mutation, addition of premature stop codons, or phosphorylation site alteration. Antigens or modified forms thereof may also be tested for tumorigenicity utilizing the above-described methods.

As noted above, more than one immunogenic portion may be incorporated into the vector construct. For example, a vector construct may express (either separately or as one construct) all or immunogenic portions of HBcAg, HBeAg, HBsAg, HBxAg as well as immunogenic portions of HCV antigens as discussed below.

#### PROTEINS

Immunogenic portion(s) of the above-discussed antigens can be produced in a number of known ways (Ellis and Gerety, *J. Med. Virol.* 31:54-58, 1990), including chemical synthesis (Bergot et al., *Applied Biosystems Peptide Synthesizer User Bulletin No. 16*, 1986, Applied Biosystems, Foster City, California) and DNA expression in recombinant systems, such as the insect-derived baculovirus system (Doerfler, *Current Topics in Immunology* 131:51-68, 1986), mammalian-derived systems (such as CHO cells) (Berman et al., *J. Virol.* 63:3489-3498, 1989), yeast-derived systems (McAleer et al., *Nature* 307:178-180), and prokaryotic systems (Burrell et al., *Nature* 279:43-47, 1979).

The proteins or peptides may then be purified by conventional means and delivered by a number of methods to induce cell-mediated responses, including class I and class II responses. These methods include the use of adjuvants of various types, such as ISCOMS (Morein, *Immunology Letters* 25:281-284, 1990; Takahashi et al., *Nature* 344:873-875m, 1990), liposomes (Gergoriadis et al., *Vaccine* 5:145-151, 1987), lipid conjugation (Deres et al., *Nature* 342:561-564, 1989), coating of the peptide on autologous cells (Staerz et al., *Nature* 329:449-451, 1987), pinosomes (Moore et al., *Cell* 54:777-785, 1988), alum, complete or incomplete Freund's adjuvants (Hart et al., *Proc. Natl. Acad. Sci. USA* 88:9448-9452, 1991), or various other useful adjuvants (e.g., Allison and Byars, *Vaccines* 87:56-59, Cold Spring Harbor Laboratory, 1987) that allow effective parenteral administration (Litvin et al., *Advances in AIDS Vaccine Development*, Fifth Annual Meeting of the National Vaccine Development Groups for AIDS, August 30, 1992).

Alternatively, the proteins or peptides corresponding to the immunogenic portion(s) discussed above can be encapsulated for oral administration to elicit an immune response in enteric capsules (Channock et al., *J. Amer. Med. Assoc.* 195:445-452, 1966) or other suitable carriers, such as poly (DL-lactide-co-glycolate) spheres (Eldridge et al. in Proceedings of the International Conference on Advances in AIDS Vaccine Development, DAIDS, NIAID, U.S. Dept of Health & Human Services, 1991) for gastrointestinal release.

#### IMMUNOMODULATORY COFACTORS

In addition, the vector construct may also co-express an immunomodulatory cofactor, such as alpha interferon (Finter et al., *Drugs* 42(5):749-765, 1991; U.S. Patent No. 4,892,743; U.S. Patent No. 4,966,843; WO 85/02862; Nagata et al., *Nature* 284:316-320, 1980; Familletti et al., *Methods in Enz.* 78:387-394, 1981; Twu et al., *Proc. Natl. Acad. Sci. USA* 86:2046-2050, 1989; Faktor et al., *Oncogene* 5:867-872, 1990), beta interferon (Seif et al., *J. Virol.* 65:664-671, 1991), gamma interferons (Radford et al., *The American Society of Hepatology* 20082015, 1991; Watanabe et al., *PNAS* 86:9456-9460, 1989; Gansbacher et al., *Cancer Research* 50:7820-7825, 1990; Maio et al., *Can. Immunol. Immunother.* 30:34-42, 1989; U.S. Patent No. 4,762,791; U.S. Patent No. 4,727,138), G-CSF (U.S. Patent Nos. 4,999,291 and 4,810,643), GM-CSF (WO 85/04188), TNFs (Jayaraman et al., *J. Immunology* 144:942-951, 1990), Interleukin-2 (IL-2) (Karupiah et al., *J. Immunology* 144:290-298, 1990; Weber et al., *J. Exp. Med.* 166:1716-1733, 1987; Gansbacher et al., *J. Exp. Med.* 172:1217-1224, 1990; U.S. Patent No. 4,738,927), IL-4 (Tepper et al., *Cell* 57:503-512, 1989; Golumbek et al., *Science* 254:713-716, 1991; U.S. Patent No. 5,017,691), IL-6 (Brakenhof et al., *J. Immunol.* 139:4116-4121, 1987; WO 90/06370), ICAM-1 (Altman et al., *Nature* 338:512-514, 1989), ICAM-2, LFA-1, LFA-3, MHC class I molecules, MHC class II molecules,  $\beta_2$ -microglobulin, chaperones, CD3, B7, MHC linked transporter proteins or analogs thereof (Powis et al., *Nature* 354:528-531, 1991).

The choice of which immunomodulatory cofactor to include within a vector construct may be based upon known therapeutic effects of the cofactor, or, experimentally determined. For example, in chronic hepatitis B infections alpha interferon has been found to be efficacious in compensating a patient's immunological deficit, and thereby assisting recovery from the disease. Alternatively, a suitable immunomodulatory cofactor may be experimentally determined. Briefly, blood samples are first taken from patients with a hepatic disease. Peripheral blood lymphocytes (PBLs) are restimulated *in vitro* with autologous or HLA matched cells

(e.g., EBV transformed cells) that have been transduced with a vector construct which directs the expression of an immunogenic portion of a hepatitis antigen and the immunomodulatory cofactor. These stimulated PBLs are then used as effectors in a CTL assay with the HLA matched transduced cells as targets. An increase in CTL response over that seen in the same assay performed using HLA matched stimulator and target cells transduced with a vector encoding the antigen alone, indicates a useful immunomodulatory cofactor. Within one embodiment of the invention, the immunomodulatory cofactor gamma interferon is particularly preferred.

Another example of an immunomodulatory cofactor is the B7 costimulatory factor. Briefly, activation of the full functional activity of T cells requires two signals. One signal is provided by interaction of the antigen-specific T cell receptor with peptides which are bound to major histocompatibility complex (MHC) molecules, and the second signal, referred to as costimulation, is delivered to the T cell by antigen presenting cells. The second signal is required for interleukin-2 (IL-2) production by T cells, and appears to involve interaction of the B7 molecule on antigen-presenting cells with CD28 and CTLA-4 receptors on T lymphocytes (Linsley et al., *J. Exp. Med.* 173:721-730, 1991a. and *J. Exp. Med.* 174:561-570, 1991). Within one embodiment of the invention, B7 may be introduced into cells in order to generate efficient antigen presenting cells which prime CD8<sup>+</sup> T cells. These CD8<sup>+</sup> T cells can kill cells that are not expressing B7 because costimulation is no longer required for further CTL function. Vectors that express both the costimulatory B7 factor, and, for example, an immunogenic HBV core protein, may be made utilizing methods which are described herein. Cells transduced with these vectors will become more effective antigen presenting cells. The HBV core-specific CTL response will be augmented from the fully activated CD8<sup>+</sup> T cell via the costimulatory ligand B7. A particular preferred embodiment is shown in Example 6Ci and 6Cii.

Within one aspect of the invention, one or more immunomodulatory cofactors may be included within and coexpressed by a vector construct (or separately administered) in order to shift the balance between a T<sub>H</sub>1 and T<sub>H</sub>2-mediated response. Briefly, based on their cytokine secretion pattern, T helper cells are divided into two mutually exclusive sets known as T helper 1 (T<sub>H</sub>1) or T helper 2 (T<sub>H</sub>2). T<sub>H</sub>1 cells secrete IL-2, IL-12, IL-15,  $\gamma$ -IFN and TNF $\beta$ , help B cells to differentiate and secrete IgG<sub>2a</sub>, and help CTLs to proliferate. T<sub>H</sub>2 cells secrete IL-4, IL-5, IL-6, IL-9, and IL-10, and help B cells to differentiate and secrete IgE or IgG<sub>1</sub>. Within particularly preferred embodiments of the invention, it is desired to shift the balance between T<sub>H</sub>1 and T<sub>H</sub>2. As described in more detail below, this may be accomplished by introducing a T<sub>H</sub>1 cytokine gene (e.g., an IL-2, IL-12, IL-15,  $\gamma$ -IFN or TNF $\beta$  gene) (along with one

or more genes which encode the Hepatitis B or Hepatitis C antigens described herein) into target cells, and thereby shifting an immune response to a  $T_H1$ -mediated CTL response. A particularly preferred embodiment is shown in Example 6E.

Molecules which encode the above-described immunomodulatory cofactors may be obtained from a variety of sources. For example, plasmids which contain these sequences may be obtained from a depository such as the American Type Culture Collection (ATCC, Rockville, Maryland), or from commercial sources such as British Bio-technology Limited (Cowley, Oxford England). Representative examples include BBG 12 (containing the GM-CSF gene coding for the mature protein of 127 amino acids), BBG 6 (which contains sequences encoding gamma interferon), ATCC No. 39656 (which contains sequences encoding TNF), ATCC No. 20663 (which contains sequences encoding alpha interferon), ATCC Nos. 31902, 31902 and 39517 (which contains sequences encoding beta interferon), ATCC Nos. 39405, 39452, 39516, 39626 and 39673 (which contains sequences encoding Interleukin-2), ATCC No. 57592 (which contains sequences encoding Interleukin-4), and ATCC 67153 (which contains sequences encoding Interleukin-6).

In a similar manner, sequences which encode immunomodulatory cofactors may be readily obtained from cells which express or contain sequences which encode these cofactors. Briefly, within one embodiment, primers are prepared on either side of the desired sequence, which is subsequently amplified by PCR (*see* U.S. Patent Nos. 4,683,202, 4,683,195 and 4,800,159) (*see also PCR Technology: Principles and Applications for DNA Amplification*, Erlich (ed.), Stockton Press, 1989). In particular, a double-stranded DNA is denatured by heating in the presence of heat stable Taq polymerase, sequence specific DNA primers, ATP, CTP, GTP and TTP. Double-stranded DNA is produced when synthesis is complete. This cycle may be repeated many times, resulting in a factorial amplification of the desired DNA.

Sequences which encode immunomodulatory cofactors may also be synthesized, for example, on an Applied Biosystems Inc. DNA synthesizer (*e.g.*, ABI DNA synthesizer model 392 (Foster City, California)). Such sequences may also be linked together through complementary ends, followed by PCR amplification (Vent polymerase, New England Biomedical, Beverly, Massachusetts) to form long double-stranded DNA molecules (Foguet et al., *Biotechniques* 13:674-675, 1992).

Within another embodiment of the invention, vector constructs may be prepared in order to express a gene which is (or becomes) lethal in the presence of another agent. For example, cells which express the HSV-1 thymidine kinase gene become sensitive to gancyclovir, whereas normal cells are unaffected. Thus, vector constructs may be prepared in order to express a gene such as the Herpes Simplex Virus

(HSV-1) thymidine kinase gene (see generally, WO 95/14091). The length of time the therapeutic gene(s) is expressed within the patent after administration of the vector construct may thus be limited by the administration of gancyclovir. A representative vector construct is described in more detail below in Example 5K.

5

#### VECTOR CONSTRUCTS

Once an immunogenic portion(s) (and, if desired, an immunomodulatory cofactor) have been selected, genes which encode these proteins are placed into a vector construct which directs their expression. In general, such vectors encode only these  
 10 genes, and no selectable marker. Vectors encoding and leading to expression of a specific antigen and immunomodulatory cofactor may be readily constructed by those skilled in the art. Representative examples of suitable vectors include retroviral vectors, alphaviruses vectors, and a wide variety of other viral and non-viral vectors.

##### 15 1. Construction of retroviral gene delivery vehicles

Within one aspect of the present invention, retroviral vector constructs are provided which are constructed to carry or express the selected immunogenic portion of an antigen of interest. Numerous retroviral gene delivery vehicles may be utilized within the context of the present invention, including for example EP  
 20 0,415,731; WO 90/07936; WO 91/0285; WO 9403622; WO 9325698; WO 9325234; U.S. Patent No. 5,219,740; WO 9311230; WO 9310218; Vile and Hart, *Cancer Res.* 53:3860-3864, 1993; Vile and Hart, *Cancer Res.* 53:962-967, 1993; Ram et al., *Cancer Res.* 53:83-88, 1993; Takamiya et al., *J. Neurosci. Res.* 33:493-503, 1992; Baba et al., *J. Neurosurg.* 79:729-735, 1993 (U.S. Patent No. 4,777,127, GB 2,200,651, EP  
 25 0,345,242 and WO91/02805).

Retroviral gene delivery vehicles of the present invention may be readily constructed from a wide variety of retroviruses, including for example, B, C, and D type retroviruses as well as spumaviruses and lentiviruses (see RNA Tumor Viruses, Second Edition, Cold Spring Harbor Laboratory, 1985). Briefly, viruses are often  
 30 classified according to their morphology as seen under electron microscopy. Type "B" retroviruses appear to have an eccentric core, while type "C" retroviruses have a central core. Type "D" retroviruses have a morphology intermediate between type B and type C retroviruses. Representative examples of suitable retroviruses include those set forth below in Figures 17A, B and C (see RNA Tumor Viruses at pages 2-7), as well as a  
 35 variety of xenotropic retroviruses (e.g., NZB-X1, NZB-X2 and NZB<sub>9.1</sub> (see O'Neill et al., *J. Vir.* 53:100-106, 1985)) and polytropic retroviruses (e.g., MCF and MCF-MLV (see Kelly et al., *J. Vir.* 45(1):291-298, 1983)). Such retroviruses may be readily



obtained from depositories or collections such as the American Type Culture Collection ("ATCC"; Rockville, Maryland), or isolated from known sources using commonly available techniques.

Particularly preferred retroviruses for the preparation or construction of retroviral gene delivery vehicles of the present invention include retroviruses selected from the group consisting of Avian Leukosis Virus, Bovine Leukemia Virus, Murine Leukemia Virus, Mink-Cell Focus-Inducing Virus, Murine Sarcoma Virus, Reticuloendotheliosis virus and Rous Sarcoma Virus. Particularly preferred Murine Leukemia Viruses include 4070A and 1504A (Hartley and Rowe, *J. Virol.* 19:19-25, 1976), Abelson (ATCC No. VR-999), Friend (ATCC No. VR-245), Graffi, Gross (ATCC No. VR-590), Kirsten, Harvey Sarcoma Virus and Rauscher (ATCC No. VR-998), and Moloney Murine Leukemia Virus (ATCC No. VR-190). Particularly preferred Rous Sarcoma Viruses include Bratislava, Bryan high titer (*e.g.*, ATCC Nos. VR-334, VR-657, VR-726, VR-659, and VR-728), Bryan standard, Carr-Zilber, Engelbreth-Holm, Harris, Prague (*e.g.*, ATCC Nos. VR-772, and 45033), and Schmidt-Ruppin (*e.g.* ATCC Nos. VR-724, VR-725, VR-354).

Any of the above retroviruses may be readily utilized in order to assemble or construct retroviral gene delivery vehicles given the disclosure provided herein and standard recombinant techniques (*e.g.*, Sambrook et al, *Molecular Cloning: A Laboratory Manual*, 2d ed., Cold Spring Harbor Laboratory Press, 1989; Kunkle, *PNAS* 82:488, 1985). In addition, within certain embodiments of the invention, portions of the retroviral gene delivery vehicles may be derived from different retroviruses. For example, within one embodiment of the invention, retrovector LTRs may be derived from a Murine Sarcoma Virus, a tRNA binding site from a Rous Sarcoma Virus, a packaging signal from a Murine Leukemia Virus, and an origin of second strand synthesis from an Avian Leukosis Virus.

Within one aspect of the present invention, retrovector constructs are provided comprising a 5' LTR, a tRNA binding site, a packaging signal, one or more heterologous sequences, an origin of second strand DNA synthesis and a 3' LTR, wherein the vector construct lacks gag/pol or env coding sequences. Briefly, Long Terminal Repeats ("LTRs") are subdivided into three elements, designated U5, R and U3. These elements contain a variety of signals which are responsible for the biological activity of a retrovirus, including for example, promoter and enhancer elements which are located within U3. LTRs may be readily identified in the provirus due to their precise duplication at either end of the genome. As utilized herein, a 5' LTR should be understood to include a 5' promoter element and sufficient LTR sequence to allow reverse transcription and integration of the DNA form of the vector.

The 3' LTR should be understood to include a polyadenylation signal, and sufficient LTR sequence to allow reverse transcription and integration of the DNA form of the vector.

5 The tRNA binding site and origin of second strand DNA synthesis are also important for a retrovirus to be biologically active, and may be readily identified by one of skill in the art. For example, retroviral tRNA binds to a tRNA binding site by Watson-Crick base pairing, and is carried with the retrovirus genome into a viral particle. The tRNA is then utilized as a primer for DNA synthesis by reverse transcriptase. The tRNA binding site may be readily identified based upon its location  
10 just downstream from the 5' LTR. Similarly, the origin of second strand DNA synthesis is, as its name implies, important for the second strand DNA synthesis of a retrovirus. This region, which is also referred to as the poly-purine tract, is located just upstream of the 3' LTR.

15 In addition to a 5' and 3' LTR, tRNA binding site, and origin of second strand DNA synthesis, certain preferred retrovector constructs which are provided herein also comprise a packaging signal, as well as one or more heterologous sequences, each of which is discussed in more detail below.

20 Within one aspect of the invention, retrovector constructs are provided which lack both *gag/pol* and *env* coding sequences. As utilized herein, the phrase "lacks *gag/pol* or *env* coding sequences" should be understood to mean that the retrovector does not contain at least 20, preferably at least 15, more preferably at least 10, and most preferably at least 8 consecutive nucleotides which are found in *gag/pol* or *env* genes, and in particular, within *gag/pol* or *env* expression cassettes that are used to construct packaging cell lines for the retrovector construct.

25 Within other aspects of the present invention, retrovector constructs are provided comprising a 5' LTR, a tRNA binding site, a packaging signal, an origin of second strand DNA synthesis and a 3' LTR, wherein the retrovector construct does not contain a retroviral nucleic acid sequence upstream of the 5' LTR. As utilized within the context of the present invention, the phrase "does not contain a retroviral nucleic  
30 acid sequence upstream of the 5' LTR" should be understood to mean that the retrovector does not contain at least 20, preferably at least 15, more preferably at least 10, and most preferably at least 8 consecutive nucleotides which are found in a retrovirus, and more specifically, in a retrovirus which is homologous to the retrovector construct. Within a preferred embodiment, the retrovector constructs do not contain a  
35 *env* coding sequence upstream of the 5' LTR.

Within a further aspect of the present invention, retrovector constructs are provided comprising a 5' LTR, a tRNA binding site, a packaging signal, an origin of

second strand DNA synthesis and a 3' LTR, wherein the retrovector construct does not contain a retroviral packaging signal sequence downstream of the 3' LTR. As utilized herein, the term "packaging signal sequence" should be understood to mean a sequence sufficient to allow packaging of the RNA genome.

5 Packaging cell lines suitable for use with the above described retrovector constructs may be readily prepared (see U.S. Serial No. 08/437,465; see also U.S. Serial No. 07/800,921), and utilized to create producer cell lines (also termed vector cell lines or "VCLs") for the production of recombinant vector particles.

## 10 2. Alphavirus vectors

Within other embodiments of the invention, alphavirus vectors, or eukaryotic layered vector initiation systems may be utilized to delivery the immunogenic portions of an antigen of interest to the warm-blooded animal. Representative examples of such vectors are described within U.S. Application Serial  
15 Nos. 08/405,827 and 08/628,594).

For representative purposes only, the Sindbis virus, which is the prototypic member of the alphavirus genus of the Togavirus family will be discussed. Briefly, the unsegmented genomic RNA (49S RNA) of Sindbis virus is approximately 11,703 nucleotides in length, contains a 5' cap and a 3' poly-adenylated tail, and  
20 displays positive polarity. Infectious enveloped Sindbis virus is produced by assembly of the viral nucleocapsid proteins onto the viral genomic RNA in the cytoplasm and budding through the cell membrane embedded with viral encoded glycoproteins. Entry of virus into cells is by endocytosis through clatharin coated pits, fusion of the viral membrane with the endosome, release of the nucleocapsid, and uncoating of the viral  
25 genome. During viral replication the genomic 49S RNA serves as template for synthesis of the complementary negative strand. This negative strand in turn serves as template for genomic RNA and an internally initiated 26S subgenomic RNA. The Sindbis viral nonstructural proteins are translated from the genomic RNA while structural proteins are translated from the subgenomic 26S RNA. All viral genes are  
30 expressed as a polyprotein and processed into individual proteins by post translational proteolytic cleavage. The packaging sequence resides within the nonstructural coding region, therefore only the genomic 49S RNA is packaged into virions.

Several different Sindbis vector systems may be constructed and utilized within the present invention. Representative examples of such systems include those  
35 described within U.S. Patent Nos. 5,091,309 and 5,217,879.

Certain representative alphavirus vectors for use within the present invention include those which are described within U.S. Serial No. 08/405,827.

Briefly, within one embodiment, Sindbis vector constructs are provided comprising a 5' sequence which is capable of initiating transcription of a Sindbis virus, a nucleotide sequence encoding Sindbis non-structural proteins, a viral junction region, and a Sindbis RNA polymerase recognition sequence. Within other embodiments, the viral junction region has been modified such that viral transcription of the subgenomic fragment is reduced. Within another embodiment, Sindbis vector constructs are provided comprising a 5' sequence which is capable of initiating transcription of a Sindbis virus, a nucleotide sequence encoding Sindbis non-structural proteins, a first viral junction region which has been inactivated such that viral transcription of the subgenomic fragment is prevented, a second viral junction region which has been modified such that viral transcription of the subgenomic fragment is reduced, and a Sindbis RNA polymerase recognition sequence. Within yet another embodiment, Sindbis cDNA vector constructs are provided comprising the above-described vector constructs, in addition to a 5' promoter which is capable of initiating the synthesis of viral RNA from cDNA, and a 3' sequence which controls transcription termination.

In still further embodiments, the vector constructs described above contain no Sindbis structural proteins in the vector constructs the selected heterologous sequence may be located downstream from the viral junction region; in the vector constructs described above having a second viral junction, the selected heterologous sequence may be located downstream from the second viral junction region, where the heterologous sequence is located downstream, the vector construct may comprise a polylinker located between the viral junction region and said heterologous sequence, and preferably the polylinker does not contain a wild-type Sindbis virus restriction endonuclease recognition sequence.

The above described Sindbis vector constructs, as well as numerous similar vector constructs, may be readily prepared essentially as described in U.S. Serial No. 08/198,450, which is incorporated herein by reference in its entirety.

### 3. Other viral gene delivery vehicles

In addition to retroviral vectors and alphavirus vectors, numerous other viral vectors systems may also be utilized within the context of the present invention. Representative examples of such gene delivery vehicles include poliovirus (Evans et al., *Nature* 339:385-388, 1989; and Sabin, *J. Biol. Standardization* 1:115-118, 1973); rhinovirus; pox viruses, such as canary pox virus or vaccinia virus (Fisher-Hoch et al., *PNAS* 86:317-321, 1989; Flexner et al., *Ann. N.Y. Acad. Sci.* 569:86-103, 1989; Flexner et al., *Vaccine* 8:17-21, 1990; U.S. Patent Nos. 4,603,112, 4,769,330 and 5,017,487; WO 89/01973); SV40 (Mulligan et al., *Nature* 277:108-114, 1979); influenza virus

(Luytjes et al., *Cell* 59:1107-1113, 1989; McMichael et al., *N. Eng. J. Med.* 309:13-17, 1983; and Yap et al., *Nature* 273:238-239, 1978); adenovirus (Berkner, *Biotechniques* 6:616-627, 1988; Rosenfeld et al., *Science* 252:431-434, 1991; WO 93/9191; Kolls et al., *PNAS* 91(1):215-219, 1994; Kass-Eisler et al., *PNAS* 90(24):11498-502, 1993; 5 Guzman et al., *Circulation* 88(6):2838-48, 1993; Guzman et al., *Cir. Res.* 73(6):1202-1207, 1993; Zabner et al., *Cell* 75(2):207-216, 1993; Li et al., *Hum. Gene Ther.* 4(4):403-409, 1993; Caillaud et al., *Eur. J. Neurosci.* 5(10):1287-1291, 1993; Vincent et al., *Nat. Genet.* 5(2):130-134, 1993; Jaffe et al., *Nat. Genet.* 1(5):372-378, 1992; and Levrero et al., *Gene* 101(2):195-202, 1991); parvovirus such as adeno-associated virus 10 (Samulski et al., *J. Vir.* 63:3822-3828, 1989; Mendelson et al., *Virol.* 166:154-165, 1988; PA 7/222,684; Flotte et al., *PNAS* 90(22):10613-10617, 1993); herpes (Kit, *Adv. Exp. Med. Biol.* 215:219-236, 1989; U.S. Patent No. 5,288,641); SV40; HIV (Poznansky, *J. Virol.* 65:532-536, 1991); measles (EP 0 440,219); astrovirus (Munroe, S.S. et al., *J. Vir.* 67:3611-3614, 1993); and coronavirus, as well as other viral systems 15 (e.g., EP 0,440,219; WO 92/06693; U.S. Patent No. 5,166,057). In addition, viral carriers may be homologous, non-pathogenic(defective), replication competent virus (e.g., Overbaugh et al., *Science* 239:906-910,1988), and nevertheless induce cellular immune responses, including CTL. Within one particularly preferred embodiment, the gene delivery vehicle can be a eukaryotic layered vector initiation system (see U.S. 20 Application No. 08/404,796 or 08/405,827).

#### 4. Non-viral gene delivery vehicles

In addition to the above viral-based vectors, numerous non-viral gene delivery vehicles may likewise be utilized within the context of the present invention. 25 Representative examples of such gene delivery vehicles include direct delivery of nucleic acid expression vectors, naked DNA alone (WO 90/11092), polycation condensed DNA linked or unlinked to killed adenovirus (Curiel et al., *Hum. Gene Ther.* 3:147-154, 1992), DNA ligand linked to a ligand with or without one of the high affinity pairs described above (Wu et al., *J. of Biol. Chem* 264:16985-16987, 1989), and 30 certain eukaryotic cells (e.g., producer cells - see U.S. Serial Nos. 07/800,921 and 08/437,465).

### ADMINISTRATION

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As noted above, the present invention provides methods are for treating intracellular infections within warm-blooded animals, comprising the steps of

administering to a warm-blooded animal a vector construct which directs the expression of at least one immunogenic portion of an antigen derived from an intracellular pathogen, and also administering to the warm-blooded animal a protein which comprises the afore-mentioned immunogenic portion of the antigen, such that an immune response is generated. Briefly, methods for administering vector constructs may be readily accomplished by either direct *in vivo*, or, *ex vivo* delivery. Representative examples of suitable methods include, for example, intradermally ("i.d."), intracranially ("i.c."), intraperitoneally ("i.p."), intrathecally ("i.t."), intravenously ("i.v."), subcutaneously ("s.c."), intramuscularly ("i.m."). Other methods include, for example, transfection of cells by various physical methods, such as lipofection (Felgner et al., *Proc. Natl. Acad. Sci. USA* 84:7413-7417, 1989), direct DNA injection (Acsadi et al., *Nature* 352:815-818, 1991); microprojectile bombardment (Williams et al., *PNAS* 88:2726-2730, 1991); liposomes (Wang et al., *PNAS* 84:7851-7855, 1987);  $\text{CaPO}_4$  (Dubensky et al., *PNAS* 81:7529-7533, 1984); DNA ligand (Wu et al., *J. Biol. Chem.* 264:16985-16987, 1989); or even the direct administration of nucleic acids (Curiel et al., *Human Gene and Therapy* 3:147-154, 1992).

Within certain preferred embodiments of the invention, the protein which comprises the immunogenic portion of the antigen(s) of interest is administered prior to administration of the vector construct. Briefly, as described in more detail below, the amount of antigen that is present *in vivo* may be insufficient in order to elicit a high level of Th-priming. Thus, within certain embodiments a synthetic immunogenic portion of the antigen may be administered in order to enhance the Th-priming event prior to administration of the vector construct (*e.g.*, retroviral vector).

Within particularly preferred embodiments of the invention, blood from the warm-blooded animal (*e.g.*, human) is assayed in order to determine the level of T helper response present within the animal. Representative methods for accomplishing such assays are described in more detail in Maruyama et al., *J. Clinical Invest* 91:2586-2595, 1993; Maruyama et al., *Gastro* 105:1141-1151, 1993.

#### COMPOSITIONS

Within preferred embodiments of the present invention, compositions are provided comprising a vector construct which directs the expression of at least one immunogenic portion of an antigen derived from an intracellular pathogen, a protein which comprises an immunogenic portion of said antigen, and optionally, a pharmaceutically acceptable carrier or diluent. As noted above, a wide variety of vector

constructs may be utilized within the context of the present invention, including for example recombinant retroviruses or recombinant virus selected from the group consisting of parvovirus, adeno-associated virus, and alphaviruses.

Such composition may be prepared either as a liquid solution, or as a solid form (*e.g.*, lyophilized) which is suspended in a solution prior to administration. In addition, the composition may be prepared with suitable carriers or diluents for either injection, oral, or rectal administration. Generally, if the vector construct is a recombinant virus, the virus is utilized at a concentration ranging from 0.25% to 25%, and preferably about 5% to 20% before formulation. Subsequently, after preparation of the composition, the recombinant virus will constitute about 1  $\mu$ g of material per dose, with about 10 times this amount material (10  $\mu$ g) as copurified contaminants. Preferably, the composition is prepared in 0.1-1.0 ml of aqueous solution formulated as described below.

Within certain embodiments of the invention, the compositions provided herein may be formulated along with an adjuvant. Representative examples of suitable adjuvants include MF-59, aluminumhydroxide ("Alumn"), MAP (Multiple Antigen Peptides) and the like.

Pharmaceutically acceptable carriers or diluents are nontoxic to recipients at the dosages and concentrations employed. Representative examples of carriers or diluents for injectable solutions include water, isotonic saline solutions which are preferably buffered at a physiological pH (such as phosphate-buffered saline or Tris-buffered saline), mannitol, dextrose, glycerol, and ethanol, as well as polypeptides or proteins such as human serum albumin. A particularly preferred composition comprises a vector or recombinant virus in 10 mg/ml mannitol, 1 mg/ml HSA, 20mM Tris, pH 7.2 and 150 mM NaCl. In this case, since the recombinant vector represents approximately 1  $\mu$ g of material, it may be less than 1% of high molecular weight material, and less than 1/100,000 of the total material (including water). This composition is stable at -70°C for at least six months. The composition may be injected intravenously (*i.v.*) or subcutaneously (*s.c.*), although it is generally preferable to inject it intramuscularly (*i.m.*). The individual doses normally used are  $10^7$  to  $10^9$  c.f.u. (colony forming units of neomycin resistance titered on HT1080 cells). These are administered at one to four week intervals for three or four doses initially. Subsequent booster shots may be given as one or two doses after 6-12 months, and thereafter annually.

Oral formulations may also be employed with carriers or diluents such as cellulose, lactose, mannitol, poly (DL-lactide-co-glycolate) spheres, and/or carbohydrates such as starch. The composition may take the form of, for example, a

tablet, gel capsule, pill, solution, or suspension, and additionally may be formulated for sustained release. For rectal administration, preparation of a suppository may be accomplished with traditional carriers such as polyalkylene glycol, or a triglyceride.

As noted above, the vector construct may direct expression of an immunomodulatory cofactor in addition to at least one immunogenic portion of a hepatitis antigen. If the vector construct, however, does not express an immunomodulatory cofactor which is a cytokine, this cytokine may be included in the above-described compositions, or may be administered separately (concurrently or subsequently) with the above-described compositions. Briefly, within such an embodiment, the immunomodulatory cofactor is preferably administered according to standard protocols and dosages as prescribed in *The Physician's Desk Reference*. For example, alpha interferon may be administered at a dosage of 1-5 million units/day for 2-4 months, and IL-2 at a dosage of 10,000-100,000 units/kg of body weight, 1-3 times/day, for 2-12 weeks. Gamma interferon may be administered at dosages of 150,000-1,500,000 units 2-3 times/week for 2-12 weeks.

The following examples are offered by way of illustration and not by way of limitation.



EXAMPLESEXAMPLE 1

## 5 ISOLATION OF HBV E/CORE SEQUENCE

A 1.8 Kb BamH I fragment containing the entire precore/core coding region of hepatitis B is obtained from plasmid pAM6 (ATCC No 45020) and ligated into the BamH I site of KS II<sup>+</sup> (Stratagene, La Jolla, California). This plasmid is designated KS II<sup>+</sup> HBpc/c, Figure 1. Xho I linkers are added to the Stu I site of precore/core in KS II<sup>+</sup> HBpc/c (at nucleotide sequence 1704), followed by cleavage with Hinc II (at nucleotide sequence 2592). The resulting 877 base pair Xho I-Hinc II precore/core fragment is cloned into the Xho I/Hinc II site of SK II<sup>+</sup>. This plasmid is designated SK<sup>+</sup>HBe, Figure 1.

EXAMPLE 2

## PREPARATION OF SEQUENCES UTILIZING PCR

20 A. Site-Directed Mutagenesis of HBV e/core Sequence Utilizing PCR

The precore/core gene in plasmid KS II + HB pc/c is sequenced to determine if the precore/core coding region is correct. This sequence was found to have a single base-pair deletion which causes a frame shift at codon 79 that results in two consecutive in-frame TAG stop codons at codons 84 and 85, Figure 2. This deletion is corrected by PCR overlap extension (Ho et al., *Gene* 77:51-59, 1989) of the precore/core coding region in plasmid SK<sup>+</sup> HBe. Four oligonucleotide primers are used for the 3 PCR reactions performed to correct the deletion.

The first reaction utilizes the plasmid KS II + HB pc/c as the template and as two primers. The sense primer sequence corresponds to the nucleotide sequence 1855 to 1827 of the *adw* strain and contains two Xho I restriction sites at the 5' end. The nucleotide sequence numbering is obtained from Genbank (Intelligenics, Inc., Mountain View, California).

(SEQUENCE ID. NO. 1)

35 5'-3': CTC GAG CTC GAG GCA CCA GCA CCA TGC AAC TTT TT

The second primer sequence corresponds to the anti-sense nucleotide sequence 2158 to 2130 of the *adw* strain of hepatitis B virus, and includes codons 79, 84 and 85.

5 (SEQUENCE ID. NO. 2)

5'-3': CTA CTA GAT CCC TAG ATG CTG GAT CTT CC

The second reaction also utilizes the plasmid KS II + HB pc/c as the template and two primers. The sense primer corresponds to nucleotide sequence 2130 to 2158 of the *adw* strain, and includes codons 79, 84 and 85.

10

(SEQUENCE ID. NO. 3)

5'-3': GGA AGA TCC AGC ATC TAG GGA TCT AGT AG

The second primer corresponds to the anti-sense nucleotide sequence from SK<sup>+</sup> plasmid polylinker and contains a Cla I site 135 bp downstream of the stop codon of the HBV precore/core coding region.

15

(SEQUENCE ID. NO. 4)

5'-3': GGG CGA TAT CAA GCT TAT CGA TAC CG

The third reaction also utilizes two primers and the products of the first and second PCR reactions. The sense primer corresponds to nucleotide sequence 5 to 27 of the *adw* strain, and contains two Xho I restriction sites at the 5' end.

20

(SEQUENCE ID. NO. 1)

5'-3': CTC GAG CTC GAG GCA CCA GCA CCA TGC AAC TTT TT

The second primer sequence corresponds to the anti-sense nucleotide sequence from the SK<sup>+</sup> plasmid polylinker and contains a Cla I site 135 bp downstream of the stop codon of the HBV precore/core coding region.

25

(SEQUENCE ID. NO. 4)

5'-3': GGG CGA TAT CAA GCT TAT CGA TAC CG

The first PCR reaction corrects the deletion in the antisense strand and the second reaction corrects the deletion in the sense strands. PCR reactions one and two correct the mutation from CC to CCA which occurs in codon 79 and a base pair substitution from TCA to TCT in codon 81 (*see* Figure 2). Primer 1 contains two consecutive Xho I sites 10 bp upstream of the ATG codon of HBV e coding region and primer 4 contains a Cla I site 135 bp downstream of the stop codon of HBV precore/core coding region. The products of the first and second PCR reactions are

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extended in a third PCR reaction to generate one complete HBV precore/core coding region with the correct sequence (Figure 3).

The PCR reactions are performed using the following cycling conditions: The sample is initially heated to 94°C for 2 minutes. This step, called the melting step, separates the double-stranded DNA into single strands for synthesis. The sample is then heated at 56°C for 30 seconds. This step, called the annealing step, permits the primers to anneal to the single stranded DNA produced in the first step. The sample is then heated at 72°C for 30 seconds. This step, called the extension step, synthesizes the complementary strand of the single stranded DNA produced in the first step. A second melting step is performed at 94°C for 30 seconds, followed by an annealing step at 56°C for 30 seconds which is followed by an extension step at 72°C for 30 seconds. This procedure is then repeated for 35 cycles resulting in the amplification of the desired DNA product.

The PCR reaction product is purified by gel electrophoresis and transferred onto NA 45 paper (Schleicher and Schuell, Keene, New Hampshire). The desired 787 bp DNA fragment is eluted from the NA 45 paper by incubating for 30 minutes at 65°C in 400 µl high salt buffer (1.5 M NaCl, 20mM Tris, pH 8.0, and 0.1mM EDTA). Following elution, 500 µl of phenol:chloroform:isoamyl alcohol (25:24:1) is added to the solution. The mixture is vortexed and then centrifuged 14,000 rpm for 5 minutes in a Brinkmann Eppendorf centrifuge (5415L). The aqueous phase, containing the desired DNA fragment, is transferred to a fresh 1.5 ml microfuge tube and 1.0 ml of 100% EtOH is added. This solution is incubated on dry ice for 5 minutes, and then centrifuged for 20 minutes at 10,000 rpm. The supernatant is decanted, and the pellet is rinsed with 500 µl of 70% EtOH. The pellet is dried by centrifugation at 10,000 rpm under vacuum, in a Savant Speed-Vac concentrator, and then resuspended in 10 µl deionized H<sub>2</sub>O. One microliter of the PCR product is analyzed by 1.5% agarose gel electrophoresis. The 787 Xho I-Cla I precore/core PCR amplified fragment is cloned into the Xho I-Cla I site of SK<sup>+</sup> plasmid. This plasmid is designated SK<sup>+</sup>HBe-c. *E. coli* (DH5 alpha, Bethesda Research Labs, Gaithersburg, Maryland) is transformed with the SK<sup>+</sup>HBe-c plasmid and propagated to generate plasmid DNA. The plasmid is then isolated and purified, essentially as described by Birnboim et al. (*Nuc. Acid Res.* 7:1513, 1979; see also *Molecular Cloning: A Laboratory Manual*, Sambrook et al. (eds.), Cold Spring Harbor Press, 1989). The SK<sup>+</sup>HB e-c plasmid is analyzed to confirm the sequence of the precore/core gene (Figure 4).

B. Isolation of HBV core Sequence

The single base pair deletion in plasmid SK<sup>+</sup> HBe is corrected by PCR overlap extension as described in Example 2A. Four oligonucleotide primers are used for the PCR reactions performed to correct the mutation.

- 5           The first reaction utilizes the plasmid KS II + HB pc/c as the template and two primers. The sense primer corresponds to the nucleotide sequence for the T-7 promoter of SK<sup>+</sup>HBe plasmid.

(SEQUENCE ID. NO. 5)

- 10           5'-3': AAT ACG ACT CAC TAT AGG G

The second primer corresponds to the anti-sense sequence 2158 to 2130 of the *adw* strain, and includes codons 79, 84 and 85.

(SEQUENCE ID. NO. 2)

- 15           5'-3': CTA CTA GAT CCC TAG ATG CTG GAT CTT CC

The second reaction utilizes the plasmid KS II + HB pc/c as the template and two primers. The anti-sense primer corresponds to the nucleotide sequence for the T-3 promoter present in SK<sup>+</sup>HBe plasmid.

- 20 (SEQUENCE ID. NO. 6)

5'-3': ATT AAC CCT CAC TAA AG

The second primer corresponds to the sense nucleotide sequence 2130 to 2158 of the *adw* strain, and includes codons 79, 84 and 85.

- 25 (SEQUENCE ID. NO. 3)

5'-3': GGA AGA TCC AGC ATC TAG GGA TCT AGT AG

The third reaction utilizes two primers and the products of the first and second PCR reactions. The anti-sense primer corresponds to the nucleotide sequence for the T-3 promoter present in SK<sup>+</sup>HBe plasmid.

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(SEQUENCE ID. NO. 6)

5'-3': ATT AAC CCT CAC TAA AG

The second primer corresponds to the sense sequence of the T-7 promoter present in the SK<sup>+</sup>HBe plasmid.

35

(SEQUENCE ID. NO. 7)

5'-3': AAT ACG ACT CAC TAT AGG G

The PCR product from the third reaction yields the correct sequence for HBV precore/core coding region.

To isolate HBV core coding region, a primer is designed to introduce the Xho I restriction site upstream of the ATG start codon of the core coding region, and eliminate the 29 amino acid leader sequence of the HBV precore coding region. In a fourth reaction, the HBV core coding region is produced using the PCR product from the third reaction and the following two primers.

The sense primer corresponds to the nucleotide sequence 1885 to 1905 of the *adw* strain and contains two Xho I sites at the 5' end.

(SEQUENCE ID. NO. 8)

5'-3': CCT CGA GCT CGA GCT TGG GTG GCT TTG GGG CAT G

The second primer corresponds to the anti-sense nucleotide sequence for the T-3 promoter present in the SK<sup>+</sup> HBe plasmid. The approximately 600 bp PCR product from the fourth PCR reaction contains the HBV core coding region and novel Xho I restriction sites at the 5' end and Cla I restriction sites at the 3' end that was present in the multicloning site of SK<sup>+</sup> HBe plasmid.

(SEQUENCE ID. NO. 9)

5'-3': ATT ACC CCT CAC TAA AG

Following the fourth PCR reaction, the solution is transferred into a fresh 1.5 ml microfuge tube. Fifty microliters of 3 M sodium acetate is added to this solution followed by 500 µl of chloroform:isoamyl alcohol (24:1). The mixture is vortexed and then centrifuged at 14,000 rpm for 5 minutes. The aqueous phase is transferred to a fresh microfuge tube and 1.0 ml 100% EtOH is added. This solution is incubated at -20°C for 4.5 hours, and then centrifuged at 10,000 rpm for 20 minutes. The supernatant is decanted, and the pellet rinsed with 500 µl of 70% EtOH. The pellet is dried by centrifugation at 10,000 rpm under vacuum and then resuspended in 10 µl deionized H<sub>2</sub>O. One microliter of the PCR product is analyzed by electrophoresis in a 1.5% agarose gel.

### C. Isolation of HCV Core Sequence

A 200 µl sample of serum is obtained from a patient with chronic non-A, non-B hepatitis and the viral RNA is prepared by the procedure of Cristiano et al., *Hepatology* 14:51-55, 1991. The 200 µl of serum is mixed with 550 µl of extraction buffer consisting of 4.2 M guanidinium isothiocyanate (Fluka Chemical Corp., St.

Louis, Missouri), 0.5% sodium lauryl sarkosate and 25 mM Tris HCL, pH 8.0, and extracted once with phenol:chloroform (1:1), and once with chloroform. The aqueous phase is precipitated with an equal volume of isopropyl alcohol and centrifuged at 14,000 rpm for 5 minutes. The resulting pellet containing the viral RNA is washed with 70% ethanol and resuspended in 200 µl of RNase-free deionized H<sub>2</sub>O. Four microliter of RNasin (40,000 U/ml) (Promega Corp., Madison, Wisconsin) is added to the mixture. This mixture contains the HCV RNA and is the template for the following reverse transcriptase reaction. Using the cDNA CYCLE kit (Invitrogen, San Diego, California) a full-length first strand cDNA is generated from the isolated viral mRNA. Seven microliters of the reverse transcription reaction above (100 ng of full-length first strand cDNA) is amplified by PCR in a total volume of 100 µl of reaction mixture containing 10 µl of 10 X PCR buffer (vial C16), 2 µl of 25 mM dNTPs (vial C11), 5% DMSO, 4 U of Taq DNA polymerase (Cetus, Los Angeles, California) and 2 IM of each of the two primers.

The sense primer corresponds to the nucleotide sequence 316 to 335 and is the nucleotide sequence for the 5' region of the hepatitis C virus core open reading frame and includes the ATG start codon.

(SEQUENCE ID. NO. 10)

5'-3': GTA GAC CGT GCA TCA TGA GC

The second primer corresponds to the anti-sense nucleotide sequence 1172 to 1153 present in the hepatitis C virus envelope open reading frame.

(SEQUENCE ID. NO. 11)

5'-3': ATA GCG GAA CAG AGA GCA GC

The reaction mixture is placed into a PCR Gene AMP System 9600 (Perkin-Elmer, Cetus, Los Angeles, California.). The PCR program regulates the temperature of the reaction vessel first at 95°C for 1 minute, then at 60°C for 2 minutes, and finally at 72°C for 2 minutes. This cycle is repeated 40 times. Following the 40th cycle, the final cycle regulates the reaction vessel at 95°C for 1 minute, then at 67°C for 2 minutes, and finally at 72°C for 7 minutes.

In the first PCR reaction, the HCV core open reading frame from the 5' region upstream from the ATG start codon to the beginning of the HCV E1 open reading frame is amplified. The nucleotide numbering sequence is according to the HCV-J strain (Kato et al., *Proc. Natl. Acad. Sci. USA* 87:9524-9528, 1990).

The product from the first PCR reaction is amplified in a second PCR reaction. The second PCR amplification is performed with the sense primer that

corresponds to the nucleotide sequence 329 to 367 (and is the nucleotide sequence for the 5' end of the hepatitis C virus core open reading frame). The 5' end of the sense primer contains two consecutive Xho I restriction sites. The primer also contains a number of nucleotide changes introduced in the area of the initiator ATG start codon to conform to appropriate rules for translation initiation (Kozak, *Mol. Biol.* 196:947-950, 1987).

(SEQUENCE ID. NO. 12)

5'-3': CTC GAG CTC GAG CCA CCA TGA GCA CAA ATC CTA  
10 AAC CTC AAA GAA AAA CCA AAC G

The anti-sense primer is designed to contain two consecutive stop codons in frame with HCV core gene. The 5' end of the primer contains two consecutive Hind III restriction sites. This primer corresponds to the nucleotide sequence 902 to 860, and is the junction between the hepatitis C virus core and E1 open reading frame.

(SEQUENCE ID. NO. 13)

5'-3': GC AAG CTT AAG CTT CTA TCA AGC GGA AGC TGG GAT  
20 GGT CAA ACA AGA CAG CAA AGC TAA GAG

Using a TA Cloning Kit (Invitrogen, San Diego, California), the 570 bp PCR-amplified product from the second reaction is then ligated into the pCR II vector (Invitrogen, San Diego, California) and transformed into frozen competent *E. coli* cells. After verification by DNA sequencing this construct is designated pCR II Xh-H HCV core.

25 The product from the first PCR reaction is also amplified in a third PCR reaction. The 5' end of the sense primer contains two consecutive Hind III restriction sites. This primer also contains nucleotide changes to conform to the Kozak rules for translation initiation and corresponds to the nucleotide sequence 329 to 367 of the HCV-J sequence (and is the nucleotide sequence for the 5' end of the hepatitis C virus core open reading frame).

(SEQUENCE ID. NO. 14)

5'-3': AAG CTT AAG CTT CCA CCA TGA GCA CAA ATC CTA  
35 AAC CTC AAA GAA AAA CCA AAC G

The anti-sense primer is designed to contain two stop codons in frame with the HCV core gene, and two consecutive Xho I restriction sites at the 5' end of the

primer. This primer corresponds to the anti-sense nucleotide sequence 902 to 860, and is the junction between hepatitis C virus core and the E1 reading frame.

(SEQUENCE ID. NO. 15)

5                   5'-3': GC CTC GAG CTC GAG CTA TCA AGA GGA AGC TGG GAT  
GGT CAA ACA AGA CAG CAA AGC TAA GAG

As described above, the 570 bp PCR amplified product from the third reaction is ligated into the pCR II vector. After verification by DNA sequencing this construct is designated pCR II H-Xh HCV core.

10

#### D. Isolation of HCV NS3/NS4 Sequence

The hepatitis C virus NS3/NS4 sequence is isolated from 200 µl of serum obtained from a patient with chronic non-A, non-B hepatitis as described in Example 2C. The viral RNA is reverse transcribed by the cDNA CYCLE Kit  
15 (Invitrogen, San Diego, California), and amplified by PCR. In the first PCR reaction, the HCV NS3/NS4 open reading frame is amplified.

The first PCR amplification is performed with two primers. The sense primer corresponds to the nucleotide sequence 3088 to 3106 of the hepatitis C virus NS2 open reading frame.

20

(SEQUENCE ID. NO. 16)

5'-3': GTG CAT GCA TGT TAG TGC G

The second primer corresponds to the anti-sense nucleotide sequence 6530 to 6511 of the hepatitis C virus NS5 open reading frame.

25

(SEQUENCE ID. NO. 17)

5'-3': CGT GGT GTA TGC GTT GAT GG

The product from the first PCR reaction is amplified in a second PCR reaction. The 5' end of the sense primer contains two consecutive Xho I restriction  
30 sites. This primer also contains nucleotide changes to conform to the Kozak rules for translation initiation and corresponds to the nucleotide sequence 3348 to 3385 of the 5' region of the NS3 open reading frame of the HCV-J sequence.

(SEQUENCE ID. NO. 18)

35                   5'-3': C CTC GAG CTC GAG CCA CCA TGG GGA AGG AGA TAC  
TTC TAG GAC CGG CCG ATA GTT TTG G



This primer corresponds to the nucleotide sequence 6368 to 6328 of the 3' region of the NS4 open reading frame of the HCV-J sequence. This primer contains two consecutive stop codons in frame with HCV NS4 NS4 gene and two consecutive Hind III sites at its 5' end.

5

(SEQUENCE ID. NO. 19)

5'-3': GC AAG CTT AAG CTT CTA TCA GCG TTG GCA TGA CAG  
GAA AGG GAG TCC CGG TAA CCG CGG C

The 3020 bp PCR product from the second PCR reaction is ligated into  
10 the pCR II plasmid, verified by DNA sequencing and designated pCR II Xh-H HCV  
NS3/NS4.

#### E. Amplification of Immunomodulatory Cofactor IL-2

Jurkat cells are resuspended at  $1 \times 10^6$  cells/ml to a total volume of 158  
15 ml in T75 flasks. Phytohemagglutinin (PHA; Sigma, St. Louis, MO), is added to 1% of  
total volume (1.58 ml total), and incubated overnight at 37°C, 5% CO<sub>2</sub>. On the  
following day, cells are harvested in three 50 ml centrifuge tubes (Corning, Corning,  
NY). The three pellets are combined in 50 ml PBS, centrifuged at 3,000 rpm for 5  
minutes and supernatant decanted. This procedure is repeated. Poly A<sup>+</sup> mRNA is  
20 isolated using the Micro-Fast Track mRNA Isolation Kit, version 1.2 (Invitrogen, San  
Diego, California). The isolated intact mRNA is used as the template to generate full-  
length first strand cDNA by the cDNA CYCLE kit with the following primer.

This oligonucleotide corresponds to the anti-sense nucleotide sequence  
of the IL-2 mRNA, 25 base pairs downstream of the stop codon.

25

(SEQUENCE ID. NO. 20)

5'-3': ATA AAT AGA AGG CCT GAT ATG

The product from the reverse transcription reaction is amplified in two  
separate reactions. The first PCR amplification is performed with the sense primer that  
30 corresponds to three bp upstream of the ATG start codon. This primer contains a  
Hind III site at its 5' end and contains the 5' region of the IL-2 open reading frame  
including the ATG start codon.

(SEQUENCE ID. NO. 21)

35

5'-3': GCA AGC TTA CAA TGT ACA GGA TGC AAC TCC TGT CT

The anti-sense primer is complementary to the 3' region of IL-2 open reading frame and starts three bp downstream of the TGA stop codon. This primer contains an Xho I site at the 5' end of the primer.

5 (SEQUENCE ID. NO. 22)

5'-3': GAC TCG AGT TAT CAA GTC AGT GTT GAG ATG ATG CT

The 467 bp PCR product from the first PCR reaction is ligated into the pCR II plasmid, verified by DNA sequencing and designated pCR II H-Xh IL-2.

10 The product from the reverse transcription reaction is amplified in a second PCR reaction. The second PCR amplification is performed with the sense primer that corresponds to three bp upstream of the ATG start codon. This primer contains a Xho I site at its 5' end and the 5' region of the IL-2 open reading frame including the ATG start codon.

15 (SEQUENCE ID. NO. 23)

5'-3': GCC TCG AGA CAA TGT ACA GGA TGC AAC TCC TGT CT

The anti-sense primer is complementary to the 3' region of IL-2 open reading frame and starts three bp downstream of the TGA stop codon. This primer contains an Apa I site at the 5' end of the primer.

20

(SEQUENCE ID. NO. 24)

5'-3': GAG GGC CCT TAT CAA GTC AGT GTT GAG ATG ATG CT

The 467 bp PCR product from the second PCR reaction is ligated into the pCR II plasmid, verified by DNA sequencing and transformed into frozen  
25 competent *E. coli* cells. This vector construct is designated pCR II Xh-A IL-2.

F. Amplification of Immunomodulatory Cofactor B7

Raji cells are suspended at  $1 \times 10^6$  cells/ml to a total volume of 158 ml in five T75 flasks and incubated overnight at 37°C, 5% CO<sub>2</sub>. On the following day,  
30 cells are harvested in three 50 ml centrifuge tubes. Cell pellets are combined in 50 ml PBS, centrifuged at 2,000 rpm for 10 minutes and supernatant decanted. This procedure is repeated. Poly A<sup>+</sup> mRNA is isolated as described in Example 2E. The isolated intact mRNA is used as the template to generate full-length first strand cDNA using the cDNA CYCLE kit, followed by two separate PCR amplification reactions  
35 essentially as described in Example 2E, except that 1 µl of oligo dT (vial C5) is used as

the primer. The nucleotide numbering system is obtained from Freeman et al. (*J. Immunol.* 143:2714-2722, 1989).

The first PCR amplification is performed with two primers. The sense primer corresponds to the nucleotide sequence 315 to 353 of B7. This primer contains the 5' region of the B7 open reading frame including the ATG start codon and has two Hind III restriction sites at the 5' end.

(SEQUENCE ID. NO. 25)

5'-3': CG AAG CTT AAG CTT GCC ATG GGC CAC ACA CGG AGG  
10 CAG GGA ACA TCA CCA TCC

The second primer corresponds to the anti-sense nucleotide sequence 1187 to 1149 of B7. This primer is complementary to the 3' region of the B7 open reading frame ending at the TAA stop codon and contains two Xho I restriction sites at the 5' end.

15

(SEQUENCE ID. NO. 26)

5'-3': C CTC GAG CTC GAG CTG TTA TAC AGG GCG TAC ACT  
TTC CCT TCT CAA TCT CTC

The 868 bp PCR product from the first PCR reaction is ligated into the pCR II plasmid, verified by DNA sequencing and transformed into frozen competent *E. coli* cells. This vector construct is designated PCR II H-Xh-B7 and verified by DNA sequencing.

The second PCR amplification is performed with two primers. The sense primer corresponds to the nucleotide sequence 315 to 353 of B7. This primer contains the 5' region of the B7 open reading frame including the ATG start codon and has two Xho I sites at its 5' end.

(SEQUENCE ID. NO. 27)

5'-3': C CTC GAG CTC GAG GCC ATG GGC CAC ACA CGG AGG  
30 CAG GGA ACA TCA CCA TCC

The second primer corresponds to the anti-sense nucleotide sequence 1187 to 1149 of B7. This primer is complementary to the 3' region of the B7 open reading frame ending at the TAA stop codon and contains two Apa I restriction sites at the 5' end.

35

(SEQUENCE ID. NO. 28)

5' -3': C GGG CCC GGG CCC CTG TTA TAC AGG GCG TAC ACT  
TTC CCT TCT CAA TCT CTC

The 868 bp PCR product from the second PCR reaction is ligated into  
5 the pCR II plasmid, verified by DNA sequencing and transformed into frozen  
competent *E. coli* cells. This vector construct is designated pCR II Xh-A-B7 and  
verified by DNA sequencing.

G. Synthesis of Immunomodulatory Cofactor GM-CSF

10 The synthesis of GM-CSF is performed following the protocol of Foguet  
and Lubbert (*Biotechniques* 13:674-675, 1992). Briefly, ten overlapping  
oligonucleotides, 53 to 106 nucleotides in length, are synthesized. The first  
oligonucleotide is the sense sequence of human GM-CSF from nucleotide sequence  
number 29 to 86 containing two Hind III cleavage sites at the 5' end.

15

(SEQUENCE ID. NO. 29)

5' -3': GCA AGC TTA AGC TTG AGG ATG TGG CTG CAG AGC  
CTG CTG CTC TTG GGC ACT GTG GCC TGC AGC ATC TCT GCA

20 The second oligonucleotide is the sense sequence of human GM-CSF  
from the nucleotide sequence numbers 29 to 86 containing two Xho I sites at the 5' end.

(SEQUENCE ID. NO. 47)

5' -3': GC CTC GAG CTC GAG GAG GAT GTG GCT GCA GAG CCT  
GCT GCT CTT GGG CAC TGT GGC CTG CAG CAT CTC TGC A

25 The third oligonucleotide is the anti-sense sequence of human GM-CSF  
from nucleotide sequence number 145 to 70.

(SEQUENCE ID. NO. 30)

30 5' -3': TCC TGG ATG GCA TTC ACA TGC TCC CAG GGC TGC  
GTG CTG GGG CTG GGC GAG CGG GCG GGT GCA GAG ATG CTG CAG

The fourth oligonucleotide is the sense sequence of human GM-CSF  
from nucleotide number 131 to 191.

(SEQUENCE ID. NO. 31)

35 5' -3': GAA TGC CAT CCA GGA GGC CCG GCG TCT CCT GAA  
CCT GAG TAG AGA CAC TGC TGC TGA GAT G

The fifth oligonucleotide is the anti-sense sequence of human GM-CSF from nucleotide number 282 to 176.

(SEQUENCE ID. NO. 32)

5           5'-3': CTT GTA CAG CTC CAG GCG GGT CTG TAG GCA GGT  
CGG CTC CTG GAG GTC AAA CAT TTC TGA GAT GAC TTC TAC TGT TTC  
ATT CAT CTC AGC AGC AGT

The sixth oligonucleotide is the sense sequence of human GM-CSF from nucleotide number 256 to 346.

10

(SEQUENCE ID. NO. 33)

          5'-3': CCT GGA GCT GTA CAA GCA GGG CCT GCG GGG CAG  
CCT CAC CAA GCT CAA GGG CCC CTT GAC CAT GAT GGC CAG CCA CTA  
CAA GCA GCA CTG

15           The seventh oligonucleotide sequence is the anti-sense sequence of human GM-CSF from nucleotide number 389 to 331.

(SEQUENCE ID. NO. 34)

          5'-3': GGT GAT AAT CTG GGT TGC ACA GGA AGT TTC CGG  
20 GGT TGG AGG GCA GTG CTG CTT GTA G

The eighth oligonucleotide is the sense sequence of human GM-CSF from nucleotide number 372 to 431.

(SEQUENCE ID. NO. 35)

25           5'-3': CAA CCC AGA TTA TCA CCT TTG AAA GTT TCA AAG  
AGA ACC TGA AGG ACT TTC TGC TTG TC

The ninth oligonucleotide sequence is the anti-sense sequence of human GM-CSF from nucleotide number 520 to 416 containing two Xho I restriction sites at the 5' end.

30

(SEQUENCE ID. NO. 36)

          5'-3': GC CTC GAG CTC GAG GTC TCA CTC CTG GAC TGG CTC  
CCA GCA GTC AAA GGG GAT GAC AAG CAG AAA GTC C

35           The tenth oligonucleotide sequence is identical to oligonucleotide number nine except that it contains two Xba I restriction sites at the 5' terminus instead of Xho I restriction sites.

(SEQUENCE ID. NO. 37)

5' -3': GC TCT AGA TCT AGA GTC TCA CTC CTG GAC TGG CTC  
CCA GCA GTC AAA GGG GAT GAC AAG CAG AAA GTC C

All the oligonucleotides except for oligonucleotide Sequence ID Nos.  
5 29, 36, 37 and 47 are phosphorylated. Ligation is performed by mixing 8 pmol of each  
oligonucleotide and 7.5 µl 10X Sequenase Buffer (US Biochemical, Cleveland, Ohio)  
to a final volume of 75 µl with sterile distilled deionized H<sub>2</sub>O. The reaction is heated  
for 5 minutes at 70°C, followed by 5 minutes at 48°C. Two microliters of dNTP mix  
(2.5mM each dNTP) and 10 U Sequenase are added and incubated for 30 minutes at  
10 37°C. To inactivate the Sequenase, the ligation reaction is heated for 10 minutes at  
70°C (*Current Protocols in Molecular Biology*, F.M. Asubel et al., 8.2.8-8.2.13, 1988).

One microliter of the ligation mixture is used in a PCR reaction with  
Vent polymerase (New England Biolabs, Beverly, Massachusetts) and the two  
oligonucleotides Sequence ID Nos. 29 and 36 as primers. The PCR product is ligated  
15 into the pCR II vector and transformed into frozen competent *E. coli* cells. This  
construct is designated pCR II H-Xh GM-CSF and verified by DNA sequencing.

One microliter of the ligation mixture was used in a second PCR  
reaction with Vent polymerase with the two oligonucleotides Sequence ID Nos. 47 and  
37 as primers. The PCR product is ligated into the pCR II vector and transformed into  
20 frozen competent *E. coli* cells. This construct is designated pCR II Xh-Xb GM-CSF  
and verified by DNA sequencing.

#### H. Isolation of HBV Pre-S2 Open Reading Frame

The Pre-S2 open reading frame (including S) is PCR amplified with two  
25 primers and the pAM 6 plasmid (ATCC No. 45020) as the template. The sense primer  
corresponds to the nucleotides 3178 to 31 of the *adw* strain of hepatitis B virus, and  
includes the 5' region of the Pre-S2 open reading frame and the ATG start codon. The  
5' end of this primer contains two consecutive Xho I restriction sites.

30 (SEQUENCE ID. NO. 48)

5' -3': GC CTC GAG CTC GAG GTC ATC CTC AGG CCA TGC AGT  
GGA ATT CCA CTG CCT TGC ACC AAG CTC TGC AGG

The second primer corresponds to the anti-sense nucleotide sequence  
907 to 859, and is complementary to the 3' region of the Pre-S2 open reading frame.  
35 The 5' end of this primer contains two Cla I sites.

(SEQUENCE ID. NO. 49)

5'-3': GC ATC GAT ATC GAT GTT CCC CAA CTT CCA ATT ATG  
TAG CCC ATG AAG TTT AGG GAA TAA CCC C

The 957 bp PCR product is ligated into the pCR II plasmid, verified by  
5 DNA sequencing and designated pCR II HB-Pre-S2.

#### I. Isolation of HBV Polymerase Open Reading Frame

The PCR amplification is performed with two primers and the pAM 6  
plasmid (ATCC 40202) as the template. The sense primer corresponds to the  
10 nucleotides 2309 to 2370 of the *adw* strain of hepatitis B virus, and includes the 5'  
region of the polymerase open reading frame with nucleotide changes to conform to the  
Kozak rules for translation. The 5' end of this primer contains two consecutive Xho I  
restriction sites.

15 (SEQUENCE ID. NO. 50)

5'-3': GC CTC GAG CTC GAG ACC ATG CCC CTA TCT TAT CAA  
CAC TTC CGG AAA CTA CTG TTG TTA GAC GAC GGG ACC GAG GCA GG

The second primer corresponds to the anti-sense nucleotide sequence  
1645 to 1594, and is complementary to the 3' region of the polymerase open reading  
20 frame and includes the TGA stop codon. The 5' end of this primer contains two Cla I  
sites.

(SEQUENCE ID. NO. 51)

5'-3' GC ATC GAT ATC GAT GGG CAG GAT CTG ATG GGC GTT  
25 CAC GGT GGT CGC CAT GCA ACG TGC AGA GGT G

The 2564 bp PCR product is ligated into the pCR II plasmid, verified by  
DNA sequencing and designated pCR II HB-pol.

#### J. Isolation of HBV ORF 5 Open Reading Frame

30 The PCR amplification is performed with two primers and the pAM 6  
plasmid (ATCC 45020) as the template. The sense primer corresponds to the  
nucleotides 1432 to 1482 of the *adw* strain of hepatitis B virus, and includes the 5'  
region of the ORF5 open reading frame with nucleotide changes to conform to the  
Kozak rules for translation. The 5' end of this primer contains two consecutive Xho I  
35 restriction sites.

(SEQUENCE ID. NO. 52)

5'-3': GC CTC GAG CTC GAG ACC ATG TCC CGT CGG CGC TGA  
ATC CCG CGG ACG ACC CCT CTC GGG GCC GCT TGG GAC

The second primer corresponds to the anti-sense nucleotide sequence  
5. 1697 to 1648, and contains two Cla I sites at the 5' end. This primer is complementary  
to the 3' region of the ORF 5 open reading frame and includes the TAA stop codon.

(SEQUENCE ID. NO. 53)

5'-3': GC ATC GAT ATC GAT GGT CGG TCG TTG ACA TTG CTG  
10 GGA GTC CAA GAG TCC TCT TAT GTA AGA CC

The 293 bp PCR product is ligated into the pCR II plasmid, verified by  
DNA sequencing and designated pCR II HB-ORF 5.

#### K. Isolation of HBV ORF 6 Open Reading Frame

15 The PCR amplification is performed with two primers and the pAM 6  
plasmid (ATCC 45020) as the template. The sense primer corresponds to the  
nucleotides 1844 to 1788 of the *adw* strain of hepatitis B virus and includes the 5'  
region of the ORF6 open reading frame with nucleotide changes to conform to the  
Kozak rules for translation. The 5' end of this primer contains two consecutive Xho I  
20 restriction sites.

(SEQUENCE ID. NO. 54)

5'-3': GC CTC GAG CTC GAG ACC ATG ATT AGG CAG AGG TGA  
AAA AGT TGC ATG GTG CTG GTG CGC AGA CCA ATT TAT GCC

25 The second primer corresponds to the anti-sense nucleotide sequence  
1188 to 1240, and contains two Cla I sites at the 5' end. This primer is complementary  
to the 3' region of the ORF 6 open reading frame and includes the TAA stop codon.

(SEQUENCE ID. NO. 55)

30 5'-3': GC ATC GAT ATC GAT GCT GAC GCA ACC CCC ACT GGC  
TGG GGC TTA GCC ATA GGC CAT CAG CGC ATG CG

The 687 bp PCR product is ligated into the pCR II plasmid, verified by  
DNA sequencing and designated pCR II HB-ORF 6.



L. Isolation of EMC IRES

The IRES from encephalomyocarditis virus is amplified by PCR from the pCITE-2a(+) plasmid (Novagen, Madison, WI) with two primers. The nucleotide sequence of the sense primer containing a Acc I restriction endonuclease site is:

5

(SEQUENCE ID. NO. 58)

5'-ATAGTCGACTTAATTCCGGTTATTTCCACC-3'

The nucleotide sequence of the antisense primer containing a Cla I restriction endonuclease site is:

10

(SEQUENCE ID. NO. 59)

5'-GCCATCGATTTATCATCGTGTTCCTCAAAGG-3'

15

This 500 base pair PCR product is purified by electrophoresis through an 1.5% agarose gel and purified by Gene Clean II (Bio 101, Vista, CA) as described in Example 5B.

M. Isolation of IL-12 p40 Subunit

20

Normal uninfected human peripheral blood mononucleocytes (PBMC) are activated with *Staphylococcal aureas*. RNA from the stimulated PBMC is extracted and the IL-12 p40 subunit nucleotide sequence is amplified by PCR as described in Example 2C.

The sense primer corresponds to the nucleotides in the 5' region of the p40 subunit of IL-12 open reading frame and additionally contains Bgl II restriction endonuclease sites at the 5' end. The nucleotide sequence of this primer is:

25

(SEQUENCE ID. NO. 60)

5-GCAGATCTCCCAGAGCAAGATG-3'

30

The second primer corresponds to the antisense sequences 3' region of the p40 subunit of IL-12 open reading frame and additionally contains the Hpa I restriction endonuclease site at the 5' end. The nucleotide sequence of this primer is:

35

(SEQUENCE ID. NO. 61)

5'-GCGTTACCTGGGTCTATTCCGTTGTGTC-3'

The product of this PCR reaction is a Bgl II-Hpa I 1140 bp fragment encoding the p40 subunit of IL-12.

N. Isolation of IL-12 p35 Subunit

Normal uninfected PBMC are activated with *Staphylococcal aureas*. RNA from the stimulated PBMC is extracted and the IL-12 p35 subunit nucleotide sequence is amplified by PCR as described in Example 2C. The sense primer corresponds to the nucleotides in the 5' region of the p35 subunit of IL-12 open reading frame. The nucleotide sequence of this primer is:

(SEQUENCE ID. NO. 62)

5-GCAAGAGACCAGAGTCCC-3'

The second primer corresponds to the antisense sequences 3' region of the p35 subunit of IL-12 open reading frame. The nucleotide sequence of this primer is:

(SEQUENCE ID. NO. 63)

5'-GACAACGGTTTGGAGGG-3'

Using a TA cloning kit (Invitrogen, San Diego, CA) the PCR amplified product is then ligated into the pCR II vector (Invitrogen, San Diego, CA) and transformed into frozen competent *E. coli* cells. After verification by DNA sequencing, this construct is designated pCR II p35.

O. Site-Directed Mutagenesis to Generate F HBcore/neo<sup>R</sup>

To generate a construct with a fusion between HBV core and neomycin phosphotransferase genes, PCR overlap extension was used where the termination codon of HBcore is deleted and fused in frame with the 11th amino acid of the neomycin phosphotransferase open reading frame. The KT-HB<sub>C</sub> plasmid is used as the template along with four oligonucleotides primers are used for the 3 PCR reactions performed to generate the fusion HBcore/neo<sup>R</sup> construct.

The first reaction utilizes two primers. The sense primer sequence corresponds to the Xho I restriction site at the 5' end of HB core gene.

(SEQUENCE ID. NO. 79)

5' -3': CTC GAG GCA CCA GCA CCA TG

5 The second primer sequence corresponds to the anti-sense nucleotide sequence 2457 to 2441 and 23 base pairs of neomycin phosphotransferase gene coding for codons 11-17.

(SEQUENCE ID. NO. 80)

5' -3': CTC TCC ACC CAA GCG GCC GGA GAA CAT TGA GAT TCC CGA G

10

The second reaction also utilizes the KT-HBc plasmid as the template and two primers. The sense primer corresponds to nucleotide sequence 2440 to 2457 and 23 base pairs of neomycin phosphotransferase gene coding for codons 11-17.

15 (SEQUENCE ID. NO. 81)

5' -3': CTC GGG AAT CTC AAT GTT CTC CGG CCG CTT GGG TGG AGA G

The second primer corresponds to the anti-sense nucleotide sequence of the neomycin phosphotransferase gene.

20

(SEQUENCE ID. NO. 82)

5' -3': CGA TGC GAT GTT TCG CTT GG

25 The products of the first and second PCR reactions are extended in a third reaction to generate the F HBcore/neo<sup>R</sup> construct. Two primers are also utilized in the third reaction. The sense primer corresponds to the Xho I restriction site at the 5' end of the HBcore gene.

(SEQUENCE ID. NO. 79)

30 5' -3': CTC GAG GCA CCA GCA CCA TG

The second primer sequence corresponds to the anti-sense nucleotide sequence of the neomycin phosphotransferase gene.

35 (SEQUENCE ID. NO. 82)

5' -3': CGA TGC GAT GTT TCG CTT GG

The PCR product from the third reaction yields the fusion HBcore/neo<sup>R</sup> construct. Following the PCR reaction, the solution is transferred to a fresh 1.5 ml microfuge tube. Fifty microliters of 3 M sodium acetate is added to this solution followed by 500 ul of chloroform:isoamy alcohol (24:1). The mixture is vortexed and then centrifuged at 14,000 rpm for 5 minutes. The aqueous phase is transferred to a fresh microfuge tube and 1.0 ml 100% EtOH is added. This solution is incubated at -20°C for 4.5 hours, and then centrifuged at 10,000 rpm for 20 minutes. The supernatant is decanted, and the pellet rinsed with 500 ul of 70% EtOH. The pellet is dried by centrifugation at 10,000 rpm under vacuum and then resuspended in 10 ul deionized H<sub>2</sub>O. One microliter of the PCR product is analyzed by electrophoresis in a 1.0% agarose gel.

This PCR product, approximately 1.05 kb in length, is digested with Xho I and Pst I restriction endonucleases, electrophoresed through a 1.0% agarose gel and the DNA is purified from the gel slice by GeneClean II (Bio 101, Vista, California). This Xho I-Pst I PCR product is inserted into the respective sites of pBluescript KS+II (Stratgene, La Jolla, California). This construct is designated KSII+ Xh-Pst HB Fcore/neo<sup>R</sup>, and is verified by DNA sequencing.

### EXAMPLE 3

20

#### A. Isolation of HBV X Antigen

A 642 bp Nco I-Taq I fragment containing the hepatitis B virus X open reading frame is obtained from the pAM6 plasmid (*adw*) (ATCC 45020), blunted by Klenow fragment, and ligated into the Hinc II site of SK<sup>+</sup> (Stratagene, La Jolla, California).

*E. coli* (DH5 alpha, Bethesda Research Labs, Gaithersburg, Maryland) is transformed with the ligation reaction and propagated. Miniprep DNA is then isolated and purified, essentially as described by Birnboim et al. (*Nuc. Acid Res.* 7:1513, 1979; *Molecular Cloning: A Laboratory Manual*, Sambrook et al. (eds.), Cold Spring Harbor Press, 1989).

Since this fragment can be inserted in either orientation, clones are selected that have the sense orientation with respect to the Xho I and Cla I sites in the SK<sup>+</sup> multicloning site. More specifically, miniprep DNAs are digested with the diagnostic restriction enzyme, Bam HI. Inserts in the correct orientation yield two fragments of 3.0 Kb and 0.6 Kb in size. Inserts in the incorrect orientation yield two

fragments of 3.6 Kb and 0.74 Kb. A clone in the correct orientation is selected and designated SK-X Ag.

#### B. Truncation of HBV X Antigen

5 In order to generate truncated X antigen, TAG is inserted via a Nhe I (nonsense codon) linker (NEB#1060, New England BioLabs, Beverly, Massachusetts). This linker provides nonsense codons in all three reading frames.

SK-XAg is cleaved with Stu I (nucleotide 1704) which linearizes the plasmid. The Nhe I (nonsense codon) linkers are first phosphorylated in the following  
10 reaction. One OD<sub>260</sub> of linkers are dissolved in 100 µl TE (10mM Tris-HCl pH 7.6, 1mM EDTA). One microliter of linkers (1.0-2.0) is mixed with one µl of 10X buffer (0.66 M Tris-HCl pH 7.6, 10 mM ATP, 10 mM spermidine, 0.1 M MgCl<sub>2</sub>, 150 mM DTT, 2 mg/ml BSA), 6 µl H<sub>2</sub>O and 2 U of T4 DNA kinase and incubated for 1 hour at 37°C. This reaction mixture is then added to 0.4 µg of linearized SK XAg plasmid  
15 (Example 3A) in 10 µl of the above buffer with 10 units of T4 DNA ligase. The reaction is incubated at 22°C for 6 hours and stopped with 1 µl of 0.5 M EDTA. The reaction is then extracted with phenol:chloroform (a 1:1 ratio), and the DNA is precipitated with ethanol. The DNA is recovered by centrifugation at 14,000 rpm for 5 minutes at room temperature. The pellet is then dried and dissolved in 90 µl of TE (10  
20 mM Tris-HCl pH 7.6, 1 mM EDTA). Ten microliters of 10X NeB2 buffer (New England Biolabs, Beverly, Massachusetts) is added to the DNA and then digested with 20 U of Nhe I. The plasmid is purified from excess linkers by 1.0% agarose gel electrophoresis, and isolated by GeneClean II (Bio 101, Vista, California).

The DNA is self-ligated and transformed onto competent *E. coli*. Clones  
25 are then screened for the presence of the diagnostic restriction site, Nhe I; these clones will contain the truncated X gene. A clone is selected and designated SK-TXAg.

### EXAMPLE 4

#### 30 PREPARATION OF VECTOR CONSTRUCT BACKBONE

##### A. Preparation of Retroviral Backbone KT-3

The Moloney murine leukemia virus (MoMLV) 5' long terminal repeat (LTR) EcoR I-EcoR I fragment, including gag sequences, from the N2 vector  
35 (Armentano et al., *J. Vir.* 61:1647-1650, 1987; Eglitis et al., *Science* 230:1395-1398, 1985) is ligated into the plasmid SK<sup>+</sup> (Stratagene, La Jolla, California). The resulting

construct is designated N2R5. The N2R5 construct is mutated by site-directed *in vitro* mutagenesis to change the ATG start codon to ATT preventing gag expression. This mutagenized fragment is 200 bp in length and flanked by Pst I restriction sites. The Pst I-Pst I mutated fragment is purified from the SK<sup>+</sup> plasmid and inserted into the Pst I site of N2 MoMLV 5' LTR in plasmid pUC31 to replace the non-mutated 200 bp fragment. The plasmid pUC31 is derived from pUC19 (Stratagene, La Jolla, California) in which additional restriction sites Xho I, Bgl II, BssH II and Nco I are inserted between the EcoR I and Sac I sites of the polylinker. This construct is designated pUC31/N2R5gM.

A 1.0 Kb MoMLV 3' LTR EcoR I-EcoR I fragment from N2 is cloned into plasmid SK<sup>+</sup> resulting in a construct designated N2R3-. A 1.0 Kb Cla I-Hind III fragment is purified from this construct.

The Cla I-Cla I dominant selectable marker gene fragment from pAFVXM retroviral vector (Kriegler et al., *Cell* 38:483, 1984; St. Louis et al., *PNAS* 85:3150-3154, 1988), comprising a SV40 early promoter driving expression of the neomycin phosphotransferase gene, is cloned into the SK<sup>+</sup> plasmid. This construct is designated SK<sup>+</sup> SV<sub>2</sub>-neo. A 1.3 Kb Cla I-BstB I gene fragment is purified from the SK<sup>+</sup> SV<sub>2</sub>-neo plasmid.

The KT-3 retroviral vector is constructed by a three part ligation in which the Xho I-Cla I fragment containing the gene of interest and the 1.0 Kb MoMLV 3' LTR Cla I-Hind III fragment are inserted into the Xho I-Hind III site of pUC31/N2R5gM plasmid. The 1.3 Kb Cla I-BstB I neo gene fragment from the pAFVXM retroviral vector is then inserted into the Cla I site of this plasmid in the sense orientation.

#### B. Preparation of Retroviral Backbone KT-1

The KT-1 retroviral backbone vector is constructed essentially as described for KT-3 in Example 4A, with the exception that the dominant selectable marker gene, neo, is not inserted into the expression vector. Specifically, in a three part ligation, the Xho I-Cla I fragment containing the gene of interest and the 1.0 Kb MoMLV 3' LTR Cla I-Hind III fragment are inserted into the Xho I-Hind III site of pUC31/N2R5gM plasmid.

#### C. Preparation of Retroviral Backbone JMR-2

The JMR-2 vector is comprised of the KT-3 retrovector with a polylinker containing a Xho I, Bam HI, Srf I, and Not I restriction endonuclease sites at

the Xho I site of KT-3 flanking the 5' end of the encephalomyocarditis virus IRES (Novagen, Madison, WI) and a polylinker containing a Cla I, Bgl II, Age I, Hpa I, Mlu I, and Sal I restriction endonuclease sites flanking the 3' end of the encephalomyocarditis virus IRES.

- 5 Briefly, the JMR-2 vector is prepared by inserting a linker containing the Xho I, Bam HI, Srf I, Not I, Cla I and Sal I restriction endonuclease sites at the Xho I restriction endonuclease sites of the KT-3 backbone as described in Example 3B. This construct is designated KT3-L1 and verified by DNA sequencing. The sense and antisense strands are synthesized by standard methods of DNA synthesis. The sense strand sequence is:

(SEQUENCE ID NO. 64)

5'-TCG AGG ATC CGC CCG GGC GGC CGC ATC GAT GTC GAC G-3'

- 15 The antisense strand sequence is:

(SEQUENCE ID NO. 65)

5'-CGC GTC GAC ATC GAT GCG GCC GCC CGG GCG GAT CC-3'

- 20 These oligonucleotides are annealed at 37°C, generating 5' overhangs. These double stranded linkers are then hybridized to compatible overhangs of the Xho I digested KT-3 backbone by annealing at 37°C, followed by ligation.

- The IRES from encephalomyocarditis virus is excised by digestion with Acc I and Cla I restriction endonucleases of the PCR amplified product (Example 2C) and ligated into the Cla I sites of the KT3-L1 and designated KT3-L1-IRES. The correct orientation of the IRES is determined after analysis with AvrII endonuclease digestion. The multicloning site containing Cla I, Bgl II, Age I, Hpa I, Mlu I, and Sal I restriction endonucleases is then inserted into the Cla I-Sal I sites of KT3-L1-IRES. The resulting vector is designated JMR-2. The sense and antisense strands are synthesized by standard methods of DNA synthesis. The sense strand sequence is:

(SEQUENCE ID NO. 66)

5'-CGA TAG ATC TAC CGG TTA ACG CG-3'

- 35 The antisense strand sequence is:

(SEQUENCE ID NO. 83)

5'-TCG ACG CGT TAA CCG GTA GAT CTA T-3'

These oligonucleotides are annealed at 37°C, generating 5' overhangs.

- 5 These double stranded linkers are then hybridized to compatible overhangs of the Cla I-Sal I digested KT3-L1-IRES backbone by annealing at 37°C, followed by ligation.

D. Preparation of CMV Expression Vector

- 10 Plasmid pSCV6 (as described in U.S. Patent Serial No. 07/800,921) may be utilized to generate pCMV-HBc. Briefly, the pSCV6 plasmid is derived from the pBluescript SK- backbone (Stratagene, La Jolla, California) with the CMV IE promoter followed by a polylinker site allowing insertion of a gene of interest and ending with a SV40 poly A Signal.

15 E. Preparation of Adenovirus Viral Backbone

The adenovirus vector backbone, the pAdM1 plasmid is obtained from Quantum Biotechnologies (Montreal, Canada). The Ad5delta E1delta E3 plasmid (Gluzman et al., in *Eucaryotic Viral Vectors*, pp. 187-192, Cold Spring Harbor, 1982) is also obtained from Quantum Biotechnologies.

20

EXAMPLE 5

CONSTRUCTION OF VIRAL AND EXPRESSION VECTOR

25

A. Construction of Hepatitis B Virus e Retroviral Vector

The 787 bp Xho I-Cla I fragment from SK<sup>+</sup>HBe-c, Example 2A, is then ligated into the Xho I and Cla I sites of the KT-3 retroviral vector backbone. This construct is designated KT-HBe-c.

30

B. Construction of Hepatitis B Virus core Retroviral Vector

- 35 The PCR product from Example 2B, approximately 600 bp in length, is digested with Xho I and Cla I restriction endonucleases, electrophoresed through an 1.5% agarose gel and the DNA is purified from the gel slice by GeneClean II (Bio 101, Vista, California). This Xho I-Cla I HBV core PCR product is inserted into the Xho I



and Cla I sites of the KT-3 retroviral vector backbone. The construct is designated KT-HBc.

The HBV core fragment (Xho I-Cla I) from KT-HBc is inserted into the respective sites of pBluescript KS<sup>+</sup> II (Stratagene, La Jolla, California). This construct is designated KS<sup>+</sup> II HBc, and is verified by DNA sequencing.

C. Construction of Hepatitis C Virus core Retroviral Vector

The Xho I-Hind III fragment from pCR II Xh-H HCV core from Example 2C is inserted into the respective sites of pSP72. This construct is designated pSP72 Xh-H HCc. The Xho I-Cla I fragment from pSP72 Xh-H HCc is then excised and inserted into the KT-3 backbone. This construct is designated KT-HCc.

D. Construction of Hepatitis C Virus NS3/NS4 Retroviral Vectors

The Xho I-Hind III fragment from pCR II Xh-H HCV NS3/NS4 from Example 2D is inserted into the respective sites of pSP72. This construct is designated pSP72 Xh-H HCV NS3/NS4. The Xho I-Cla I fragment from pSP72 Xh-H HCV NS3/NS4 is then excised and inserted into the KT-3 backbone. This construct is designated KT-HCV NS3/NS4.

E. Construction of Hepatitis B Virus X Retroviral Vector

The Xho I-Cla I fragment from SK-X Ag is excised and inserted into the respective sites of the KT-3 backbone. This construct is designated KT-HB-X.

F. Construction of Hepatitis B Virus Truncated X Retroviral Vector

The Xho I-Cla I fragment from SK-TX Ag is excised and inserted into the respective sites of the KT-3 backbone. This construct is designated KT HB-TX.

G. Construction of Hepatitis B Virus Pre-S2 Retroviral Vector

The Xho I-Cla I fragment from pCR II HB-Pre-S2 from Example 2H is excised and inserted into the respective sites of the KT3 backbone. This construct is designated KT-HB-Pre-S2.

H. Construction of Hepatitis B Virus Polymerase Retroviral Vector

The Xho I-Cla I fragment from pCR II HB-pol from Example 2I is excised and inserted into the respective sites of the KT3 backbone. This construct is designated KT-HB-pol.

I. Construction of Hepatitis B Virus ORF 5 Retroviral Vector

The Xho I-Cla I fragment from pCR II HB-ORF5 from Example 2J is excised and inserted into the respective sites of the KT3 backbone. This construct is designated KT-HB-ORF 5.

J. Construction of Hepatitis B Virus ORF 6 Retroviral Vector

The Xho I-Cla I fragment from pCR II HB-ORF6 from Example 2K is excised and inserted into the respective sites of the KT3 backbone. This construct is designated KT-HB-ORF 6.

K. Construction of Hepatitis B Virus e/Thymidine Kinase Retroviral Vector

The Xho I to Cla I HBV e from KT-HBe-c (Example 5A) is ligated into the Xho I/Cla I site of the KT-1 retroviral vector backbone (Example 4B) resulting in a vector designated KT-1/HBe-c. A 2.0 Kb Cla I/Cla I fragment containing the HSV-1 thymidine kinase gene driven by the thymidine kinase promoter is derived from pSP72-TK/Cla, and ligated into the Cla I/Cla I site of KT-1/HBe-c in the sense orientation. Orientation is determined by Sma I digestion. This construct is designated KT-HBe/TK.

pSP72-TK/Cla is derived as follows. The fragment containing the HSV-1 thymidine kinase promoter and gene is excised by Xho I and Bam HI digestion from PrTKdeltaA. (PrTKdeltaA is described in Example 4 of PCT WO 91/02805.) This fragment is isolated from a 1% agarose gel with NA45 paper as described in Example 2A and inserted into the Xho I and Bam HI sites of pSP72 plasmid. This plasmid is designated pSP72-TK. pSP72TK is linearized with Xho I, blunted with Klenow and ligated with Cla I linkers (New England BioLabs, Beverly, Massachusetts) as described in Example 3B. This plasmid is self-ligated and transformed onto competent *E. coli*. A clone is selected and designated pSP72-TK/Cla.

L. Construction of Hepatitis B Virus core/Thymidine Kinase Retroviral Vector

The Xho I to Cla I HBV core fragment from KT-HBc (Example 5B) is ligated into the Xho I/Cla I site of the KT-1 retroviral vector backbone (Example 4B) resulting in vectors designated KT-1/HBc. A 2.0 Kb Cla I/Cla I fragment containing the HSV-1 thymidine kinase gene driven by the thymidine kinase promoter is derived from pSP72-TK/Cla and ligated into the Cla I/Cla I site of KT-1/HBc in the sense orientation. This construct is designated KT-HBc/TK.

M. Construction of Hepatitis B Virus Core CMV Expression Vector

The HBV core fragment is obtained from the construct KT-HBc (Example 5B) by digestion of the plasmid with Xho I and Cla I restriction enzymes and isolation from a 1% agarose gel with NA45 paper as described in Example 2A. The fragment is blunted with Klenow and ligated into the Sma I site of the plasmid pSC6. Orientation of the HBcore gene is determined by Eco RI/SSpI double digest. This plasmid is designated pCMV-HBc. *E. coli* (DH5 alpha, Bethesda Research Labs, Gaithersburg, Maryland) is transformed with the pCMV-HBc plasmid and propagated to generate plasmid DNA. The plasmid DNA is then isolated and purified by cesium chloride banding and ethanol precipitation essentially as described by Birnboim et al. (*Nuc. Acid Res.* 7:1513, 1979; see also "Molecular Cloning: A Laboratory Manual," Sambrook et al. (eds.), Cold Spring harbor Press, 1989). The DNA is resuspended in 0.9% sterile phosphate-buffered saline at a final concentration of 2 mg/ml.

N. Construction of Hepatitis B Virus e Adenovirus Viral Vector

The Xho I to Cla I HBV e fragment is obtained from KT-HBe-c (Example 5A) by digestion of the plasmid with Xho I and Cla I restriction enzymes and isolation on a 1% agarose gel and NA45 paper as described in Example 2A. The fragment is blunted with Klenow fragment. The adenovirus vector backbone, the pAdM1 plasmid, is cleaved with Bam HI and blunted with Klenow fragment. The blunted HBV e fragment is ligated into the blunted Bam HI site of pAdM1 plasmid. Orientation of the HBV e gene is determined by Eco RI/Ssp I double digestion. This plasmid is designated pAdM1-HBe.

O. Construction of HB Fcore/neo<sup>R</sup> Retroviral Vector

Three fragments are purified for the construction of the HB Fcore/neo<sup>R</sup> retroviral vector. First, KT-HBc (from Example 5) is digested with Cla I, Pst I and Hind III, and the 1.6 kb Pst I-Hind III fragment containing the neomycin phosphotransferase gene and the 3' LTR is isolated. Second, from KSII+ Xh-Pst HB Fcore/neo<sup>R</sup> (Example 2), the 1.05 kb Xho I-Pst I fragment is isolated. Third, from KT-HBc, the 4.3 kb Xho I-Hind III fragment containing the vector backbone is isolated. In a three-part ligation, the Xho I-Pst I fragment containing the HB Fcore/neo<sup>R</sup> fragment and the Pst I-Hind III neo/3' LTR fragment are inserted into the Xho I-Hind III sites of KT-HBc. This vector construct is designated KT-HB Fcore/neo<sup>R</sup>.

EXAMPLE 6

## CONSTRUCTION OF MULTIVALENT RETROVIRAL VECTOR

5 A. Construction of Hepatitis B e/GM-CSF Retroviral Vectori. *Multivalent retroviral vector with BIP IRES*

pGEM 5Z+BIP 5' (Peter Sarnow, University of Colorado, Health Sciences Center, Denver, human immunoglobulin heavy chain binding protein) is digested with Sac I and Sph I. The 250 bp BIP fragment is isolated by 1.5% agarose gel electrophoresis and subcloned into the respective sites of pSP72. The vector construct is designated pSP72 BIP.

The Hind III-Xho I GM-CSF fragment is excised from pCR II H-Xh GM-CSF of Example 2G, and subcloned into the Hind III-Xho I sites of pSP72 BIP. This construct is designated pSP72 BIP-GM-CSF.

15 The construct pSP72 BIP GM-CSF is cleaved at the Xho I site and blunted by Klenow fragment, followed by cleavage with Cla I. The KT-1 backbone is cleaved by Cla I and blunted with Klenow fragment followed by cleavage with Xho I restriction endonuclease. In a three-part ligation, the Xho I-Cla I fragment from SK<sup>+</sup> HBe-c, Example 2A, and the Cla I-blunted Xho I BIP-GM-CSF fragment is ligated into the Xho I-blunted Cla I sites of the KT-1 retroviral backbone. This construct is designated KT-HBe-c/BIP-GM-CSF.

ii. *Multivalent retroviral vector with CMV promoter*

25 The 4.7 Kb CMV EnvR Pst-RI fragment is isolated from pAF/CMV/EnvR (U.S. Patent Application No. 07/395,932), and inserted into the Pst I and Eco RI sites of pUC 18. This construct is designated pUC 18 CMV EnvR.

HIV-1 IIIB CAR is subcloned as a Sau 3A fragment from pAF/CMV/EnvR into the BamH I site of pBluescript II KS<sup>+</sup> (Stratagene, La Jolla, California) to generate pBluescript II KS<sup>+</sup>/CAR. The CAR fragment is excised from pBluescript II KS<sup>+</sup>/CAR as a Xba I-Cla I fragment. The Xho I- Xba I HIV-1 IIIB gag/pol fragment is excised from SK<sup>+</sup> gag/pol SD delta (U.S. Patent Application No. 07/395,932). The plasmid backbone containing the CMV promoter is excised from pUC18 CMV/EnvR with Xho I and Cla I. In a three part ligation, the Xho I-Xba I HIV IIIB gag-pol fragment and the Xba I-Cla I CAR fragment is inserted into the Xho I - Cla I sites of the pUC 18 CMV/EnvR backbone to generate pUC 18 CMV gag/pol/CAR.

The Hind III-Xho I fragment containing the CMV IE promoter from pUC 18 CMV-gag/pol/CAR is subcloned into the respective sites of pCDNA II. This construct is designated pCDNA II CMV.

The Xho I-Xba I GM-CSF PCR product is subcloned from the pCR II Xh-Xb GM-CSF of Example 2G and inserted into the respective sites within pCDNA II-CMV. This construct is designated pCDNA II CMV-GM-CSF.

The pCDNA II CMV GM-CSF construct is cleaved at the Xba I site, blunted by Klenow fragment, followed by cleavage with Hind III. The KT-1 backbone is cleaved by Cla I and blunted with Klenow fragment followed by cleavage with Xho I. In a three-part ligation, the Xho I-Hind III fragment from SK<sup>+</sup>HBe-c, Example 2A, and the Hind III-blunted Xba I CMV-GM-CSF fragment is ligated into the Xho I-blunted Cla I sites of the KT-1 retroviral backbone. This vector construct is designated KT-HBe-c/CMV-GM-CSF.

#### 15 B. Construction of Hepatitis C core/IL-2 Retroviral Vector

##### i. *Multivalent retroviral vector with IRES*

The Hind III-Xho I IL-2 sequence is excised from pCR II H-Xh IL-2 of Example 2E, and subcloned into the Hind III-Xho I sites of pSP72 BIP. This construct is designated pSP72 BIP IL-2. The Xho I-Hind III hepatitis C virus core sequence, Example 2C, is excised from pCRII Xh-H HCV C core and subcloned into the respective sites of pSP72. This construct is designated pSP72 Xh-H HCV core.

The construct pSP72 BIP-IL2 is cleaved at the Xho I site, blunted by Klenow fragment followed by cleavage with Eco RI. The Xho I-Eco RI HCV core fragment is isolated from pSP72 Xh-H HCV core. The KT-1 backbone is cleaved by Cla I and blunted with Klenow fragment followed by cleavage with Xho I. In a three-part ligation, the Xho I-Eco RI HCV core fragment and the Eco RI-blunted Xho I BIP-IL2 fragment is ligated into the Xho I-blunted Cla I sites of the KT-1 retroviral backbone. This vector construct is designated KT-HCV core/BIP-IL2.

##### 30 ii. *Multivalent retroviral vector with CMV promoter*

The Xho I-Apa I IL-2 fragment is excised from pCR II Xh-A IL-2 of Example 2E, and subcloned into the respective sites of pCDNA II-CMV promoter. This construct is designated pCDNA II CMV-IL-2.

The KT-1 backbone is cleaved by Cla I and blunted with Klenow fragment followed by cleavage with Xho I. The construct pCDNA II CMV-IL-2 is cleaved at the Apa I site, blunted by Klenow fragment and followed by cleavage with

Hind III restriction endonuclease. In a three-part ligation, the Xho I-Hind III HCV core fragment from pCR II Xh-H HCV core from Example 2C and the Hind III-blunted Apa I CMV IL-2 fragment is ligated into the Xho I-blunted Cla I sites of the KT-1 retroviral backbone. This vector construct is designated KT-HCV core/CMV IL-2.

5

#### C. Construction of Hepatitis B core/B7 Retroviral Vector

##### i. *Multivalent retroviral vector with IRES*

The Hind III-Xho I B7 sequence is excised from pCR II H-Xh B7 of Example 2F, and subcloned into the Hind III-Xho I sites of pSP72 BIP. This construct is designated pSP72 H-Xh BIP-B7.

10

The construct pSP72 H-Xh BIP-B7 is cleaved at the Xho I site, blunted by Klenow fragment followed by cleavage with Cla I. The Xho I-Cla I HBV core fragment is isolated from KS II<sup>+</sup> HBc, Example 5B. The KT-1 backbone is cleaved by Cla I and blunted with Klenow fragment followed by cleavage with Xho I. In a three-part ligation, the Xho I-Cla I HBV core fragment and the Cla I-blunted Xho I BIP-B7 fragment is ligated into the Xho I-blunted Cla I sites of the KT-1 retroviral backbone. This vector construct is designated KT-HBV core/BIP-B7 (see Figure 8).

15

##### ii. *Multivalent retroviral vector with CMV promoter*

20

The Xho I-Apa I B7 sequence is excised from pCR II Xh-A B7 of Example 2F, and subcloned into the respective sites of pCDA II-CMV promoter. This construct is designated pCDNA II CMV-B7.

The KT-1 backbone is cleaved by Cla I and blunted with Klenow fragment followed by cleavage with Xho I. The construct pCDNA II CMV-B7 is cleaved at the Apa I site blunted by Klenow fragment and followed by cleavage with Hind III restriction endonuclease. In a three-part ligation, the Xho I-Hind III HBV core fragment from KS II<sup>+</sup> HBc, and the Hind III-blunted Apa I CMV B7 fragment is ligated into the Xho I-blunted Cla I sites of the KT-1 retroviral backbone. This vector construct is designated KT-HBc/CMU-B7.

25

30

#### D. Construction of Hepatitis B e/Hepatitis C core Retroviral Vector

##### i. *Multivalent retroviral vector with BIP IRES*

The Hind III-Xho I HCV core PCR product is subcloned from the pCR II H-Xh HCV core, Example 2C, and inserted into the respective sites within pSP72-BIP. This construct is designated pSP72 BIP-HCV core.

35

The construct pSP72 BIP-HCV core is cleaved at the Xho I site, blunted by Klenow fragment, followed by cleavage with Cla I. The KT-1 backbone is cleaved by Cla I and blunted with Klenow fragment followed by cleavage with Xho I. In a three part ligation, the Xho I-Cla I HBV e fragment from SK<sup>+</sup> HBe-c, Example 2A, and the Cla I-blunted Xho I BIP HCV core fragment is ligated into the Xho I-blunted Cla I sites of the KT-1 retroviral backbone. This vector construct is designated KT-HBV e/BIP HCV core.

ii. *Multivalent retroviral vector with CMV promoter*

The Xho I-Xba I HCV core fragment from pSP72 Xh-H HCV core (Example 6B i) is inserted into the respective sites of pCDNA II CMV plasmid. This construct is designated pCDNA II CMV HCV core.

The construct pCDNA II CMV HCV core is cleaved at the Xba I site, blunted by Klenow fragment, followed by cleavage with Hind III. The KT-1 backbone is cleaved by Cla I and blunted with Klenow fragment followed by cleavage with Xho I. In a three part ligation, the Xho I-Hind III HBV e sequence from SK<sup>+</sup>HBe-c, Example 2A, the Hind III-blunted Xba I CMV HCV core fragment is ligated into the Xho I-blunted Cla I sites of the KT-1 retroviral backbone. This vector construct is designated KT-HBV e/CMV HCV core.

E. Construction of Hepatitis B Virus Core/IL-12 Retroviral Vector

The Xho I-Not I HBV core fragment is isolated from KS II+ HBc, Example 5B, and subcloned into the Xho I-Not I sites of the JMR-2. This construct is designated JMR-2 HBc.

The Bgl II-Hpa I p40 PCR fragment is then subcloned into the respective sites of JMR-2 HBc. This construct is designated JMR-2 HBc/p40.

The Xho I-Nsi I p35 fragment is then excised from pCR II p35 and subcloned into the respective sites of pCDA II-CMV, Example 6Ai. This construct is designated pCDNA II CMV-p35.

The pCDNA II CMV-p35 is excised with Nsi I and blunt ended with T4 DNA polymerase (New England Biolabs, Beverly, MA). The Nsi I-blunt ended CMV p35 fragment is ligated into the Sal I-blunt ended sites of the JMR-2 HBc/p40. Restriction digests are used to confirm that only one fragment is inserted in the correct orientation. This retrovector construct is designated JMR-2 HBc/p40/CMV-p35.

EXAMPLE 7

## GENERATION OF RECOMBINANT RETROVIRAL VECTORS

5 A. Generation of Producer Cell Line Via Two Packaging Cell Lines

HX cells (WO 92/05266) are seeded at  $5 \times 10^5$  cells on a 10 cm tissue culture dish on day 1 with Dulbecco's Modified Eagle Medium (DMEM) and 10% Fetal Bovine Serum (FBS). On day 2, the media is replaced with 5.0 ml fresh media 4 hours prior to transfection. A standard calcium phosphate-DNA co-precipitation is performed by mixing 40.0  $\mu$ l 2.5 M  $\text{CaCl}_2$ , 10  $\mu$ g plasmid DNA and deionized  $\text{H}_2\text{O}$  to a total volume of 400  $\mu$ l. Four hundred microliters of the DNA- $\text{CaCl}_2$  solution is added dropwise with constant agitation to 400  $\mu$ l precipitation buffer (50 mM HEPES-NaOH, pH 7.1, 0.25 M NaCl and 1.5 mM  $\text{Na}_2\text{HPO}_4\text{-NaH}_2\text{PO}_4$ ). This mixture is incubated at room temperature for 10 minutes. The resultant fine precipitate is added to a culture dish of cells. The cells are incubated with the DNA precipitate overnight at  $37^\circ\text{C}$ . On day 3 the media is aspirated and fresh media is added. The supernatant containing virus is removed on day 4, passed through a  $0.45\mu$  filter and used to infect the DA packaging cell line, murine fibroblasts or stored at  $-80^\circ\text{C}$ .

DA (WO 92/05266) cells are seeded at  $5 \times 10^5$  cells/10 cm tissue culture dish in 10 ml DMEM and 10% FBS, 4  $\mu\text{g/ml}$  polybrene (Sigma, St. Louis, Missouri) on day 1. On day 2, 3.0 ml, 1.0 ml and 0.2 ml of the freshly collected virus containing DX media is added to the cells. The cells are incubated with the virus overnight at  $37^\circ\text{C}$ . On day 3 the media is removed and 1.0 ml DMEM, 10% FBS with 800  $\mu\text{g/ml}$  G418 is added to the plate. Only cells that have been transduced with the vector and contain the neo selectable marker will survive. A G418 resistant pool is generated over a period of a week. The pool is tested for expression as described (Example 12A). The pool of cells is dilution cloned by removing the cells from the plate and counting the cell suspension, diluting the cells suspension down to 10 cells/ml and adding 0.1 ml to each well (1 cell/well) of a 96 well plate (Corning, Corning, NY). Cells are incubated for 14 days at  $37^\circ\text{C}$ , 10%  $\text{CO}_2$ . Twenty-four clones are selected and expanded up to 24 well plates, 6 well plates then 10 cm plates at which time the clones are assayed for expression and the supernatants are collected and assayed for viral titer.

The packaging cell line HX (WO 92/05266), is transduced with vector generated from the DA vector producing cell line in the same manner as described for transduction of the DA cells from HX supernatant.



For transduction of the DA (WO 92/05266) cells with a multivalent vector, lacking a neo selectable marker, the infection procedure as noted above is used. However, instead of adding G418 to the cells on day-3, the cells are cloned by limiting dilution as explained above. Fifty clones are expanded for expression as explained above, and titer assayed as described in Example 8.

#### B. Generation of Producer Cell Line Via One Packaging Cell Line

DA cells (WO 92/05266) are seeded at  $5 \times 10^5$  cells on a 10 cm tissue culture dish on day 1 with Dulbecco's Modified Eagle Medium (DMEM) and 10% irradiated (2.5 megarads minimum) fetal bovine serum (FBS). On day 2, the media is replaced with 5.0 ml fresh media 4 hours prior to transfection. A standard calcium phosphate-DNA coprecipitation is performed by mixing 60  $\mu$ l 2.0 M  $\text{CaCl}_2$ , 10  $\mu$ g MLP-G plasmid, 10  $\mu$ g KT-HBe-c or KT-HBe retroviral vector plasmid, and deionized water to a volume of 400  $\mu$ l. Four hundred microliters of the DNA- $\text{CaCl}_2$  solution is added dropwise with constant agitation to 400  $\mu$ l 2X precipitation buffer (50 mM HEPES-NaOH, pH 7.1, 0.25 M NaCl and 1.5 mM  $\text{Na}_2\text{HPO}_4\text{-NaH}_2\text{PO}_4$ ). This mixture is incubated at room temperature for 10 minutes. The resultant fine precipitate is added to a culture dish of DA cells plated the previous day. The cells are incubated with the DNA precipitate overnight at 37°C. On day 3 the medium is removed and fresh medium is added. The supernatant containing G-pseudotyped virus is removed on day 4, passed through a 0.45  $\mu$  filter and used to infect the DA packaging cell.

DA cells (WO 92/05266) are seeded at  $5 \times 10^5$  cells on a 10 cm tissue culture dish in 10 ml DMEM and 10% FBS, 4  $\mu$ g/ml polybrene (Sigma, St. Louis, Missouri) on day 1. On day 2, 2.0 ml, 1.0 ml or 0.5 ml of the freshly collected and filtered G-pseudotyped virus containing supernatant is added to the cells. The cells are incubated with the virus overnight at 37°C. On day 3 the medium is removed and 10 ml DMEM, 10% irradiated FBS with 800  $\mu$ g/ml G418 is added to the plate. Only cells that have been transduced with the vector and contain the neo selectable marker will survive. A G418 resistant pool is generated over the period of 1-2 weeks. The pool is tested for expression as described in Example 12A. The pool of cells is dilution cloned by removing the cells from the plate, counting the cell suspension, diluting the cell suspension down to 10 cells/ml and adding 0.1 ml to each well (1 cell/well) of a 96-well plate (Corning, Corning, New York). Cells are incubated for 2 weeks at 37°C, 10%  $\text{CO}_2$ . Twenty-four clones are selected and expanded up to 24-well plates, then 6-well plates, and finally 10 cm plates, at which time the clones are assayed for expression and the supernatants are collected and assayed for viral titer.

EXAMPLE 8

5

## TITERING OF RETROVIRAL VECTORS

A. Titering of Vectors With Selectable Marker

The titer of the individual clones is determined by infection of HT1080 cells, (ATCC CCL 121). On day 1,  $5 \times 10^5$  HT1080 cells are plated on each well of a 6 well microtiter plate in 3.0 ml DMEM, 10% FBS and 4  $\mu$ g/ml polybrene. On day 2, the supernatant from each clone is serially diluted 10 fold and used to infect the HT1080 cells in 1.0 ml aliquots. The media is replaced with fresh DMEM, 10% FBS media, and the cells are incubated with the vector overnight at 37°C, 10% CO<sub>2</sub>. On day 3, selection of transduced cells is performed by replacing the media with fresh DMEM, 10% FBS media containing 800  $\mu$ g/ml G418. Cells are incubated at 37°C, 10% CO<sub>2</sub> for 14 days at which time G418 resistant colonies are scored at each dilution to determine the viral titer of each clone as colony forming units/ml (cfu/ml).

Using these procedures it can be shown that the titers of the HBVcore and HBVe producer cell lines are:

|    |            |                        |
|----|------------|------------------------|
| 20 | DAcore-6A3 | $3 \times 10^6$ cfu/ml |
|    | DAcore-10  | $1 \times 10^6$ cfu/ml |
|    | DAHBe 4-7  | $3 \times 10^6$ cfu/ml |

B. Titering of Multivalent Vectors25 i. Endpoint Dilution

Since the multivalent vectors do not contain a selectable marker, such as the neomycin gene, another way of titering the vector is described in more detail below. Briefly, 1.0 ml of vector supernatant is diluted five fold to a final dilution of  $10^{-9}$  ml. One milliliter of each dilution is then used to transduce  $5 \times 10^5$  HT1080 cells (ATCC No. CCL 121) essentially as noted in Example 7B. However, instead of adding G418, DNA is extracted from each dish 7 days later as described by Willis (*J. Biol. Chem.* 259:7842-7849, 1984). The HBV e/core is amplified by PCR using the following PCR primers obtained from Genset (Paris, France).

The PCR amplification for HBV e/core is performed with the sense primer that corresponds to the nucleotide sequence 1865 to 1889 of the *adw* clone.

(SEQUENCE ID. NO. 38)

5'-3': TTC AAG CCT CCA AGC TGT GCC TTG G

This primer corresponds to the anti-sense nucleotide sequence 2430 to 2409 of the *adw* clone.

5

(SEQUENCE ID. NO. 39)

5'-3': TCT GCG ACG CGG CGA TTG AGA

This is the probe sequence used to confirm the presence of the desired PCR product and corresponds to the nucleotide sequence 1926 to 1907 of the *adw* strain of hepatitis B virus.

10

(SEQUENCE ID. NO. 40)

5'-3': GGA AAG AAG TCA GAA GGC AA

The PCR amplification for hepatitis C core is performed with the sense primer that corresponds to the nucleotide sequence 328 to 342 of the HCV-J clone.

15

(SEQUENCE ID. NO. 41)

5'-3': CAT GAG CAC AAA TCC

This primer corresponds to the anti-sense nucleotide sequence 907 to 892 of the HCV-J clone.

20

(SEQUENCE ID. NO. 42)

5'-3': GGG ATG GTC AAA CAA G

This is the probe sequence used to confirm the presence of the desired 564 bp PCR product and corresponds to the nucleotide sequence 674 to 693 of the HCV-J clone.

25

(SEQUENCE ID. NO. 43)

5'-3': GTC GCG TAA TTT GGG TAA GG

The PCR amplification for hepatitis C NS3/NS4 is performed with the sense primer that corresponds to the nucleotide sequence 4876 to 4896 of the HCV-J clone.

30

(SEQUENCE ID. NO. 44)

5'-3': TCC TGT GTG AGT GCT ATG ACG

This primer corresponds to the anti-sense nucleotide sequence 6321 to 6302 of the HCV-J clone.

35

(SEQUENCE ID. NO. 45)

5'-3': GAA GTC ACT CAA CAC CGT-GC

This is the probe sequence used to confirm the presence of the desired  
5 1426 bp PCR product and corresponds to the nucleotide sequence 5618 to 5637 of the  
HCV-J clone.

(SEQUENCE ID. NO. 46)

5'-3': CAC ATG TGG AAC TTC ATC AG

10 The PCR products are analyzed by Southern blot analysis with the  
appropriate <sup>32</sup>P-labeled probes (Sambrook et al., *Molecular Cloning, a Laboratory  
Manual*, 2nd ed., Cold Spring Harbor Laboratory, Cold Spring Harbor, NY, 1989).  
Signal is expected in all of the lower dilutions and gradually decrease at higher  
dilutions. The last dilution where a signal is visible yields the infectious U/ml of the  
15 vector.

#### ii. *Titering Retrovectors by PCR*

PCR may also be utilized to determine the titer of vectors that do not  
contain selectable markers. Briefly, 1.0 ml of vector supernatant is used to transduced  
20  $5 \times 10^5$  HT1080 cells in 6 well plates. The transduced cells are grown to confluency  
and the cells counted. The concentration of cells is adjusted to  $5 \times 10^5$  cells/ml. The  
cells are centrifuged at 3000 rpm for 5 minutes at room temperature. The supernatant is  
discarded and cells lysed with 1 ml of RIPA buffer (10 mM Tris, pH 7.4, 1% NP40,  
0.1% SDS, 150 mM NaCl). The cells are resuspended and transferred to an eppendorf  
25 tube. Cells are centrifuged for 10 seconds at maximum speed in a microfuge at room  
temperature. The supernatant is discarded and 10  $\mu$ l proteinase K (10 mg/ml,  
Stratagene, La Jolla, CA) is added to the cells. This mixture is incubated for 60  
minutes at 37°C. TE (10 mM Tris, pH 7.6, 1 mM EDTA) is added to the incubated  
cells to a final concentration of  $1 \times 10^7$  nuclei/ml. This solution is boiled at 100°C for  
30 10 minutes.

A standard curve is created using a clone of HT1080 cells that contains  
one proviral copy of the vector. This positive control of DNA is mixed with the  
negative control DNA (HT1080) in the following positive:negative control ratios:  
100:1, 3:1, 1:1, 1:3, and 1:100. Approximately 2.5  $\mu$ l of sample DNA is placed in a  
35 reaction vessel. Approximately 30  $\mu$ l of H<sub>2</sub>O, 5  $\mu$ l 10X PCR buffer 4  $\mu$ l MgCl<sub>2</sub> (25  
mM each), 5  $\mu$ l primer mix containing primer DNAs (aliquoted at 100 ng/ $\mu$ l), and 0.25

5  $\mu$ l Amplitaq (Cetus, Los Angeles, CA) is added to each reaction vessel containing sample DNA. To this mixture is added 1.0  $\mu$ l alpha  $^{32}\text{P}$  dCTP. The mixture is mixed and 47.5  $\mu$ l is aliquoted for the PCR reaction. The PCR program is set at 94°C for 2 minutes, followed by 26 cycles at 94°C for 30 seconds, followed by a single cycle at 64°C for 30 seconds, and a final cycle at 72°C for 30 seconds. The PCR mixture is then cooled to 4°C.

10 Approximately 10  $\mu$ l of the PCR mixture is mixed with 10  $\mu$ l of gel loading buffer containing 25% glycerol, 75% TE and bromophenol blue and loaded onto a 1% agarose gel. Electrophoresis is performed in 1X TBE (0.045 M Tris-borate, 0.001 M EDTA, pH 8.0) running buffer at 130 volts for 30 minutes. Following electrophoresis, the DNA is transferred onto Duralon-UV (Stratagene, San Diego, CA) as described (Sambrook et al. (eds.), Cold Spring Harbor Press, 1989). The Duralon-UV membrane is removed from the transfer apparatus, wrapped in Saran Wrap and signals are quantitated using Ambis phosphorimager (Ambis, San Diego, CA). The
 15 titer value of each sample is determined by comparison to a standard curve described above and presented as % of positive control.

## 20 EXAMPLE 9

### DETECTION OF REPLICATION COMPETENT RETROVIRUSES

25 The extended S<sup>+</sup>L<sup>-</sup> assay determines if replication competent, infectious virus is present in the supernatant of the cell line of interest. The assay is based on the empirical observation that infectious retroviruses generate foci on the indicator cell line MiCl1 (ATCC CCL 64.1). The MiCl1 cell line is derived from the Mv1Lu mink cell line (ATCC CCL 64) by transduction with Murine Sarcoma Virus (MSV). It is a non-producer, non-transformed, revertant clone containing a murine sarcoma provirus that forms sarcoma (S<sup>+</sup>) indicating the presence of the MSV genome but does not cause
 30 leukemia (L<sup>-</sup>) indicating the absence of replication competent virus. Infection of MiCl1 cells with replication competent retrovirus "activates" the MSV genome to trigger "transformation" which results in foci formation.

35 Supernatant is removed from the cell line to be tested for presence of replication competent retrovirus and passed through a 0.45  $\mu$  filter to remove any cells. On day 1 Mv1Lu cells are seeded at  $1 \times 10^5$  cells per well (one well per sample to be tested) of a 6 well plate in 2 ml DMEM, 10% FBS and 8  $\mu$ g/ml polybrene. Mv1Lu cells are plated in the same manner for positive and negative controls on separate 6 well

plates. The cells are incubated overnight at 37°C, 10% CO<sub>2</sub>. On day 2, 1.0 ml of test supernatant is added to the Mv1Lu cells. The negative control plates are incubated with 1.0 ml of media. The positive control consists of three dilutions (200 focus forming units, (ffu), 20 ffu and 2 ffu each in 1.0 ml media) of MA virus (Miller et al., *Molec. and Cell. Biol.* 5:431-437, 1985) which is added to the cells in the positive control wells. The cells are incubated overnight. On day 3 the media is aspirated and 3.0 ml of fresh DMEM and 10% FBS is added to the cells. The cells are allowed to grow to confluency and are split 1:10 on day 6 and day 10, amplifying any replication competent retrovirus. On day 13 the media on the Mv1Lu cells is aspirated and 2.0 ml DMEM and 10% FBS is added to the cells. In addition the MiCl1 cells are seeded at  $1 \times 10^5$  cells per well in 2.0 ml DMEM, 10% FBS and 8 µg/ml polybrene. On day 14 the supernatant from the Mv1Lu cells is transferred to the corresponding well of the MiCl1 cells and incubated overnight at 37°C, 10% CO<sub>2</sub>. On day 15, the media is aspirated and 3.0 ml of fresh DMEM and 10% FBS is added to the cells. On day 21 the cells are examined under the microscope at 10X power for focus formation (appearing as clustered, refractile cells that overgrow the monolayer and remain attached) on the monolayer of cells. The test article is determined to be contaminated with replication competent retrovirus if foci appear on the MiCl1 cells.

Using these procedures, it can be shown that the HBV core producer cell lines DA core-1, DA core-10, and HBVe producer cell line DA HBe 4-7, are not contaminated with replication competent retroviruses.

#### EXAMPLE 10

25

#### GENERATION OF RECOMBINANT ADENOVIRAL VECTORS

One microgram of pAdM1-HBe linearized with Cla I is mixed with one microgram of Cla I-cut Ad5delta e1 delta E3 (Gluzman et al., in *Eucaryotic Viral Vectors*, pp. 187-192, Cold Spring Harbor, 1982) viral DNA. This DNA mixture is transfected onto  $5-6 \times 10^5$  293 cells (ATCC CRL 1573) in 60 mm diameter dishes using 7 µl of Lipofectamine (BRL, Gaithersburg, Maryland) in 0.8 ml of Opti-MEM I Reduced Serum Medium (BRL, Gaithersburg, Maryland). One milliliter of DMEM media with 20% FBS is added after 5 hours and DMEM media with 10% FBS is replenished the following day. After the appearance of c.p.e. (8-10 days), the culture is harvested and the viral lysates are subjected to two rounds of plaque purification. Individual viral plaques are picked and amplified by infecting 293 cells in 6 well plates

(at a cell density of  $1 \times 10^5$  cells per well). Recombinants are identified by southern analysis of viral DNA extracted from infected cells by the Hirt procedure (Hirt, 1967). After positive identification, the recombinant virus is subjected to two additional rounds of plaque purification. Titers are determined by plaque assay as described in  
 5 Graham et al., *J. Gen. Virol.* 36:59-72, 1977. Viral stocks are prepared by infecting confluent 293 cells ( $2 \times 10^6$  cells) in 60 mm diameter dishes at a multiplicity of 20 pfu/cell. All viral preparations are purified by CsCl density centrifugation (Graham and Van der Elb, *Virol.* 52:456-457, 1973), dialyzed, and stored in 10 mM Tris-HCl (pH 7.4), 1 mM  $MgCl_2$  at 4°C for immediate use, or stored with the addition of 10%  
 10 glycerol at -70°C.

## EXAMPLE 11

### 15 INTRODUCTION OF VECTOR CONSTRUCT INTO CELLS

#### A. Recombinant Retroviral Vectors

##### i. *Transduction of Murine Cells*

The murine fibroblast cell lines BC10ME (ATCC No. TIB85) Bl6 and  
 20 L-M(TK<sup>-</sup>) (ATCC No. CCL 1.3) are grown in DMEM containing 4500 mg/L glucose, 584 mg/L L-glutamine (Irvine Scientific, Santa Ana, California) and 10% FBS (Gemini, Calabasas, California).

The BC10ME, Bl6, and L-M(TK<sup>-</sup>) fibroblast cell lines are plated at  $1 \times 10^5$  cells each in a 10 cm dish in DMEM, 10% FBS and 4  $\mu$ g/ml polybrene. Each  
 25 is transduced with 1.0 ml of the retroviral vector having a vector titer of approximately  $10^5$  cfu/ml. Clones are selected in DMEM, 10% FBS and 800  $\mu$ g/ml G418 as described in Example 7B.

The EL4 (ATCC No. TIB 39) cells and EL4/A2/K<sup>b</sup> cells (L. Sherman, Scripps Institute, San Diego, California) are transduced by co-culture with the DA  
 30 producer cells. Specifically,  $1.0 \times 10^6$  EL4 cells or  $1.0 \times 10^6$  EL4/A2/K<sup>b</sup> are added to  $1 \times 10^6$  irradiated (10,000 rads) DA (vector titer of approximately  $10^5$ - $10^6$ ) producer cells in RPMI 1640 (Irvine Scientific, Santa Ana, California), 10% FBS, and 4  $\mu$ g/ml polybrene (Sigma, St. Louis, Missouri) on day 1. On day 2,  $1.0 \times 10^6$  irradiated (10,000 rad) DA producer cells are added to the co-culture. On day 5 selection of the  
 35 transduced EL4 or EL4/A2/K<sup>b</sup> cells is initiated with 800  $\mu$ g/ml G418. The pool is dilution cloned as described in Example 7A.

BC10ME, Bl6, L-M(TK<sup>-</sup>), EL-4 cells transduced by multivalent vectors are not selected in G418; they are cloned by limiting dilution as in Example 7A and assayed for expression as described in Example 12A. --

5           ii.     *Transduction of Macaque Cells*

Peripheral blood mononuclear cells (PBMC) are spun through a Ficoll-hypaque column at 2000 rpm for 30 minutes at room temperature. Lymphoblastoid cell lines (LCL) are established for each macaque by infecting (transforming) their B cells with Herpes papio virus at a 1:1000 dilution of cell supernatant (594-S, Southwest  
10 Institute for Biomedical Research).

Three to five weeks after Herpes papio transformation, the actively growing cells are transduced twice with retroviral vector expressing HBV core or e antigen. Transduction of LCL is accomplished by co-culturing  $1 \times 10^6$  LCL cells with  $1 \times 10^6$  irradiated (10,000 rads) DA/HBe or DA/HB core producer cells in a 6 cm plate  
15 containing 4.0 ml of medium and 4.0 ug/ml polybrene. The culture medium consists of RPMI 1640, 20% heat inactivated fetal bovine serum (Hyclone, Logan, Utah), 5.0 mM sodium pyruvate, 5.0 mM non-essential amino acids and 2 mM L-glutamine. After overnight culture at 37°C and 5% CO<sub>2</sub>, the LCL suspension cells are removed and cocultured with  $1 \times 10^6$  irradiated (10,000 rads) DA/HBe or DA/HB core producer cells  
20 as in the first transduction. Transduced LCL cells are selected by adding 800 ugm/ml G418 and cloned by limiting dilution.

          iii.     *Transduction of Chimpanzee and Human Cells*

Lymphoblastoid cell lines (LCL) are established for each patient by  
25 infecting (transforming) their B-cells with fresh Epstein-Barr virus (EBV) taken from the supernatant of a 3-week-old culture of B95-8, EBV transformed marmoset leukocytes (ATCC CRL 1612). Three weeks after EBV-transformation, the LCL are transduced with retroviral vector expressing HBV core or e antigen. Transduction of LCL is accomplished by co-culturing  $1.0 \times 10^6$  LCL cells with  $1.0 \times 10^6$  irradiated  
30 (10,000 rads) HX producer cells in a 6 cm plate containing 4.0 ml of medium and 4.0 µg/ml polybrene. The culture medium consists of RPMI 1640, 20% heat inactivated fetal bovine serum (Hyclone, Logan, Utah), 5.0 mM sodium pyruvate and 5.0 mM non-essential amino acids. After overnight co-culture at 37°C and 5% CO<sub>2</sub>, the LCL suspension cells are removed and  $1 \times 10^6$  cells are again co-cultured for  
35 another 6-18 hours in a fresh plate containing  $1.0 \times 10^6$  irradiated (10,000 rads) HX producer cells. Transduced LCL cells are selected by adding 800 µg/ml G418 and



cloned to obtain high expression clones. The Jurkat A2/K<sup>b</sup> cells (L. Sherman, Scripps Institute, San Diego, California) are transduced essentially as described for the transduction of LCL cells. LCLs transduced by multivalent vectors, Jurkat A2/K<sup>b</sup> and EL4 A2/K<sup>b</sup> cells, are not selected in G418; they are cloned by limiting dilution as in Example 7A and assayed for expression as in Example 12A.

B. Transfection With Hepatitis B Virus Core CMV Expression Vector

L-M(TK-) cells are seeded at  $5 \times 10^5$  cells on a 10 cm tissue culture dish on day 1 with Dulbecco's Modified Eagle Medium (DMEM) and 10% fetal bovine serum (FBS). On day 2, the media is replaced with 5.0 ml fresh media 4 hours prior to transfection. A standard calcium phosphate-DNA coprecipitation is performed by mixing 60  $\mu$ l 2.0 M CaCl<sub>2</sub>, 10  $\mu$ g CMV-HBc plasmid, and deionized water to a volume of 400  $\mu$ l. Four hundred microliters of the DNA-CaCl<sub>2</sub> solution is added dropwise with constant agitation to 400  $\mu$ l 2X precipitation buffer (50 mM HEPES-NaOH, pH 7.1, 0.25 M NaCl and 1.5 mM Na<sub>2</sub>HPO<sub>4</sub>-NaH<sub>2</sub>PO<sub>4</sub>). This mixture is incubated at room temperature for 10 minutes. The resultant fine precipitate is added to a culture dish of L-M(TK-) cells plated the previous day. The cells are incubated with the DNA precipitate overnight at 37°C. On day 3 the medium is removed and fresh medium is added. On day 4, cell extracts are harvested and assayed for expression as in Example 12A.

C. Infection with Recombinant Adenoviral Vector

Subconfluent monolayers of L-M(TK-) cells (approximately  $10^6$  cells) growing in 35 mm (diameter) dishes are infected with recombinant HBe adenovirus vectors at a multiplicity of 100 pfu/cell. One hour after adsorption at 37°C, the virus inocula is removed and DMEM supplemented with 2% FBS is added. Thirty to forty hours after infection when pronounced c.p.e. is observed, cell extracts are harvested and assayed for expression as in Example 12A.

EXAMPLE 12

## EXPRESSION OF TRANSDUCED GENES

5 A. ELISA

Cell lysates from cells transduced by KT-HBe-c or KT-HBc are made by washing  $1.0 \times 10^7$  cultured cells with PBS, resuspending the cells to a total volume of 600  $\mu$ l on PBS, and sonicating for two 5-second periods at a setting of 30 in a Branson sonicator, Model 350, (Fisher, Pittsburgh, Pennsylvania) or by freeze thawing three  
10 times. Lysates are clarified by centrifugation at 10,000 rpm for 5 minutes.

Core antigen and precore antigen in cell lysates and secreted e antigen in culture supernatant are assayed using the Abbott HBe, rDNA EIA kit (Abbott Laboratories Diagnostic Division, Chicago, Illinois). Another sensitive EIA assay for precore antigen in cell lysates and secreted e antigen in culture supernatant is performed  
15 using the Incstar ETI-EB kit, (Incstar Corporation, Stillwater, Minnesota). A standard curve is generated from dilutions of recombinant hepatitis B core and e antigen obtained from Biogen (Geneva, Switzerland).

Using these procedures approximately 20-40 ng/ml HBV e antigen is expressed in transduced cell lines, and 38-750 ng/ml of HBV core antigen is expressed  
20 in transduced cell lines (Figure 5).

B. Expression of Transduced Genes by Western Blot Analysis

Proteins are separated according to their molecular weight (MW) by means of SDS polyacrylamide gel electrophoresis. Proteins are then transferred from  
25 the gel to a IPVH Immobilon-P membrane (Millipore Corp., Bedford, Massachusetts). The Hoefer HSI TTE transfer apparatus (Hoefer Scientific Instruments, California) is used to transfer proteins from the gel to the membrane. The membrane is then probed with polyclonal antibodies from patient serum that reacts specifically with the expressed protein. The bound antibody is detected using  $^{125}$ I-labeled protein A, which  
30 allows visualization of the transduced protein by autoradiography.

C. Immunoprecipitation/Western Blot

Characterization of the precore/core and e antigens expressed by transduced cells is performed by immunoprecipitation followed by Western blot  
35 analysis. Specifically, 0.5-1.0 ml of cell lysate in PBS or culture supernatant is mixed with polyclonal rabbit anti-hepatitis B core antigen (DAKO Corporation, Carpinteria,

California) bound to G-Sepharose (Pharmacia LKB, Uppsala, Sweden) and incubated overnight at 4°C. Samples are washed twice in 20 mM Tris-HCl, pH 8.0, 100 mM NaCl, 10 mM EDTA and boiled in sample loading buffer with 0.5% beta 2-mercaptoethanol. Proteins are first resolved by SDS polyacrylamide gel electrophoresis, and then transferred to Immobilon (Millipore Corp., Bedford, Maine) and probed with the DAKO polyclonal rabbit anti-hepatitis core antigen, followed by <sup>125</sup>I-protein A.

Using these procedures, it can be shown from Figure 6 that the 17 Kd HB e protein is secreted by transduced mouse cells into the culture supernatant and the p22, p23 intermediate hepatitis B e products are present mainly in the lysates of transduced mouse cells. This figure also shows expression of p21 HBV core protein in cell lysates from retrovirally transduced BC10ME cells.

### EXAMPLE 13

#### TUMORIGENICITY AND TRANSFORMATION

##### A. Tumorigenicity Assay

Tumor formation in nude mice is a particularly sensitive method for determining tumorigenicity. Nude mice do not possess mature T-cells, and therefore lack a functional cellular immune system, providing a useful *in vivo* model in which to test the tumorigenic potential of cells. Normal non-tumorigenic cells do not display uncontrolled growth properties if injected into nude mice. However, tumorigenic cells will proliferate and generate tumors in nude mice. Briefly, the vector construct is administered by intramuscular and intraperitoneal injection into nude mice. The mice are visually examined for a period of 4 to 16 weeks after injection in order to determine tumor growth. The mice may also be sacrificed and autopsied in order to determine whether tumors are present (Giovanella et al., *J. Natl. Cancer Inst.* 48:1531-1533, 1972; Furesz et al., "Tumorigenicity testing of cell lines considered for production of biological drugs," *Abnormal Cells*, New Products and Risk, Hopps and Petricciani (eds), Tissue Culture Association, 1985; Levenbook et al., *J. Biol. Std.* 13:135-141, 1985). This test is performed by Quality Biotech Inc., (Camden, New Jersey).

## B. Transformation Assay

Tumorigenicity has shown to be closely correlated with the property of transformation. One assay which may be utilized to determine transformation is colony formation of cells plated in soft agar (MacPherson et al., *Vir.* 23:291-294, 1964).

- 5 Briefly, one property of normal non-transformed cells is anchorage dependent growth. Normal non-transformed cells will stop proliferating when they are in semi-solid agar support medium, whereas transformed cells will continue to proliferate and form colonies in soft agar.

- 10 HT1080 (ATCC CCL 121), a neoplastic cell line derived from human fibrosarcoma and known to cause tumors in 100% of nude mice, is used as the assay positive control. WI-38 (ATCC CCL 75), a diploid embryonic human lung cell line which is not tumorigenic in nude mice, is used as the assay negative control.

- WI-38 cell lines are transduced with the vector construct as described in Example 11Ai. Duplicate samples of each of the transduced cell lines, 15 HT1080, and WI-38, are cultured in agar. Briefly, a lower layer of 5.0 ml 0.8% Bactoagar (Difco, Detroit, Michigan) in DMEM 17% FBS is set on 60 mm tissue culture plates. This is overlaid with 2.0 ml 0.3% Bactoagar in the same medium with the cells suspended at a concentration of  $5 \times 10^5$  cells/ml. To reduce background clumps, each cell line is strained through a 70  $\mu$ m nylon mesh before suspending in the 20 agar solution. The plates are incubated at 37°C in a humidified atmosphere of 5% CO<sub>2</sub> for 14 days. Within 24 hours of plating, representative plates of each cell line are examined for cell clumps present at the time of plating. On day 13, the plates are stained with 1.0 ml INT vital stain (Sigma, St Louis, Missouri) and on day 14, they are scanned for colonies of 150  $\mu$ m in diameter using a 1 mm eyepiece reticle.

- 25 Only colonies spanning 150  $\mu$ m or larger in any orientation are scored, because colonies of this size can be readily observed in all planes under the microscope and non-transformed cells rarely form colonies of this size. At the end of the assay, the plating efficiencies for each cell line are calculated as  $b/a \times 100$ , where b equals the sum of colonies on all plates, and a equals the total number of cells plates. 30 A non-transformed cell line is one which has a plating efficiency of lower than or equal to 0.001%. Therefore, a transformed cell line will have a plating efficiency of greater than 0.001% (Risser et al., *Virology* 59:477-489, 1974).

EXAMPLE 14

## ADMINISTRATION PROTOCOLS

5 A. Micei. *Administration of Recombinant Protein*

A monomeric, non-particulate form of Hepatitis B virus core protein (D. Milich, Scripps Institute, San Diego, California) might be useful for priming T-help for CTL. Six- to eight-week old female C3H/He CR, HLA A2.1, HLA A2.1/K<sup>b</sup> mice are  
10 primed with 10 µg of monomeric HBV core emulsified in complete Freund's adjuvant. Two to three weeks later, the mice are injected with either formulated HBV e or HBV core retroviral vector (Example 14A iv a).

ii. *Administration of recombinant protein with Adjuvax*

15 BALB/c, C57BL/6 and C3H/He mice are injected with a suspension of recombinant HBV e or recombinant HBV core/Adjuvax. The antigen-Adjuvax suspension is prepared by adding 1.0 ml of antigen solution in PBS per mg of dry Adjuvax powder (Alpha-Beta Technology, Inc., Worcester, Massachusetts). The antigenAdjuvax mixture is hydrated by drawing it into a syringe with an 18 gauge  
20 needle and making multiple (8-10) passages of the suspension through the needle and syringe. Mice are injected two or more times with 0.2 ml of the antigen-Adjuvax preparation. The injections are given intraperitoneally or intramuscularly one to two weeks apart. One to two weeks after the last injection, mice are bled and serum is tested for antibody specific for HBV e antigen and HBV core antigen. At the same  
25 time, spleens are removed and splenocytes are restimulated *in vitro* with irradiated (10,000 rads) syngeneic cells expressing HBV e or HBV core antigen. Effectors are tested for HBV e/core-specific CTL activity in a standard <sup>51</sup>Cr release assay (see Example 15A i).

30 iii. *Administration of Retroviral-Transduced Cells*

Six- to eight-week old female BALB/c, C57BL/6, C3H/He mice (Charles River Laboratories, Charles River, MA) are injected intraperitoneally (i.p.) with  $1 \times 10^7$  irradiated (10,000 rads at room temperature) syngeneic cells expressing the antigen. Four injections are given at one week intervals. After each injection sera  
35 is removed by retro-orbital bleeds for detection of antibody induction as described in Example 15B. Seven days after the last injection, animals are sacrificed, and the

splenocytes removed for the chromium release CTL assays as described in Example 15Ai.

iv. *Administration of Vector Construct*

5 a. *Recombinant Retroviral Vector*

Six- to eight-week-old female BALB/c, C57BL/6, C3H/He (Charles River Laboratories, Charles River, MA), HLA A2.1 (V. Engelhard, Charlottesville, Virginia) or HLA A2.1/K<sup>b</sup> (L. Sherman, Scripps Institute, San Diego, California) mice are injected intramuscularly (i.m.) at two sites, or intradermally at the base of the tail with 0.1 ml of formulated HBV core, HBV e, or HB Fcore/neo<sup>R</sup> retroviral vector. Two, four, or six injections are given at one week intervals. After each injection, sera is removed by retro-orbital bleeds for detection of antibody induction as described in Example 15B. Fourteen days after the last injection, the animals are sacrificed. Chromium release CTL assays are then performed essentially as described in Example 15A i.

b. *Recombinant Retroviral Vector with Cytokine*

Six- to eight-week-old female C3H/He Charles River, HLA A2.1 or HLA A2.1/K<sup>b</sup> are also injected intramuscularly with 0.05 ml of formulated HBV core retroviral vector and 0.05 ml of 25,000 units of either murine  $\gamma$ -interferon (m  $\gamma$ -IFN), or murine IL-2. Two to three injections are given at one week intervals. Fourteen days after the last injection, the animals are sacrificed. Chromium release CTL assays are then performed essentially as described in Example 15 A.i.

25 c. *Direct DNA Administration*

Female C3H, HLA A2.1, or HLA A2.1/K<sup>b</sup> mice at 5-6 weeks of age are injected into the tibialis anterior muscle of both legs. Each leg receives 50 ul of sterile 0.9% sterile phosphate-buffered saline (PBS) pH 7.3 containing 100 ugm of DNA with a 27-gauge needle and a TB syringe. Three injections are given at 3-week intervals. Animals are sacrificed and spleens harvested 4 weeks after the last injection.

d. *Recombinant Adenoviral Vectors*

Six to eight week old female C3H/He, HLA A2.1 or HL A2.1/K<sup>b</sup> mice are injected intravenously or intraperitoneally with  $5 \times 10^7$  pfu of recombinant HBe adenovirus. Seven days after the injection, the animals are sacrificed for chromium release CTL assays as described in Example 15Ai.

B. Macaque

Male and female macaques of variable age (Primate Research Institute, White Sands, New Mexico) are injected intramuscularly (4 sites), or intradermally in the nape of the neck with 0.5 ml of formulated HB Fcore/neo<sup>R</sup> vector, HBV core or HBV e retroviral vector. Four injections are given at 14 day intervals. Fourteen days after each injection, blood samples are collected for chromium release CTL assays as described in Example 15Aiii.

10 C. Chimpanzee

The data generated in the mouse and macaque systems is used to determine the protocol of administration of vector in chimpanzees chronically infected with hepatitis B virus. Based on the induction of HBV-specific CTLs in mice and macaques, the subjects in chimpanzee trials (White Sands Research Center, Alamogordo, NM, Southwest Foundation for Biomedical Research, San Antonio, Texas) will receive four doses of formulated HB Fcore/neo<sup>R</sup> vector at 14 day intervals. The dosage will be 10<sup>9</sup> cfu/ml of formulated HB Fcore/neo<sup>R</sup> vector given in four 0.5 ml injections i.m. on each injection day. Blood samples will be drawn during treatment in order to measure serum alanine aminotransferase (ALT) levels, the presence of antibodies directed against the hepatitis B e antigen, HBV DNA levels and to assess safety and tolerability of the treatment. The hepatitis B e antigen and antibodies to HB e antigen is detected by Abbott HB e rDNA EIA kit as described in Example 12A. Efficacy of the induction of CTLs against hepatitis B core or e antigen can be determined as in Example 15Aiv.

25 Based on the safety and efficacy results from the chimpanzee studies, the dosage and inoculation schedule is determined for administration of the vector to subjects in human trials. These subjects are monitored for serum ALT levels, presence of hepatitis B e antigen, the presence of antibodies directed against the hepatitis B e antigen, and HBV DNA levels, essentially as described above. Induction of human CTLs against hepatitis B core or e antigen is determined as in Example 15A iv.

EXAMPLE 15A. Cytotoxicity Assaysi. *Inbred Mice*

5 Six- to eight-week-old female Balb/C, C57B1/6 and C3H mice (Harlan Sprague-Dawley, Indianapolis, Indiana) are injected twice intraperitoneally (i.p.) at 1 week intervals with  $1 \times 10^7$  irradiated (10,000 rads at room temperature) vector transduced BC10ME, Bl6 and L-M(TK<sup>-</sup>) cells respectively. Animals are sacrificed after administration of vector or transduced syngeneic cells. Splenocytes ( $3 \times 10^6$ /ml) are harvested and cultured *in vitro* with their respective irradiated transduced cells (6 x 10<sup>4</sup>/ml) in T-25 flasks (Corning, Corning, New York). Culture medium consists of RPMI 1640, 5% heat-inactivated fetal bovine serum, 1 mM sodium pyruvate, 50 µg/ml gentamycin and 10<sup>-5</sup>M β-2-mercaptoethanol (Sigma, St. Louis, Missouri). Effector cells are harvested 4-7 days later and tested using various effector:target cell ratios in 15 96 well microtiter plates (Corning, Corning, New York) in a standard chromium release assay. Targets are the HB core or HBC transduced L-M(TK<sup>-</sup>) cells whereas the non-transduced L-M(TK<sup>-</sup>) cell lines are used as a control for background lysis. Specifically, Na<sup>251</sup>CrO<sub>4</sub>-labeled (Amersham, Arlington Heights, Illinois)(100 uCi, 1 hr at 37°C) target cells ( $1 \times 10^4$  cells/well) are mixed with effector cells at various effector to target 20 cell ratios in a final volume of 200 µl. Following incubation, 100 µl of culture medium is removed and analyzed in a Beckman gamma spectrometer (Beckman, Dallas, Texas). Spontaneous release (SR) is determined as CPM from targets plus medium and maximum release (MR) is determined as CPM from targets plus 1M HCl. Percent target cell lysis is calculated as: [(Effector cell + target CPM) - (SR)/(MR) - (SR)] x 25 100. Spontaneous release values of targets are typically 10%-20% of the MR.

For certain CTL assays, the effectors may be *in vitro* stimulated multiple times, such as, for example, on day 8-12 after the primary *in vitro* stimulation. More specifically,  $10^7$  effector cells are mixed with  $6 \times 10^5$  irradiated (10,000 rads) stimulator cells, and  $2 \times 10^7$  irradiated (3,000 rads) "filler" cells (prepared as described 30 below) in 10 ml of "complete" RPMI medium. (RPMI containing: 5% heat inactivated Fetal Bovine Serum. 2 mM L-glutamine. 1 mM Sodium Pyruvate, 1X Non Essential Amino Acids, and  $5 \times 10^{-5}$  M β-mercaptoethanol). "Filler" cells are prepared from naive syngeneic mouse spleen cells resuspended in RPMI, irradiated with 3,000 rads at room temperature. Splenocytes are washed with RPMI, centrifuged at 3,000 rpm for 5 35 minutes at room temperature, and the pellet is resuspended in RPMI. The resuspended cells are treated with 1.0 ml Tris-Ammonium Chloride (100 ml of 0.17 M Tris Base,



pH 7.65, plus 900 ml of 0.155 M NH<sub>4</sub>Cl; final solution is adjusted to a pH of 7.2) at 37°C for 3-5 minutes. The secondary *in vitro* restimulation is then cultured for 5-7 days before testing in a CTL assay. Any subsequent restimulations are cultured as described above with the addition of 2-10 U of recombinant human IL-2 (200 U/ml, catalog #799068, Boehringer Mannheim, W. Germany).

In certain cases, it may be necessary to add unlabeled non-transduced or  $\beta$ -gal/neo-transduced targets to labeled targets at a predetermined ratio. This reduces the background lysis of negative control cells.

The  $\beta$ -gal/neo-transduced targets are generated as follows. The plasmid pSP65 containing the bacterial  $\beta$ -gal gene is obtained, and the 3.1 Kb  $\beta$ -gal gene isolated as a Xba I-Sma I fragment and inserted into pC15CAT (Anya et al., *Science* 229:69-73, 1985) digested with Xba I-Sma I. The  $\beta$ -gal gene is resected as a 3.1 Kb Sal I-Sma I fragment and inserted into the N2 IIIB *gag/prot* retroviral vector backbone at the Xho I and the blunted Cla I-site. This plasmid is designated CB- $\beta$  gal.

The construction of N2 III B *gag/prot* is described below. The major splice donor (SD) site of HIV-1 *gag* gene is removed by changing GT to AC by PCR of pSLCAT $\Delta$ /Bgl II (a vector that expresses *gag/pol*, *tat*, and *rev*, derived from a clone of HIV-1 IIIB called HXB2). During the PCR mutagenesis procedure, a Sac I site is also created upstream of the SD  $\Delta$  site so that a 780 bp Sac I-Spe I SD  $\Delta$  *gag* fragment could be purified. The 1.5 Kb Spe I-Eco RV *gag-prot-RT* fragment and the 780 bp Sac I-Spe I SD  $\Delta$  *gag* fragment are inserted into pUC18 Sac I-Sma I site. The resulting 2.3 Kb Sac I-blunt-Bam HI SD  $\Delta$  *gag-prot-RT* fragment is isolated from this pUC18 vector. A SK<sup>+</sup> *gag-prot-RT* expression vector is produced by a three-part ligation in which the 239 bp Xho I-Ssp I 5' *rev* DNA fragment and the 2.3 Kb Sac I-blunt-Bam HI SD  $\Delta$  *gag-prot-RT* fragment are inserted into the Xho I-Byl II 4.2 Kb *rre/3' rev* in SK<sup>+</sup> vector fragment. The resulting construct is designated SK<sup>+</sup>*gag-prot-RT/rre/rev*. An N2-based *gag-prot-RT* expression vector is produced by a two-part ligation in which the 3.8 Kb Xho I-Cla I *gag-prot-RT/rre/rev* fragment, from SK<sup>+</sup>*gag-prot-RT-rre/rev*, is inserted into the Xho I-Cla I site of fragment of N2 IIIB *env*, which contains the LTR's. N2 IIIB *env* is a derivative of pAF/Env<sup>f</sup>/SV<sub>2</sub>neo with a modified 5' end based on the N2 recombinant retrovirus (Armentano et al., *J. Virol.* 61:1647-1650, 1987; Eglitis et al., *Science* 230:1395-1398, 1985).

A Cla I-Cla I dominant selectable marker gene fragment from pAFVXM retroviral vector (Kriegler et al., *Cell* 38:483, 1984; St. Louis et al., *PNAS (USA)* 85:3150-3154, 1988) composed of a SV40 early promoter driving expression of the neomycin phosphotransferase gene, is cloned into plasmid SK<sup>+</sup>. From this, a 1.3 Kb Cla I-Bst BI *neo* gene fragment is inserted into the N2-based *gag/prot/RT* expression

vector at the Cla I site to facilitate isolation of infected and transfected cell lines. This vector was called N2 IIIB *gag/prot*.

Infectious retroviral particles are produced through the generation of a stable producer cell line by transfection of CB- $\beta$  *gal* plasmid as described in Example 7A. The stable producer cell line utilized in these studies is derived from the DA cell line (WO 92/05266), and is designated DA-b *gal*. DA-b *gal* is then used to generate retroviral vector expressing b *gal/neo*. The C3H (H-2k) cell line LMTK- is transduced with b *gal/neo* vector as described in Example 11Ai. Clones are screened for b *gal* expression and the highest expressing clone is chosen for use as a negative "neo" control in CTL assays.

Using these procedures, it can be shown that i.m. administration of HBV core formulated vector induces CTL responses against HBV core and HBV e antigen in C3H/He CR mice (see Figure 9).

Effector cells obtained from C3H/He CR mice (H2<sup>k</sup>) primed by i.m. administration of HBV core formulated vector are tested for their cytolytic activity using HB cAg retrovector-transduced LMTK-cells (H-2<sup>k</sup>), HBcAg retrovector-transduced BL/6 cells (H-2<sup>b</sup>), or HBcAg retrovector-transduced BC10ME cells (H-2<sup>d</sup>) as targets in a chromium release assay. Results in Figure 10 show that the effectors induced by immunization with HBcAg retrovector are H-2<sup>k</sup> MCH class I restricted because the effectors kill targets that present HBcAg in the context of H-2<sup>k</sup> but do not kill targets that present HBcAg in the context of H-2<sup>b</sup> or H-2<sup>d</sup>.

From the above procedures, the stimulated effector cells by administered formulated HB core vector, were depleted of CD4 cells or CD8 cells by treatment with either anti-CD4 or anti-CD8 antibodies conjugated to magnetic beads.

Stimulated effector cells depleted of CD4 cells are isolated by immunomagnetic separation using Dynabeads (Dynal Inc., Skoyen, NO) as follows: a) restimulated splenocytes are incubated 30 minutes at 4°C with monoclonal rat anti-L3T4 (Collaborative Biomedical Products, Becton Dickinson Labware, Bedford, ME), b) cells are washed twice with DMEM containing 10% FCS and resuspended to 1x10<sup>7</sup> cells/ml in medium, c) approximately 75 $\mu$ l/1x10<sup>7</sup> cells/ml of prewashed Dynabeads coated with sheep anti-rat IgG (Dynal Inc., cat #M-450) are added to the cells, and CD4<sup>+</sup> cells are recovered magnetically. The remaining CD4-depleted cells are then tested for their cytolytic activity using LM core/neo<sup>r</sup> and B-gal/neo<sup>r</sup> as targets in a chromium release assay.

Stimulated effector cells depleted of CD8 cells are isolated by immunomagnetic separation using Dynabeads (Dynal Inc., Skoyen, NO) as follows: a) restimulated splenocytes are incubated 30 minutes at 4°C with monoclonal rat anti-

Lyt-2 (Collaborative Biomedical Products, Becton Dickinson Labware, Bedford, ME),  
 b) cells are washed twice with DMEM containing 10% FCS and resuspended to  $1 \times 10^7$   
 cells/ml in medium, c) approximately  $75 \mu\text{l}/1 \times 10^7$  cells/ml of prewashed Dynabeads  
 coated with sheep anti-rat IgG (Dynal Inc., cat #M-450) are added to the cells, and  
 5 CD4<sup>+</sup> cells are recovered magnetically. The remaining CD8-depleted cells are then  
 tested for their cytolytic activity using i.m. core/neo<sup>r</sup> and B-gal/neo<sup>r</sup> as targets in a  
 chromium release assay. Results shown in Figure 11 show that the CTL effectors are  
 CD8<sup>+</sup>, CD4<sup>-</sup>.

10           ii.     *HLA A2.1 and HLA A2.1/K<sup>b</sup> Transgenic Mice*

Animals are sacrificed and the splenocytes ( $3 \times 10^6/\text{ml}$ ) cultured *in vitro*  
 with irradiated (10,000 rads) transduced Jurkat A2/K<sup>b</sup> cells or with peptide coated  
 Jurkat A2/K<sup>b</sup> cells ( $6 \times 10^4/\text{ml}$ ) in flasks (T-25, Corning, Corning, New York). The  
 remainder of the chromium release assay is performed as described in Example 15Ai,  
 15 where the targets are transduced and non-transduced EL4 A2/K<sup>b</sup> and Jurkat A2/K<sup>b</sup>  
 cells. Non-transduced cell lines are utilized as negative controls. The targets may also  
 be peptide coated EL4 A2/K<sup>b</sup> cells as described in Example 16.

             iii.     *Macaque CTL Assays*

20           Blood samples are collected in heparinized tubes 14 days after each  
 injection. The peripheral blood mononuclear cells (PBMCs) are then spun through a  
 Ficoll-hypaque column at 2000 rpm for 30 minutes at room temperature. The PBMCs  
 are stimulated *in vitro* with their autologous transduced LCL at a stimulator:effector  
 ratio of 10:1 for 7-10 days. Culture medium consists of RPMI 1640 with 5% heat-  
 25 inactivated fetal bovine serum (Hyclone, Logan, Utah), 1 mM sodium pyruvate, 10 mM  
 HEPES, 2 mM L-glutamine, and 50  $\mu\text{g}/\text{ml}$  gentamycin. The resulting stimulated  
 CTL effectors are tested for CTL activity against transduced and non-transduced  
 autologous LCL in the standard chromium release assay.

30           iv.     *Chimpanzee and Human CTL assays*

Human PBMC are separated by Ficoll (Sigma, St. Louis, Missouri)  
 gradient centrifugation. Specifically, cells are centrifuged at 3,000 rpm at room  
 temperature for 5 minutes. The PBMCs are restimulated *in vitro* with their autologous  
 transduced LCL, Example 10B, at a stimulator:effector ratio of 10:1 for 10 days.  
 35 Culture medium consists of RPMI 1640 with prescreened lots of 5% heat-inactivated  
 fetal bovine serum, 1 mM sodium pyruvate and 50  $\mu\text{g}/\text{ml}$  gentamycin. The resulting

stimulated CTL effectors are tested for CTL activity using transduced autologous LCL or HLA matched cells as targets in the standard chromium release assay, Example 12Ai. Since most patients have immunity to EBV, the non-transduced EBV-transformed B-cells (LCL) used as negative controls, will also be recognized as targets by EBV-specific CTL along with the transduced LCL. In order to reduce the high background due to killing of labeled target cells by EBV-specific CTL, it is necessary to add unlabeled non-transduced LCL to labeled target cells at a ratio of 50:1.

#### B. Detection of Humoral Immune Response

Humoral immune responses in mice specific for HBV core and e antigens are detected by ELISA. The ELISA protocol utilizes 100 µg/well of recombinant HBV core and recombinant HBV e antigen (Biogen, Geneva, Switzerland) to coat 96-well plates. Sera from mice immunized with cells or direct vector expressing HBV core or HBV e antigen are then serially diluted in the antigen-coated wells and incubated for 1 to 2 hours at room temperature. After incubation, a mixture of rabbit anti-mouse IgG1, IgG2a, IgG2b, and IgG3 with equivalent titers is added to the wells. Horseradish peroxidase ("HRP")-conjugated goat anti-rabbit anti-serum is added to each well and the samples are incubated for 1 to 2 hours at room temperature. After incubation, reactivity is visualized by adding the appropriate substrate. Color will develop in wells that contain IgG antibodies specific for HBV core or HBV e antigen.

Using these procedures, it can be shown that IgG antibody to HBV core and e antigens can be induced in mice, Figures 7. (The antibody titer is expressed as the reciprocal for the dilution required to yield 3 times the OD reading of pre-immunization sera.)

The isotype(s) of the humoral response in mice that have been immunized with HBV core or e retrovector are detected by an ELISA assay described above, with the following modification: sera from mice are serially diluted into the wells of a 96 well titer plate in which the wells have been coated with either recombinant core or e protein as described previously. The specific isotype is determined by incubation with one of the following rabbit anti-mouse antisera: IgG1, IgG2a, IgG2b, or IgG3. The assay is developed as previously described. Using this procedure, it can be shown that IgG2a antibody is preferentially induced in C3H/He (CR) mice immunized with formulated HBV core vector (6A3), and IgG1 antibody is preferentially induced in C3H/He CR mice immunized with formulated HBV3 vector (5A2) (see Figure 12).

### C. T cell proliferation

Antigen induced T-helper activity resulting from two or three injections of direct vector preparations expressing HBV core or e antigen, is measured *in vitro*. Specifically, splenocytes from immunized mice are restimulated *in vitro* at a predetermined ratio with cells expressing HBV core or e antigen or with cells not expressing HBV core or e antigen as a negative control. After five days at 37°C and 5% CO<sub>2</sub> in RPMI 1640 culture medium containing 5% FBS, 1.0 mM sodium pyruvate and 10<sup>-5</sup> beta 2-mercaptoethanol, the supernatant is tested for IL-2 activity. IL-2 is secreted specifically by T-helper cells stimulated by HBV core or e antigen, and its activity is measured using the CTL clone, CTLL-2 (ATCC TIB 214). Briefly, the CTLL-2 clone is dependent on IL-2 for growth and will not proliferate in the absence of IL-2. CTLL-2 cells are added to serial dilutions of supernatant test samples in a 96-well plate and incubated at 37°C and 5%, CO<sub>2</sub> for 3 days. Subsequently, 0.5  $\mu$ Ci <sup>3</sup>H-thymidine is added to the CTLL-2 <sup>3</sup>H-thymidine is incorporated only if the CTLL-2 cells proliferate. After an overnight incubation, cells are harvested using a PHD cell harvester (Cambridge Technology Inc., Watertown, Massachusetts) and counted in a Beckman beta counter. The amount of IL-2 in a sample is determined from a standard curve generated from a recombinant IL-2 standard obtained from Boehringer Mannheim (Indianapolis, Indiana).

### D. Measurements of Cytokines from T cells

As noted above, there are primarily two types of T-lymphocyte helper cells (T<sub>H</sub>) designated T<sub>H1</sub> and T<sub>H2</sub>. One method for measuring the type of T<sub>H</sub> induced is to determine the predominant isotype of the humoral immune response (*see* Example 15B) and thereby indirectly determine the type of T<sub>H</sub> response produced. Alternatively, the cytokine secretion pattern of the T<sub>H</sub> cell population from the mouse splenocytes restimulated *in vitro* as described above. After 5-7 days in culture, supernatant is tested for IL-2, IFN- $\gamma$ , IL-4, and IL-10 using an ELISA assay that is specific for each cytokine (Pharmagen, San Diego, CA).

Yet another direct method for defining the type of T<sub>H</sub> induction is to measure the cytokine secretion pattern directly from the CD4<sup>+</sup> selected population of splenocytes restimulated *in vitro*, by reverse transcriptase-polymerase chain reaction (RT-PCR). Briefly, CD4<sup>+</sup> T cell populations are isolated by immunomagnetic separation using Dynabeads (Dynal Inc., Skoyen, NO) as follows: (a) restimulated splenocytes are incubated 30 minutes at 4°C with monoclonal rat anti-L3T4 (Collaborative Biomedical Products, Becton Dickinson Labware, Bedford ME), (b) cells are washed twice with DMEM containing 10% FCS and resuspended to 1x10<sup>7</sup>

- cells/ml in medium, (c) approximately  $75\text{ml}/1 \times 10^7\text{cells/ml}$  of prewashed Dynabeads coated with sheep anti-rat IgG (DynaL Inc., cat #M-450) are added to the cells, and  $\text{CD4}^+$  cells are recovered magnetically. Flow cytometry is used to determine concentration of  $\text{CD4}^+$  T-cells. The RNA from the selected  $\text{CD4}^+$  population is
- 5 isolated by the method of Chomczynski and Sacchi (*Anal. Biochem.* 162:156, 1987).  $\text{CD4}^+$  selected cells are added to a 4M guanidinium buffer and samples are treated with DNase 1 (Promega, Madison, WI) for 30 minutes at  $37^\circ\text{C}$ . cDNA is synthesized from 1 mg of RNA isolated from the  $\text{CD4}^+$  selected cells. Briefly, 1 mg of RNA in 13 ml DEPC-treated  $\text{H}_2\text{O}$  is primed by adding 1 ml (0.5 mg/ml) oligo-dT primer, 1 ml of
- 10 Avian Myeloblastosis Virus (AMV) reverse transcriptase and 0.5 mM dNTP to the RNA and incubating at  $42^\circ\text{C}$  for 1 hour. Approximately 20 ml of primed RNA is mixed with 30 ml of PCR reaction mixture containing 50 mM Tris-HCl, pH 9.0, 50 mM KCl, 2.5 mM  $\text{MgCl}_2$ , 0.1 % (w/v) gelatin, 0.2 mM dNTP, 25 pM 5' and 3' oligonucleotide primers, and 2.5 U Taq polymerase (Promega, Madison WI). Aliquots
- 15 are amplified in a DNA Thermocycler (Perkin-Elmer Corp., Norwalk, CT). A 40 cycle program is used in which each cycle consists of denaturation step at  $94^\circ\text{C}$  for 1 min. and annealing/extension step at  $55^\circ\text{C}$  (for IL-2 and g-IFN) or  $65^\circ\text{C}$  for 2 min (IL-4 and IL-10). An aliquot of PCR product is then electrophoresed on a 2% agarose gel. The sequences of the cytokine specific primer pairs, 5' and 3', are as follows:
- 20 IL-2;
- (SEQUENCE ID NO. 67)  
5'-3': ACTCACCAGGATGCTCACAT
- (SEQUENCE ID NO. 68)
- 25 5'-3': AGGTAATC-CATCTGTTCAGA
- IL-4;
- (SEQUENCE ID NO. 69)  
5'-3': CTTCCCCCTCTGTTCTTCCT
- (SEQUENCE ID NO. 70)
- 30 5'-3': TTCCTGTCGAGCCGT-TTCAG
- IL-10;
- (SEQUENCE ID NO. 71)  
5'-3': ATGCCCCAAGCTGAGAACCAAGACCCA
- (SEQUENCE ID NO. 72)
- 35 5'-3': TCTCAAGGGGCT-GGGTCAGCTATCCCA

IFN- $\gamma$ ;

(SEQUENCE ID NO. 73)

5'-3': AGTTATATCTTGGCTTTTCA

(SEQUENCE ID NO. 74)

5 5'-3': ACCGAATAA-TTAGTCAGCTT

To verify PCR results (that IL-2 is IL-2, IL-4 is IL-4, IL-10 is IL-10 and IFN- $\gamma$  is IFN- $\gamma$ , PCR products are electrophoresed and transferred to Hybond-N nylon membranes (Amersham Corp., Arlington Heights, IL). Alternatively, PCR products are  
 10 directly applied to nylon membranes by slot blotting. An oligonucleotide complementary to sequences within the region flanked by the PCR amplification primers is labeled at the 5' end by T4 polynucleotide kinase (Boehringer Mannheim Biochemicals, Indianapolis, IN) and  $^{32}\text{P}$ - $\gamma$ ATP (7000 Ci/mM, ICN, Costa Mesa, CA) for use as a radioactive probe. Blots are then hybridized essentially as described by  
 15 Sambrook et al. with probe for 4 hr., washed for 5 min. with 2X SSC and 0.1% SDS, followed by 0.2X SSC and 0.5% SDS, at ambient temperature. The blots were then exposed to X-ray film with intensifying screens at -80°C overnight. The Sequences of the oligonucleotide probes 5' and 3' are:

20 IL-2;

(SEQUENCE ID NO. 75)

5'-3': AGCTAAATTTAGGCACTTCCTCCAG

IL-4;

(SEQUENCE ID NO. 76)

25 5'-3': CTCGGTG-CTCAGAGTCTTCTGCTCT

IL-10;

(SEQUENCE ID NO. 77)

5'-3': CAGGTGAAGAATGCCTTTAATAAGCTCCA-  
 ACAGAAAGGCATCTACAAAGCCATGAGTGACTTTGACATC

30  $\gamma$ -IFN;

(SEQUENCE ID NO. 78)

5'-3': ATTTGGC-TCTGCATTATTTTCTGT

Membranes are hybridized with radioactive probes and scanned using an AMBIS  
 35 radioanalytic imaging system (Automated Microbiology Systems Inc., San Diego, CA).

EXAMPLE 16

ENHANCEMENT OF PRIMARY HELPER T CELL RESPONSES TO EXPRESSED HBV ANTIGEN-  
ENCODING VECTORS BY *IN VIVO* PRIMING WITH RECOMBINANT HBV POLYPEPTIDES

5

The ability of recombinant retroviral vectors directing the expression of HBV core and e antigens (HBc/eAg) to prime helper T cells in a mammalian host was assessed. Retroviral vectors expressing nucleotide sequences encoding HBcAg (designated "HBc(3A4)"), HBeAg (designated "HBe(5A2)"), and a fusion protein  
10 comprising HBcAg fused in-frame to a neomycin phosphoryltransferase truncated deletion mutant (designated "HBc/neo(6A3)") were constructed using the KT-3 retroviral backbone as described in Example 5. Briefly, the HBc(3A4) construct directs the expression of a 183 amino acid HBV core protein found in HBV capsid assembly. The HBe(5A2) retrovirus directs the expression of the mature, secreted form of the  
15 HBV e antigen lacking N- and C-terminal amino acid sequences found in the naturally occurring pre-protein but possessing the 9 amino acid secretory signal sequence. The HBc/neo (6A3) retrovirus directs expression of the 183 amino acid HBV capsid antigen fused to a neomycin phosphoryltransferase.

Generally, unless otherwise noted below the titre of vectors ranged from  
20  $1 \times 10^8$  to  $1 \times 10^9$  cfu/ml. All i.m. retroviral vector immunizations were 100  $\mu$ l per muscle, and all s.c. or foot pad immunizations were 50  $\mu$ l (per foot pad). This resulted in a total volume of 200  $\mu$ l for i.m. administration and 100  $\mu$ l for foot pad administration.

25 A. Retroviral vectors directing the expression of HBV core and e antigens primed weak antigen-specific helper T cell responses *in vivo*.

1. HBc/e Ag-specific antibody responses

*In vivo* priming of murine helper T cell responses by the three retroviral vectors, 3A4, 5A2, and 6A3 was investigated using 4-8 week old H-2 congenic mice  
30 that shared the B10 genetic background. Briefly, vectors ( $1-8 \times 10^7$  cfu) were directly injected intramuscularly into mice at zero, two, and four weeks and test bleeds were collected from the retro-orbital plexus every second week for eight weeks. Helper T cell function was analyzed by measuring antigen-specific antibody titers in immune sera using enzyme-linked immunosorbent assays (ELISA) as previously described  
35 (Milich et al., *J. Immunol.* 141:3617, 1988; Milich et al., *J. Immunol.* 139:1223, 1987) except that adsorption of antigen to the solid phase was done using 0.5  $\mu$ g/ml of rHBcAg (Schodel et al., *J. Biol. Chem.* 268:1332, 1993) and 0.1  $\mu$ g/ml of HBeAg-9.6



(Milich et al., 1988). Previously identified correlations of murine H-2 haplotype (Milich et al, *J. Virol.* 69:2776, 1995) and immunoglobulin isotype (Stevens et al., *Nature* 334a;255, 1988) with HBc/eAg responses indicated the significance of helper T cell function to humoral immunity.

Results are reported in Table 1 below. H-2<sup>b</sup> mice produced low but detectable HBc/eAg antibody titers 4-6 weeks post-inoculation with the retroviral vectors 3A4 and 5A2, but not 6A3. Conversely, H-2<sup>k</sup> mice failed to elaborate measurable titers in response to vectors 3A4 or 5A2, but did respond to inoculation with 6A3. In all cases, primary antibody responses were poor (titer <1:1000) and secondary responses titrated out at dilution of <1:10,000. In addition, subcellular immunolocalization of the expressed HBc/eAg polypeptides in *in vitro* retrovirus-infected DA producer cell lines showed that 3A4- and 6A3-encoded HBV antigens remained at predominantly intracellular locations, while HBeAg was secreted by 5A2-infected cells.

TABLE 1

Humoral immune responses in B10(H-2b) and B10.BR (H-2k) mice following genetic immunization with the HBc[3A4], HBe[5A2], and HBc/neo[6A3] retroviral vectors. Titers are given as the endpoint titres defined as the last dilution of sera giving an OD at 492 nm exceeding the mean of unimmunized sera by three times. Each value represents the mean endpoint titres of two to four mice.

Anti-HBc(3A4) or anti-HBe (5A2 and 6A3) endpoint titres

| Retroviral vector | B10 (H-2 <sup>b</sup> ) |             | B10.BR (H-2 <sup>k</sup> ) |             |
|-------------------|-------------------------|-------------|----------------------------|-------------|
|                   | 2 weeks                 | 4 weeks     | 2 weeks                    | 4 weeks     |
| HBc[3A4]          | 0                       | 160         | 0                          | 0           |
| HBe[5A2]          | 640                     | 4,480±5,080 | 0                          | 0           |
| HBc/neo[6A3]      | 0                       | 0           | 400±340                    | 6,400±5,430 |

## 2. HBc/e Ag-specific T cell proliferation and cytokine phenotype

H-2<sup>k</sup> mice were injected *in vivo* with either rHBcAg (Schodel et al., *J. Biol. Chem.* 268:1332, 1993) or one of the retroviral vectors 3A4 and 6A3 discussed above, and secondary *in vitro* T cell responses to HBV antigens were evaluated. More specifically, rHBcAg ( $1-7.6 \times 10^8$  cfu/ml) emulsified in complete Freund's adjuvant

was injected subcutaneously into the hind footpads of 3-4 C3H/He mice; other groups of mice received between  $2 \times 10^7$ - $1.5 \times 10^8$  cfu retroviral vector 3A4 (directing HBcAg expression) or 6A3 (directing HBcAg/neo fusion protein expression) intramuscularly. Groups receiving retroviral vectors were boosted with the same vector five days later.

- 5 At day 9-11 following the initial immunization, draining lymph node cells were collected from the animals and single cell suspensions ( $6 \times 10^5$  cells per well for proliferation assays,  $8 \times 10^5$  cells per well for cytokine assays) were cultured in 96-well plates using Click's medium (Click et al., *Cell. Immunol.* 3:264, 1972). Cultures were maintained for 96 hours in the presence or absence of the following HBV antigens:
- 10 rHBcAg (Schodel et al., *J. Biol. Chem.* 268:11332-7, 1993); the truncated HBcAg referred to as HBcAg-7.2 (Milich et al., *J. Immunol.* 141:3617-3624, 1988); or p111-130, a synthetic peptide comprising HBcAg amino acids 111-130, which define a helper T cell epitope.

- T cell proliferation was measured by  $^3\text{H}$ -thymidine (TdR; Amersham, UK) incorporation into cellular DNA following addition of 1  $\mu\text{Ci}$ /well TdR to
- 15 microwells for the final 16 hours of culture. For cytokine determinations, culture supernatants were removed after 24 h for IL-2 assays and at 48 h for IL-4 and IFN- $\gamma$  assays. IL-2 was quantified by measuring proliferation of the IL-2-sensitive cell line NK4, and IL-4 was quantified by measuring proliferation of the IL-4-sensitive cell
- 20 line CT4.S. (Milich et al., *J. Virol.* 69:2776, 1995; Milich et al., *Proc. Natl. Acad. Sci. USA* 92:6847, 1995) IFN- $\gamma$  was determined using a sandwich enzyme-linked immunoassay from Pharmagen (San Diego, CA) (Milich et al., *J. Virol.* 69:2776, 1995; Milich et al., *Proc. Natl. Acad. Sci. USA* 92:6847, 1995).

- Results are provided in Figure 15. Briefly, *in vivo* priming of T cells
- 25 with rHBcAg was more efficient than priming with either retroviral vector, as assessed by the potencies of secondary *in vitro* T cell proliferative responses to all three of the HBV antigens. T cell proliferation was blocked by addition of monoclonal anti-CD4 antibody (GK1.5, ATCC TIB207), but not by addition of monoclonal anti-CD8 (2.43, ATCC TIB210), to the assay cultures, showing that the phenotype of proliferating T
- 30 cells was CD4 $^{+}$ /CD8 $^{-}$ .

- The profile of cytokine gene expression in spleen T cells from 5A2-primed B10 (H-2 $^b$ ) mice, following secondary *in vitro* stimulation with rHBcAg, was examined by RT-PCR. Briefly,  $2 \times 10^6$  spleen cells from 5A2-primed B10 mice were cultured in Click's medium for 36 h in the absence or presence of 5  $\mu\text{g}/\text{ml}$  rHBcAg.
- 35 Total mRNA was extracted from cells using TRIzol Reagent (GibcoBRL, Gaithersburg, MD) and reverse transcribed to cDNA using M-MLV reverse transcriptase (GibcoBRL) and oligo(dT)12-18 primers according to the manufacturer's instructions. The cDNA

was amplified with Taq polymerase (Promega, Madison, WI) using cytokine-specific primers according to the manufacturer's instructions (Stratagene, La Jolla, CA) and amplified products were detected in ethidium bromide stained gels following electrophoresis of PCR reaction products.

5 Results are shown in Figure 16. Briefly, RT-PCR showed that B10 spleen T cells primed *in vivo* with retroviral vector 5A2 and restimulated *in vitro* with rHBcAg expressed genes encoding the cytokines IL-2 and IL-4. Therefore the 5A2 vector primed Th2 cells, consistent with the observation that 5A2 induced helper T cell function for humoral immunity. (Example 16A, *supra*.) Additionally, this result shows  
10 cross-reactivity at the T cell level between rHBcAg and the HBeAg product of 5A2.

B. HBc/eAg peptide 129-140 primed T helper cells to respond to subsequently encountered HBeAg expressed from 5A2 retrovector

Groups of two to three B10 (H-2<sup>b</sup>) mice were primed with 100 µg of  
15 peptide 129-140 emulsified in incomplete Freund's adjuvant nine days prior to immunization with the retroviral vectors (3A4, 5A2, or 6A3) encoding HBV antigens. Non-peptide primed, vector-immunized B10 mice were used as controls. Immune sera were separated from test blood samples taken from the retro-orbital plexus at weekly intervals. Antibodies specific for the HBV antigen encoded by the vector used for  
20 immunization were detected by ELISA using a commercially available assay (Sorin Biomedica, Saluggia, Italy).

Results are provided in Figure 17. Briefly, B10 mice immunized only once with any of the three retroviral vectors alone produced little or no antigen-specific antibody. [Fig. 6] In marked contrast to the vector-only animals, mice that were first  
25 primed with the 129-140 peptide and then immunized with either the 3A4 or 5A2 HBV antigen-encoding retroviral vectors produced readily detectable and stably maintained antibody titers. [Fig. 6] Immunization of 129-140-primed mice with vector 5A2 promoted the most pronounced antibody titer, a reflection of the increased bioavailability of that vector's secretory HBV antigen product relative to the  
30 intracellular localization of the 3A4 HBV antigen. The stability of the HBeAg-specific humoral response over time showed that once the murine host's T helper cells have been primed by peptide 129-140, the 5A2 vector directed sufficient levels of HBeAg expression to maintain antibody titers. It should be stressed that peptide 129-140 by itself did not elicit anti-HBeAg antibodies.

35

From the foregoing, it will be appreciated that, although specific embodiments of the invention have been described herein for purposes of illustration,

various modifications may be made without deviating from the spirit and scope of the invention. Accordingly, the invention is not limited except as by the appended claims.